

# ATTACHMENT D

COMPLIANCE ANALYSIS MEMO

HIGHWAY SAFETY IMPROVEMENT PROGRAM (HSIP) CYCLE 8

FRUITVALE AVENUE

FEDERAL PROJECT NO. HSIPL-5012(149)

CITY PROJECT NO. 1004014



# INTER OFFICE MEMORANDUM

Department of Workforce & Employment Standards (DWES)

**TO:** Teresa Peterson,  
Assistant Engineer

**FROM:** Deborah Barnes, Director,  
DW& ES *Deborah Barnes*

**THROUGH:** Shelley Darensburg,  
Contract Compliance Supervisor

**PREPARED BY:** Vivian Inman, *Vivian Inman* Senior  
Contract Compliance Officer

**SUBJECT:** Compliance Analysis  
**Highway Safety Program Cycle 8**  
**Fruitvale Avenue Improvement**  
**DBE Project No. 1004014**

**DATE:** October 20, 2020

The Sr. Compliance Officer for this project reviewed four (4) bids in response to the above referenced project No1004014. Below, please find the results of compliance evaluations for the (a) Disadvantaged Business Enterprise (DBE) program and a preliminary review for compliance with the (B) Equal Benefits Ordinance (EBO). The DBE goal for this project is 12.7%

Compliant with DBE Policies		Proposed Participation					Earned Credits and Discounts			EBO Compliant?
Company Name	Original Bid Amount	Total DBE Participation	LBE	SLBE	*VSLBE/LPG	L/SLBE /VSLBE Trucking	Total Credited participation	Earned Bid Discounts	Adjusted Bid Amount	
Ray's Electric	\$517,440	23.02%	0%	52.54%	0.13%	0%	NA	NA	NA	Y
Columbia Electric	\$535,579	54.61%	0%	0%	0%	0%	NA	NA	NA	Y
Bay Area Lightworks	\$620,000	79.35%	0%	0%	0%	0%	NA	NA	NA	Y
Tennyson Electric	\$648,575	15.36%	0%	0%	0%	0%	NA	NA	NA	N

**Comments:** As noted above, all firms met or exceeded the DBE goal of 12.7%. Tennyson Electric is not EBO compliant and must come into compliance prior to full contract execution.

Should you have any questions, you may contact Vivian Inman, Sr. Contract Compliance Officer at (510) 238-6261.



**Workforce & Employment Standards**  
**Contracts and Compliance Unit**

**PROJECT COMPLIANCE EVALUATION FOR :**

Construction Services Disadvantaged Business Enterprise (DBE)

**PROJECT NO.:** 1004014

**PROJECT NAME:** Highway Safety Improvement Project (HSIP8) Fruitvale Avenue Improvement Projects

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**CONTRACTOR:** Ray's Electric

<b><u>Engineer's Estimate:</u></b>	<b><u>Contractors' Bid Amount</u></b>	<b><u>Over/Under Engineer's Estimate</u></b>
\$881,800.00	\$517,440.00	\$364,360.00

1. Did the DBE Program apply? **YES**

2. Did the contractor meet the DBE goal of 12.7% **YES**

b) % of DBE participation **23.02%**

c) % of LBE participation **0.00%**

d) % of SLBE participation **52.54%**

e) % of VSLBE/LPG participation **0.13%**

3. Was Good Faith Effort (GFE) Documentation submitted? **NO**

4. Additional Comments.

5. Date evaluation completed and returned to Contract **9/17/2020**

**Reviewing Officer:** Vivian Inman

**Date:** 9/17/2020

**Approved By:** Shelley Darenburg

**Date:** 9/17/2020





**Workforce & Employment Standards**

**Contracts and Compliance Unit**

**PROJECT COMPLIANCE EVALUATION FOR :**

Construction Services Disadvantaged Business Enterprise (DBE)

**PROJECT NO.:** 1004014

**PROJECT NAME:** Highway Safety Improvement Project (HSIP8) Fruitvale Avenue Improvement Projects

**CONTRACTOR:** Columbia Electric, Inc.

<u>Engineer's Estimate:</u>	<u>Contractors' Bid Amount</u>	<u>Over/Under Engineer's Estimate</u>
\$881,800.00	\$535,579.00	\$346,221.00

1. Did the DBE Program apply? **YES**

2. Did the contractor meet the DBE goal of 12.7% **YES**

b) % of DBE participation **54.61%**

c) % of LBE participation **0.00%**

d) % of SLBE participation **0.00%**

e) % of VSLBE/LPG participation **0.00%**

3. Was Good Faith Effort (GFE) Documentation submitted? **YES**

4. Additional Comments.

5. Date evaluation completed and returned to Contract **9/17/2020**

**Reviewing  
Officer:**

*Vivian Anman*

**Date:** 9/17/2020

**Approved By:**

*Shelley Darenburg*

**Date:** 9/17/2020

# DBE Participation Bidder 2

Project Name: Highway Safety Improvement Project (HSIP8) Fruitvale Avenue Improvement Projects												
Project No.: 1004014 Engineer's Est. \$881,800.00												
Discipline	Prime & Subs	Location	Cert. Status	LBE Dollars	SLBE Dollars	*LPGVSLB E	Total LBE/SLBE Dollars	DBE Dollars	Total Dollars	Certified Disadvantaged MBE/WBE		
										Ethn.	MBE	WBE
Prime Signage & Striping	Columbia Electric, Inc. Christp Company	San Leandro Fremont	CB UB					292,484	292,484.00 119,995.00	C C		292,484.00
Traffic Signal	Jam Services	Livermore	UB						123,100.00	C		
<b>Project Totals</b>									\$535,579.00 100%			\$0 0.00%
<b>Ethnicity</b> AA = African American AI = Asian Indian AP = Asian Pacific C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed												
<b>Legend</b> UB = Uncertified Business CB = Certified Business DBE = Disadvantaged Business Enterprise WBE = Women Business Enterprise DBE - Disadvantaged Business Enterprise												



**Workforce & Employment Standards**

**Contracts and Compliance Unit**

**PROJECT COMPLIANCE EVALUATION FOR :**

Construction Services Disadvantaged Business Enterprise (DBE)

**PROJECT NO.:** 1004014

**PROJECT NAME:** Highway Safety Improvement Program Cycle 7 (HSIP7) Market Street & San Pablo

**CONTRACTOR:** Bay Area Lightworks

<u>Engineer's Estimate:</u>	<u>Contractors' Bid Amount</u>	<u>Over/Under Engineer's Estimate</u>
\$881,800.00	\$620,000.00	\$261,800.00

- |   |                  |
|---|------------------|
| 1. Did the DBE Program apply?                           | <u>YES</u>       |
| 2. Did the contractor meet the DBE goal of 12.7%        | <u>YES</u>       |
| b) % of DBE participation                               | <u>79.35%</u>    |
| c) % of LBE participation                               | <u>0.00%</u>     |
| d) % of SLBE participation                              | <u>0.00%</u>     |
| e) % of VSLBE/LPG participation                         | <u>0.00%</u>     |
| 3. Was Good Faith Effort (GFE) Documentation submitted? | <u>NO</u>        |
| 4. Additional Comments.                                 |                  |
| 5. Date evaluation completed and returned to Contract   | <u>9/17/2020</u> |

Reviewing  
Officer:

Vivian Anman

Date: 9/17/2020

Approved By:

Shelley Darenburg

Date: 9/17/2020

## DBE Participation Bidder 3

Project Name: Highway Safety Improvement Program Cycle 7 (HSIP7) Market Street & San Pablo		Engineer's Est. \$881,800.00										
Project No.: 1004014												
Discipline	Prime & Subs	Location	Cert. Status	LBE Dollars	SLBE Dollars	*LPG/VSLBE	Total LBE/SLBE Dollars	DBE Dollars	Total Dollars	Certified Disadvantaged MBE/WBE		
										Ethn.	MBE	WBE
PRIME Supplier Supplier Striping	Bay Area Lightworks	San Francisco	CB					392,530.00	392,530.00	AP	392,530.00	
	Jam Services	Livermore	UB					120,000.00		C		
	Central Concrete	San Jose	UB					8,000.00		C		
	Super Stripe	Filmore, CA	CB					99,470.00	99,470.00	C		99,470.00
<b>Project Totals</b>				\$0	\$0	\$0	\$0	\$492,000	\$620,000.00		\$392,530	\$99,470
				0.00%	0.00%	0.00%	0.00%	79.35%	100%		63.31%	16.04%
<b>Ethnicity</b> AA = African American AI = Asian Indian AP = Asian Pacific C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed												
<b>Legend</b> UB = Uncertified Business CB = Certified Business DBE = Disadvantaged Business Enterprise WBE = Women Business Enterprise DBE - Disadvantaged Business Enterprise												



**Workforce & Employment Standards**

**Contracts and Compliance Unit**

**PROJECT COMPLIANCE EVALUATION FOR :**

Construction Services Disadvantaged Business Enterprise (DBE)

**PROJECT NO.:** 1004014

**PROJECT NAME:** Highway Safety Improvement Project (HSIP8) Fruitvale Avenue Improvement Projects

**CONTRACTOR:** Tennyson Electric

<b><u>Engineer's Estimate:</u></b>	<b><u>Contractors' Bid Amount</u></b>	<b><u>Over/Under Engineer's Estimate</u></b>
\$881,800.00	\$648,575.00	\$233,225.00

- |   |                      |
|---|----------------------|
| 1. Did the DBE Program apply?                           | <b><u>YES</u></b>    |
| 2. Did the contractor meet the DBE goal of 12.7%        | <b><u>YES</u></b>    |
| b) % of DBE participation                               | <b><u>15.36%</u></b> |
| c) % of LBE participation                               | <b><u>0.00%</u></b>  |
| d) % of SLBE participation                              | <b><u>0.00%</u></b>  |
| e) % of VSLBE/LPG participation                         | <b><u>0.00%</u></b>  |
| 3. Was Good Faith Effort (GFE) Documentation submitted? | <b><u>NO</u></b>     |
| 4. Additional Comments.                                 |                      |

5. Date evaluation completed and returned to Contract **9/17/2020**

**Reviewing Officer:** Vivian Inman

**Date:** 9/17/2020

**Approved By:** Shelley Darenburg

**Date:** 9/17/2020

# DBE Participation Bidder 4

Project Name: Highway Safety Improvement Program 7 Market Street & San Pablo Avenue												
Project No.: 1004014 Engineer's Est. : \$881,800.00												
Discipline	Prime & Subs	Location	Cert. Status	LBE Dollars	SLBE Dollars	*LPCN/SLBE Dollars	Total LBE/SLBE Dollars	DBE Dollars	Total Dollars	Certified Disadvantaged		
										Ethn.	MBE	WBE
Prime	Tennyson Electric							397,997	397,997.00	C		397,997.00
Sign & Striping	Super Seal & Stripe	Fillmore	CB					97,470	97,470.00	H		97,470
Compliance Plan	Applan UDBE SWPP	EI Sobranite	CB					2,125	2,125.00	AA	2,125	
Electrical Material	Azco Supply	Stockton	UB						150,983.00	C		
<b>Project Totals</b>				\$0	\$0	\$0	\$0	\$99,595	648,575.00		\$2,125	\$495,467
				0.00%	0.00%	0.00%	0.00%	15.36%	100%		0.33%	76.39%
<b>Ethnicity</b> AA = African American AI = Asian Indian AP = Asian Pacific C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed												

**Legend**

- UB = Uncertified Business
- CB = Certified Business
- DBE = Disadvantaged Business Enterprise
- WBE = Women Business Enterprise
- DBE - Disadvantaged Business Enterprise