



FILED
OFFICE OF THE CITY CLERK
OAKLAND
2016 SEP 29 PM 3:07

AGENDA REPORT

TO: Sabrina B. Landreth
City Administrator

FROM: Brooke A. Levin
Director, Public Works

SUBJECT: Rehabilitation of Sanitary Sewers

DATE: August 29, 2016

City Administrator Approval

Date:

9/29/16

RECOMMENDATION

Staff Recommends That The City Council Adopt A Resolution Awarding A Construction Contract To Pacific Trenchless, Inc., The Lowest Responsive And Responsible Bidder, In Accordance With Project Plans And Specifications For The Rehabilitation Of Sanitary Sewers In The Area Bounded By 39th Avenue, Mountain Boulevard, Reinhardt Drive, And Highway 13 (Project No. C329148) And With Contractor's Bid In The Amount Of Two Million Five Hundred Thirty-Nine Thousand Four Hundred Forty-Seven Dollars (\$2,539,447.00).

EXECUTIVE SUMMARY

Approval of this resolution will authorize the City Administrator or designee to execute a construction contract with Pacific Trenchless, Inc. The work to be completed under this project is part of the City's annual Sanitary Sewer Rehabilitation program and is required under the 2014 Sewer Consent Decree. The work is located in Council District 4 as shown in **Attachment A**.

BACKGROUND / LEGISLATIVE HISTORY

On July 28, 2016, the City Clerk received three bids for this project in the amounts of \$2,539,447.00, \$2,710,038.00, and \$2,893,391.00 as shown in **Attachment B**. Pacific Trenchless, Inc., is deemed the lowest responsive and responsible bidder and therefore is recommended for the award. The proposed work consists, in general, of rehabilitating approximately 12,283 linear feet of existing sewer pipes, ranging in size from 8-inch in diameter to 21-inch in diameter, by pipe-expanding or cured-in-place method; rehabilitating sewer structures; reconnecting house connection sewers; rehabilitating house connections sewers, and other related work as indicated on the plans and specifications. This project is part of the City's annual Sanitary Sewer Rehabilitation program intended to improve the sanitary system conditions throughout Oakland, and is required under the 2014 Sewer Consent Decree.

Item: _____
Public Works Committee
October 11, 2016

ANALYSIS AND POLICY ALTERNATIVES

Under the proposed contract with Pacific Trenchless., the Local Business Enterprise/Small Local Business Enterprise (LBE/SLBE) participation will be 92.42%, which exceeds the City's 50% LBE/SLBE requirement. Trucking participation is 100% and exceeds the 50% requirement. The contractor is required to have 50% of the work hours performed by Oakland residents, and 50% of all new hires are to be Oakland residents. The LBE/SLBE information has been verified by the Social Equity Division of the Department of Contracting and Purchasing and is shown in **Attachment C**.

Construction is scheduled to begin in November 2016 and should be completed by July 2017. The contract specifies \$1,000.00 in liquidated damages per calendar day if the contract is not completed within 80 working days. The project schedule is shown in **Attachment B**.

The Engineer's estimate for the work is \$2,085,885.00. Staff has reviewed the submitted bids for the work and has determined that the bids are reasonable for the current construction market conditions, which has been a regional increase in costs for similar work, and three bid amounts are relative close. The economy has improved dramatically and contractors are in short supply regionally.

The Environmental Protection Agency (EPA) mandates the reduction of sanitary sewer flows during storm events. This project is part of the City's annual Sanitary Sewer Rehabilitation program intended to improve the pipe conditions and reduce wet weather peak flows in sanitary sewer system, and is required under 2014 Sewer Consent Decree.

FISCAL IMPACT

Funding for this project is available in the Fiscal Year (FY) 2016-17 Budget in Fund 3100 Sewer Service Fund, Organization 92244 Sanitary Sewer Design Organization, Account 57417 Sewers, Project C329148. Funding for operations and maintenance is also budgeted and available in the Sewer Fund 3100. The project goal is to improve pipe conditions, reduce maintenance cost, reduce wet weather peak flows in sanitary sewer system, and is required under 2014 Sewer Consent Decree.

PAST PERFORMANCE, EVALUATION AND FOLLOW-UP

The Contractor Performance Evaluation for Pacific Trenchless, Inc. from a previously completed project is satisfactory and is included as **Attachment D**.

PUBLIC OUTREACH / INTEREST

The residents in the area will be notified in writing about this project. Prior to starting the work, residents who are affected by the work will be notified individually of the work schedule, planned activities, and contact information of the Contractor and Resident Engineer/Inspector in charge.

COORDINATION

The work to be done under this contract was coordinated with Oakland Public Works (OPW) Bureau of Infrastructure and Operations, Contracts and Compliance Division, and Bureau of Facilities and Environment. In addition, the Office of City Attorney and the Controller's Bureau have reviewed this report and resolution.

SUSTAINABLE OPPORTUNITIES

Economic: The contractors are all verified for Local Business Enterprise and Small Local Business Enterprise (LBE/SLBE) participation by the Social Equity Division of the Department of Contracting and Purchasing. The contractors are required to have 50% of the work hours performed by Oakland residents, and 50% of all new hires are to be Oakland residents, which will result in dollars being spent locally.

Environmental: Replacing sanitary sewers will minimize sewer leakage and overflows, thus preventing potential harm to property, groundwater resources and the bay. The contractor will be required to make every effort to reuse clean fill materials and use recyclable concrete and asphalt products. Best Management Practices for the protection of storm water runoff during construction will be required.

Social Equity: This project is part of the citywide program to eliminate wastewater discharges and overflows, thereby, benefiting all Oakland residents with decreased sewer overflows and improved infrastructure.

ACTION REQUESTED OF THE CITY COUNCIL

Staff Recommends That The City Council Adopt A Resolution Awarding A Construction To Pacific Trenchless, Inc., The Lowest Responsive And Responsible Bidder, In Accordance With Project Plans And Specifications The Rehabilitation Of Sanitary Sewers In The Area Bounded By 39th Avenue, Mountain Boulevard, Reinhardt Drive, And Highway 13 (Project No. C329148) And With Contractor's Bid In The Amount Of Two Million Five Hundred Thirty-Nine Thousand Four Hundred Forty-Seven Dollars (\$2,539,447.00).

For questions regarding this report, please contact Gus Amirzehni, Engineering Design and Right-of-Way Manager, 510-238-6601.

Respectfully submitted,



BROOKE A. LEVIN
Director, Oakland Public Works

Reviewed by:
Michael J. Neary, P.E., Assistant Director
Bureau of Engineering & Construction

Reviewed by:
Gus Amirzehni, P.E., Division Manager
Engineering Design and R.O.W. Mgmt Division

Prepared by:
Jimmy Mach, P.E., Supervising Civil Engineer
Engineering Design and R.O.W. Mgmt Division

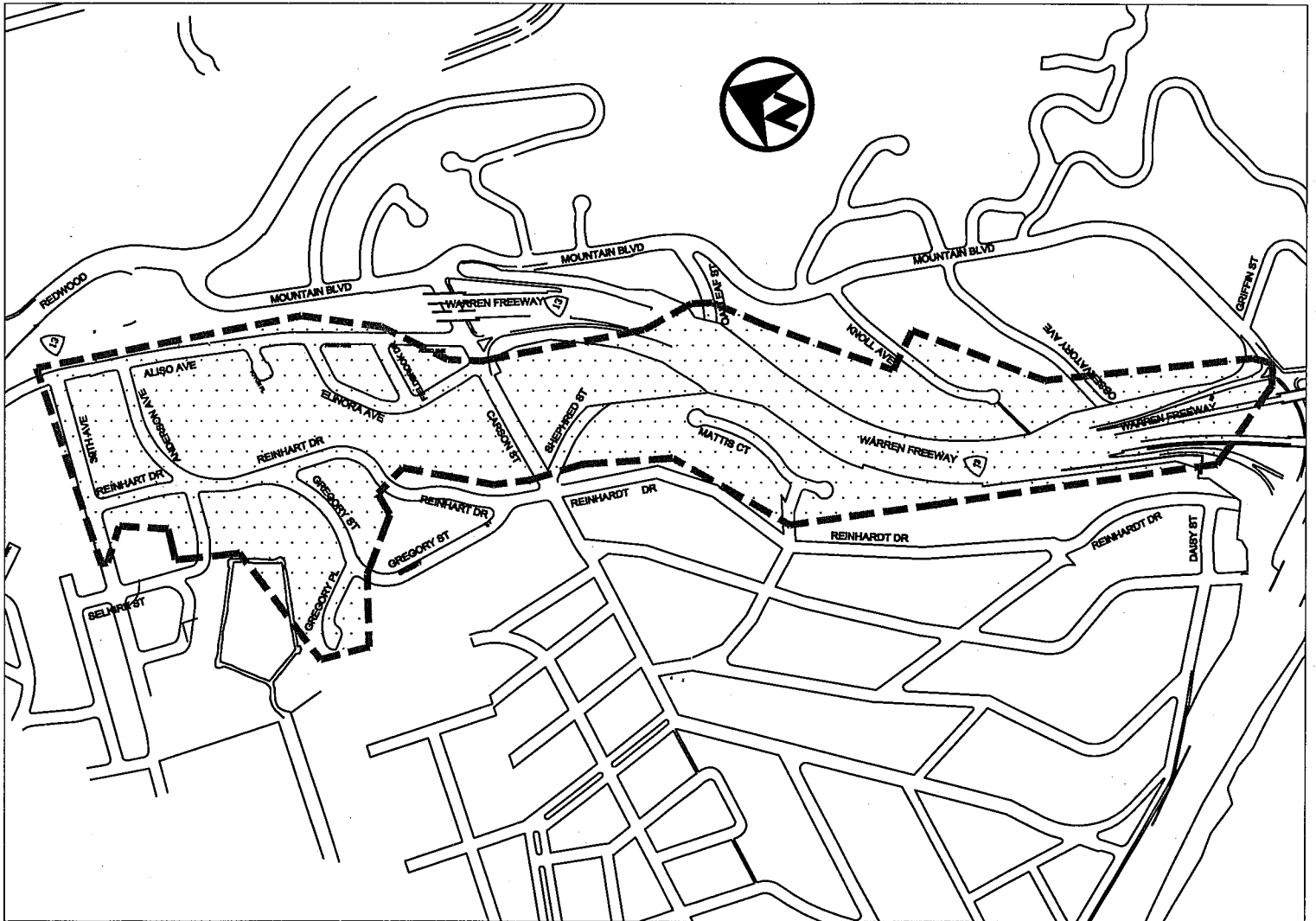
Attachments (4):

- A: Project Location Map*
- B: List of Bidders and Project Construction Schedule*
- C: Contracts & Compliance Unit Compliance Evaluation*
- D: Contractor Performance Evaluation*

Attachment A

PLANS FOR THE REHABILITATION OF SANITARY SEWERS
IN THE AREA BOUNDED BY 39TH AVE, MOUNTAIN BLVD,
REINHARDT DR, AND HIGHWAY 13
(SUB-BASIN 83-501)

CITY PROJECT NO. C329148



LOCATION MAP

NOT TO SCALE

LIMIT OF WORK 

Attachment C

**Rehabilitation of Sanitary Sewers in the Area Bounded by 39th Avenue, Mountain
Boulevard, Reinhardt Drive, and Highway 13
(Project No. C32948)**

**Department of Contracting and Purchasing
Compliance Evaluation**



INTER OFFICE MEMORANDUM

TO: David Ng,
Civil Engineer

FROM: Deborah Barnes, *Deborah Barnes*
Director, Contracts & Compliance

SUBJECT: Compliance Analysis

DATE: August 12, 2016

**The Rehabilitation of Sanitary Sewers in the Area Bounded
by 39th Avenue, Mountain Boulevard, Reinhardt Drive and
Hightway 13 (Sub-Basin 83-501)
Project No. C329148**

City Administrator's Office, Contracts and Compliance Unit reviewed three (3) bids in response to the above referenced project. Below is the outcome of the compliance evaluation for the minimum 50% Local and Small Local Business Enterprise (L/SLBE) participation requirement, a preliminary review for compliance with the Equal Benefits Ordinance (EBO), and a brief overview of compliance with the 50% Local Employment Program (LEP) and the 15% Oakland Apprenticeship Program by the lowest compliant bidder on their most recently completed City of Oakland project.

Compliant with L/SLBE and/or EBO Policies		Proposed Participation					Earned Credits and Discounts			EBO Compliant? Y/N
Company Name	Original Bid Amount	Total LBE/SLBE	LBE	SLBE	*VSLBE/LPG	L/SLBE Trucking	Total Credited participation	Earned Bid Discounts	Adjusted Bid Amount	
Pacific Trenchless, Inc.	\$2,539,447.00	92.42%	0.00%	92.42%	0.00%	100.00%	92.42%	5%	\$2,412,474.65	Y
Andes Construction, Inc.	\$2,710,038.00	1.84% *94.79%	0.00%	91.11%	1.84%	100.00%	94.79 %	5%	\$2,628,736.86	Y
J. Howard Engineers, Inc.	\$2,893,391.00	1.97% *95.32%	0.35%	91.04%	1.97%	100.00%	95.32%	5%	\$2,748,721.45	Y

**Andes Construction, Inc. and J. Howard Engineers, Inc. 's proposed VSLBE/LPG participation values were 1.84% and 1.97%, however, per the L/SLBE Program a VSLBE/LPG's participation is double counted towards meeting the requirement. Therefore, the VSLBE/LPG value for Andes Construction, Inc. and J. Howard Engineers, Inc. are 3.68% and 3.94%.*

Comments: As noted above, firms met the minimum 50% L/SLBE participation requirement. All firms are EBO compliant.



For Informational Purposes

Listed below is the lowest responsible bidder's compliance with the 50% Local Employment Program (LEP) and the 15% Oakland Apprenticeship Program for the lowest bidder's most recently completed City of Oakland project.

Contractor Name: Pacific Trenchless
Project Name: Rehab. Of Sanitary Sewers between Moore, Saroni and Arrowhead
Project No: C329125

50% Local Employment Program (LEP)

Was the 50% LEP Goal achieved?	Yes	If no, shortfall hours?	
Were all shortfalls satisfied?	Yes	If no, penalty amount	

15% Oakland Apprenticeship Program

Was the 15% Apprenticeship Goal achieved?	Yes	If no, shortfall hours?	
Were shortfalls satisfied?	Yes	If no, penalty amount?	

The spreadsheet below provides details of the 50% LEP and 15% Apprenticeship Programs. Information provided includes the following data: A) total project hours, B) core workforce hours deducted, C) LEP project employment and work hour goal; D) LEP employment and work hours achieved; E)# resident new hires; F) shortfall hours; G) percent LEP compliance; H) total apprentice hours; I) apprenticeship goal and hours achieved; and J) Apprentice shortfall hours.

Total Project Hours	50% Local Employment Program (LEP)							15% Apprenticeship Program				
	Core Workforce Hours Deducted	LEP Project Employment and Work Hours Goal		LEP Employment and Work Hours Achieved		# Resident New Hires	Shortfall Hours	% LEP Compliance	Total Oakland Apprenticeship Hours Achieved	Apprenticeship Goal and Hours		Apprentice Shortfall Hours
		Goal	Hours	Goal	Hours					Goal	Hours	
A	B	C		D		E	F	G	H	I		J
740	0	50%	370	100%	370	0	0	100%	111	15%	111	0

Comments: Pacific Trenchless exceeded the Local Employment Program's 50% resident hiring goal with 100% resident employment and met the 15% Oakland Apprenticeship Program goals with 56 on-site hours and 56 off-site hours.

Should you have any questions, you may contact Sophany Hang, Contract Compliance Officer at (510) 238-3723.

CITY ADMINISTRATOR'S OFFICE



Contracts and Compliance Unit

PROJECT COMPLIANCE EVALUATION FOR :

Project No. C329148

RE:

The Rehabilitation of Sanitary Sewers in the Area Bounded by 39th Ave, Mountain Blvd, Reinhardt Dr., and Highway 13 (Sub-Basin 83-501)

CONTRACTOR: Pacific Trenchless, Inc.

<u>Engineer's Estimate:</u> \$2,085,885.00	<u>Contractors' Bid Amount</u> \$2,539,447.00	<u>Over/Under Engineer's Estimate</u> (\$453,562.00)
<u>Discounted Bid Amount:</u> \$2,412,474.65	<u>Amt. of Bid Discount</u> \$126,972.35	<u>Discount Points:</u> 5.00%
1. Did the 50% local/small local requirement apply:		<u>YES</u>
2. Did the contractor meet the 50% requirement		<u>YES</u>
a) % of LBE participation	<u>0.00%</u>	
b) % of SLBE participation	<u>92.42%</u>	
c) % of VSLBE participation	<u>0.00%</u>	
		0.00% (double counted value)
3. Did the contractor meet the Trucking requirement?		<u>YES</u>
a) Total L/SLBE trucking participation	<u>100.00%</u>	
a) Total VSLBE trucking participation	<u>0.00%</u>	
4. Did the contractor receive bid discount points?		<u>YES</u>
(If yes, list the points received)	<u>5%</u>	
5. Additional Comments.		
6. Date evaluation completed and returned to Contract Admin./Initiating Dept.		
	<u>8/12/2016</u>	
	Date	

Reviewing Officer:

[Signature]

Date: 8/12/2016

Approved By:

[Signature]

Date: 8/12/2016

LBE/SLBE Participation Bidder 1

Project Name:													The Rehabilitation of Sanitary Sewers in the Area Bounded by 39th Ave, Mountain Blvd, Reinhardt Dr., and Highway 13 (Sub-Basin 83-501)		
Project No.: C329148		Engineer's Estimate 2,085,885.00				Under/Over Engineers Estimate: -453,562.00									
Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	*VSLBE/LPG	Total LBE/SLBE	VSLBE Trucking (2x Value)	L/SLBE Trucking	Total Trucking	TOTAL Dollars	Ethnicity			
												Ethn.	MBE	WBE	
PRIME	Pacific Trenchless, Inc.	Oakland	CB		2,334,917		2,334,917				2,334,917	C			
Trucking	All City Trucking	Oakland	CB		12,000		12,000		12,000	12,000	12,000	AI	12,000		
CIPP lining	Christian Bros Lining	Fairfield	UB								37,530	C			
Manhole Lining	Contech of California	Stockton	UB								20,000	C			
HDPE Pipe	P & F Distributors	Brisbane	UB								110,000	C			
MH Materials	Old Castle Precast	Pleasanton	UB								13,000	C			
Pip Couplings	Mission Clay Products	Oakland	UB								12,000	C			
Project Totals				0 0.00%	2,346,917 92.42%	0 0.00%	2,346,917 92.42%	0 0.00%	12,000 100.00%	12,000 100.00%	2,539,447 100.00%		12,000 0.47%	0 0.00%	
Requirements: The 50% requirements is a combination of 25% LBE and 25% SLBE participation. An SLBE firm can be counted 100% towards achieving 50% requirements and aVSLBE/LPP firm can be counted double towards achieving the 50% requirement.												Ethnicity AA = African American A = Asian AI = Asian Indian AP = Asian Pacific C = Caucasian AP - Asian Pacific H = Hispanic NA = Native American O = Other NL = Not Listed			
Legend															
LBE = Local Business Enterprise				UB = Uncertified Business											
SLBE = Small Local Business Enterprise				CB = Certified Business											
VSLBE=Very Small Local Business Enterprise				MBE = Minority Business Enterprise											
LPG = Locally Produced Goods				WBE = Women Business Enterprise											
Total LBE/SLBE = All Certified Local and Small Local Businesses															
NPLBE = NonProfit Local Business Enterprise															
NPSLBE = NonProfit Small Local Business Enterprise															

CITY ADMINISTRATOR'S OFFICE

Contracts and Compliance Unit



PROJECT COMPLIANCE EVALUATION FOR :

Project No. C329148

RE:

The Rehabilitation of Sanitary Sewers in the Area Bounded by 39th Ave, Mountain Blvd, Reinhardt Dr., and Highway 13 (Sub-Basin 83-501)

CONTRACTOR: Andes Construction, Inc.

Engineer's Estimate:	Contractors' Bid Amount	Over/Under Engineer's Estimate
\$2,085,885.00	\$2,710,038.00	(\$624,153.00)
Discounted Bid Amount:	Amt. of Bid Discount	Discount Points:
\$2,628,736.86	\$81,301.14	3.00%
1. Did the 50% local/small local requirement apply:		YES
2. Did the contractor meet the 50% requirement		YES
a) % of LBE participation	0.00%	
b) % of SLBE participation	91.11%	
c) % of VSLBE participation	1.84%	3.68% (double counted value)
3. Did the contractor meet the Trucking requirement?		YES
a) Total L/SLBE trucking participation	0.00%	
a) Total VSLBE trucking participation	100.00%	
4. Did the contractor receive bid discount points?		YES
(If yes, list the points received)	5%	

5. Additional Comments.

Proposed VSLBE/LPG participation is valued at 1.84%, however, per the L/SLBE Program a VSLBE/LPG's participation is double counted towards meeting the requirement. Therefore, the VSLBE/LPG value is 3.68%.

6. Date evaluation completed and returned to Contract Admin./Initiating Dept.

8/12/2016

Reviewing Officer:

Date: 8/12/2016

Approved By:

Date: 8/12/2016

LBE/SLBE Participation Bidder 2

Project Name:														
The Rehabilitation of Sanitary Sewers in the Area Bounded by 39th Ave, Mountain Blvd, Reinhardt Dr., and Highway 13 (Sub-Basin 83-501)														
Project No.: C329148		Engineer's Estimate 2,085,885.00			Under/Over Engineers Estimate: -624,153.00									
Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	*VSLBE/LPG	Total LBE/SLBE	VSLBE Trucking	L/SLBE Trucking	Total Trucking	TOTAL Dollars	Ethnicity		
												Ethn.	MBE	WBE
PRIME	Andes Construction, Inc.	Oakland	CB		2,469,038.00		2,469,038.00				2,469,038.00	H	2,469,038.00	
Trucking	Foston Trucking	Oakland	CB			10,000.00	10,000.00	10,000.00		10,000.00	10,000.00	AA	10,000.00	
Saw Cut	Bayline	Emeryville	UB								8,000.00	H	8,000.00	
MH Precast	Old Castle Precast	Pleasanton	UB								15,000.00	C		
AB	Inner City	Oakland	UB								20,000.00	C		
AC	Gallagher & Burk	Oakland	CB			15,000.00	15,000.00				15,000.00	C		
MH Rehab Mat.	Con-Tech of California	Stockton	UB								20,000.00	C		
Rock	Dutra	San Rafael	UB								15,000.00	C		
Resin	Composites One	Sacramento	UB								4,000.00	C		
HDPE	P & F Distributors	Brisbane	UB								80,000.00	C		
Felt	Masterliner	Hammond	UB								4,000.00	C		
HDPE	Maskell Pipe	Tracy	UB								50,000.00	NL		
Project Totals				0.00 0.00%	2,469,038.00 91.11%	25,000.00 1.84%	2,494,038.00 92.95%	10,000.00 100.00%	0.00 0.00%	10,000.00 0.00%	2,710,038.00 100.00%		2,487,038.00 91.77%	0.00 0.00%
Requirements: The 50% requirements is a combination of 25% LBE and 25% SLBE participation. An SLBE firm can be counted 100% towards achieving 50% requirements and aVSLBE/LPP firm can be counted double towards achieving the 50% requirement.												Ethnicity AA = African American A = Asian AI = Asian Indian AP = Asian Pacific C = Caucasian AP - Asian Pacific H = Hispanic NA = Native American O = Other NL = Not Listed		
Legend														
LBE = Local Business Enterprise					UB = Uncertified Business									
SLBE = Small Local Business Enterprise					CB = Certified Business									
VSLBE-Very Small Local Business Enterprise					MBE = Minority Business Enterprise									
LPG = Locally Produced Goods					WBE = Women Business Enterprise									
Total LBE/SLBE = All Certified Local and Small Local Businesses														
NPLBE = NonProfit Local Business Enterprise														
NPSLBE = NonProfit Small Local Business Enterprise														

** Proposed VSLBE/LPG participation is valued at 1.84%, however per the L/SLBE Program a VSLBE/LPG's participation is double counted towards meeting the requirement. Double counted percentage is reflected on the evaluation form and cover memo.

CITY ADMINISTRATOR'S OFFICE



Contracts and Compliance Unit

PROJECT COMPLIANCE EVALUATION FOR :

Project No. C329148

RE: The Rehabilitation of Sanitary Sewers in the Area Bounded by 39th Ave, Mountain Blvd, Reinhardt Dr., and Highway 13 (Sub-Basin 83-501)

CONTRACTOR: J. Howard Engineering, Inc.

<u>Engineer's Estimate:</u>	<u>Contractors' Bid Amount</u>	<u>Over/Under Engineer's Estimate</u>
\$2,085,885.00	\$2,893,391.00	(\$807,506.00)
<u>Discounted Bid Amount:</u>	<u>Amt. of Bid Discount</u>	<u>Discount Points:</u>
\$2,748,721.45	\$144,669.55	5.00%
1. Did the 50% local/small local requirement apply:		<u>YES</u>
2. Did the contractor meet the 50% requirement		<u>YES</u>
a) % of LBE participation	<u>0.35%</u>	
b) % of SLBE participation	<u>91.04%</u>	
c) % of VSLBE participation	<u>1.97%</u>	3.94% (double counted value)
3. Did the contractor meet the Trucking requirement?		<u>YES</u>
a) Total L/SLBE trucking participation		
a) Total VSLBE trucking participation	<u>100.00%</u>	
4. Did the contractor receive bid discount points?		<u>YES</u>
(if yes, list the points received)	<u>5%</u>	
5. Additional Comments.		

Proposed VSLBE/LPG participation is valued at 1.97%, however, per the L/SLBE Program a VSLBE/LPG's participation is double counted towards meeting the requirement. Therefore, the VSLBE/LPG value is 3.94%.

6. Date evaluation completed and returned to Contract Admin./Initiating Dept.

8/12/2016

Date

Reviewing Officer:

[Signature]

Date: 8/12/2016

Approved By:

Shelley Darenburg

Date: 8/12/2016

LBE/SLBE Participation Bidder 3

Project Name: The Rehabilitation of Sanitary Sewers in the Area Bounded by 39th Ave, Mountain Blvd, Reinhardt Dr., and Highway 13 (Sub-Basin 83-501)														
Project No.: C329148			Engineer's Estimate 2,085,885.00				Under/Over Engineers Estimate: -807,506.00							
Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	*VSLBE/LPG (2x Value)	Total LBE/SLBE	VSLBE Trucking	L/SLBE Trucking	Total Trucking	TOTAL Dollars	Ethnicity		
												Ethn.	MBE	WBE
PRIME	J. Howard Engineering, Inc.	Oakland	CB		2,634,051.00		2,634,051.00				2,634,051.00	C		
AB Drain Rock	Monroe's Trucking	Oakland	CB			10,000.00	10,000.00	10,000.00		10,000.00	10,000.00	AA	10,000.00	
Saw Cutting	Bayline	SF	UB								5,500.00	H	5,500.00	
HDPE Pipe	P & F Distributors	Brisbane	UB								140,000.00	C		
MH Materials	Old Castle Precast	Pleasanton	UB								5,000.00	C		
AB Drain Rock	Argent Materials	Oakland	CB			8,500.00	8,500.00				8,500.00	C		
Fittings, Couplings	Pace Supply	Oakland	CB	10,000.00			10,000.00				10,000.00	C		
AC Paving	Gallagher & Burk	Oakland	CB			10,000.00	10,000.00				10,000.00	C		
MH Rehab	Con-Tech of California	Stockton	UB								8,000.00	C		
CIPP	Christian Bro. Lining	Fairfield	UB								62,340.00	C		
Project Totals				10,000.00 0.35%	2,634,051.00 91.04%	28,500.00 1.97%	2,672,551.00 93.35%	10,000.00 100.00%	0.00 0.00%	10,000.00 100.00%	2,893,391.00 100.00%		15,500.00 0.54%	0.00 0.00%
Requirements: The 50% requirements is a combination of 25% LBE and 25% SLBE participation. An SLBE firm can be counted 100% towards achieving 50% requirements and aVSLBE/LPP firm can be counted double towards achieving the 50% requirement.												Ethnicity AA = African American A = Asian AI = Asian Indian AP = Asian Pacific		

** Proposed VSLBE/LPG participation is valued at 1.97%, however per the L/SLBE Program a VSLBE/LPG's participation is double counted towards meeting the requirement. Double counted percentage is reflected on the evaluation form and cover memo.

Attachment D

Attachment D

**Schedule L-2
City of Oakland
Public Works Agency
CONTRACTOR PERFORMANCE EVALUATION**

C329135: SS Rehab in the Easements of Clarendon Crescent Avenue & Sunny Hills Road

Project Number/Title: _____
Work Order Number (if applicable): _____
Contractor: Pacific Trenchless Inc. _____
Date of Notice to Proceed: 04-27-2015 _____
Date of Notice of Completion: 07-20-2015 _____
Date of Notice of Final Completion: 07-20-2015 _____
Contract Amount: \$538,978.00 _____
Evaluator Name and Title: Sophea Sem, Resident Engineer _____

The City's Resident Engineer most familiar with the Contractor's performance must complete this evaluation and submit it to Manager, PWA Project Delivery Division, within 30 calendar days of the issuance of the Final Payment.

Whenever the Resident Engineer finds the Contractor is performing below Satisfactory for any category of the Evaluation, the Resident Engineer shall discuss the perceived performance shortfall at the periodic site meetings with the Contractor. An Interim Evaluation will be performed if at any time the Resident Engineer finds that the overall performance of a Contractor is Marginal or Unsatisfactory. An Interim Evaluation is required prior to issuance of a Final Evaluation Rating of Unsatisfactory. The Final Evaluation upon Final Completion of the project will supersede interim ratings.

The following list provides a basic set of evaluation criteria that will be applicable to all construction projects awarded by the City of Oakland that are greater than \$50,000. Narrative responses are required to support any evaluation criteria that are rated as Marginal or Unsatisfactory, and must be attached to this evaluation. If a narrative response is required, indicate before each narrative the number of the question for which the response is being provided. Any available supporting documentation to justify any Marginal or Unsatisfactory ratings must also be attached.

If a criterion is rated Marginal or Unsatisfactory and the rating is caused by the performance of a subcontractor, the narrative will note this. The narrative will also note the General Contractor's effort to improve the subcontractor's performance.

ASSESSMENT GUIDELINES:

Outstanding (3 points)	Performance among the best level of achievement the City has experienced.
Satisfactory (2 points)	Performance met contractual requirements.
Marginal (1 point)	Performance barely met the lower range of the contractual requirements or performance only met contractual requirements after extensive corrective action was taken.
Unsatisfactory (0 points)	Performance did not meet contractual requirements. The contractual performance being assessed reflected serious problems for which corrective actions were ineffective.

WORK PERFORMANCE

Unsatisfactory
Marginal
Satisfactory
Outstanding
Not Applicable

1	Did the Contractor perform all of the work with acceptable Quality and Workmanship?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1a	If problems arose, did the Contractor provide solutions/coordinate with the designers and work proactively with the City to minimize impacts? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Was the work performed by the Contractor accurate and complete? If "Marginal or Unsatisfactory", explain on the attachment and provide documentation. Complete (2a) and (2b) below.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2a	Were corrections requested? If "Yes", specify the date(s) and reason(s) for the correction(s). Provide documentation.			Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	N/A <input type="checkbox"/>
2b	If corrections were requested, did the Contractor make the corrections requested? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3	Was the Contractor responsive to City staff's comments and concerns regarding the work performed or the work product delivered? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	Were there other significant issues related to "Work Performance"? If Yes, explain on the attachment. Provide documentation.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
5	Did the Contractor cooperate with on-site or adjacent tenants, business owners and residents and work in such a manner as to minimize disruptions to the public. If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6	Did the personnel assigned by the Contractor have the expertise and skills required to satisfactorily perform under the contract? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	Overall, how did the Contractor rate on work performance? The score for this category must be consistent with the responses to the questions given above regarding work performance and the assessment guidelines. Check 0, 1, 2, or 3.	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	

TIMELINESS

		Unsatisfactory	Marginal	Satisfactory	Outstanding	Not Applicable
8	Did the Contractor complete the work within the time required by the contract (including time extensions or amendments)? If "Marginal or Unsatisfactory", explain on the attachment why the work was not completed according to schedule. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	Was the Contractor required to provide a service in accordance with an established schedule (such as for security, maintenance, custodial, etc.)? If "No", or "N/A", go to Question #10. If "Yes", complete (9a) below.			Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input checked="" type="checkbox"/>
9a	Were the services provided within the days and times scheduled? If "Marginal or Unsatisfactory", explain on the attachment and specify the dates the Contractor failed to comply with this requirement (such as tardiness, failure to report, etc.). Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
10	Did the Contractor provide timely baseline schedules and revisions to its construction schedule when changes occurred? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11	Did the Contractor furnish submittals in a timely manner to allow review by the City so as to not delay the work? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12	Were there other significant issues related to timeliness? If yes, explain on the attachment. Provide documentation.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
13	Overall, how did the Contractor rate on timeliness? The score for this category must be consistent with the responses to the questions given above regarding timeliness and the assessment guidelines. Check 0, 1, 2, or 3.	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	

Unsatisfactory
Marginal
Satisfactory
Outstanding
Not Applicable

FINANCIAL

14	Were the Contractor's billings accurate and reflective of the contract payment terms? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation of occurrences and amounts (such as corrected invoices).	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
15	<p>Were there any claims to increase the contract amount? If "Yes", list the claim amount. Were the Contractor's claims resolved in a manner reasonable to the City?</p> <p>Number of Claims: _____</p> <p>Claim amounts: \$ _____</p> <p>Settlement amount: \$ _____</p>				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
16	Were the Contractor's price quotes for changed or additional work reasonable? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation of occurrences and amounts (such as corrected price quotes).	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17	Were there any other significant issues related to financial issues? If Yes, explain on the attachment and provide documentation.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
18	<p>Overall, how did the Contractor rate on financial issues?</p> <p>The score for this category must be consistent with the responses to the questions given above regarding financial issues and the assessment guidelines.</p> <p>Check 0, 1, 2, or 3.</p>	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	

Unsatisfactory
 Marginal
 Satisfactory
 Outstanding
 Not Applicable

COMMUNICATION

19	Was the Contractor responsive to the City's questions, requests for proposal, etc.? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20	Did the Contractor communicate with City staff clearly and in a timely manner regarding:					
20a	Notification of any significant issues that arose? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20b	Staffing issues (changes, replacements, additions, etc.)? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20c	Periodic progress reports as required by the contract (both verbal and written)? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20d	Were there any billing disputes? If "Yes", explain on the attachment.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
21	Were there any other significant issues related to communication issues? Explain on the attachment. Provide documentation.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
22	Overall, how did the Contractor rate on communication issues? The score for this category must be consistent with the responses to the questions given above regarding communication issues and the assessment guidelines. Check 0, 1, 2, or 3.	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	

SAFETY

Unsatisfactory
Marginal
Satisfactory
Outstanding
Not Applicable

23	Did the Contractor's staff consistently wear personal protective equipment as appropriate? If "No", explain on the attachment.				Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
24	Did the Contractor follow City and OSHA safety standards? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
25	Was the Contractor warned or cited by OSHA for violations? If Yes, explain on the attachment.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
26	Was there an inordinate number or severity of injuries? Explain on the attachment. If Yes, explain on the attachment.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
27	Was the Contractor officially warned or cited for breach of U.S. Transportation Security Administration's standards or regulations? If "Yes", explain on the attachment.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
28	Overall, how did the Contractor rate on safety issues? The score for this category must be consistent with the responses to the questions given above regarding safety issues and the assessment guidelines. Check 0, 1, 2, or 3.	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	

OVERALL RATING

Based on the weighting factors below, calculate the Contractor's overall score using the scores from the four categories above.

- | | | | |
|---|----------|----------|------------|
| 1. Enter Overall score from Question 7 | <u>2</u> | X 0.25 = | <u>0.5</u> |
| 2. Enter Overall score from Question 13 | <u>2</u> | X 0.25 = | <u>0.5</u> |
| 3. Enter Overall score from Question 18 | <u>2</u> | X 0.20 = | <u>0.4</u> |
| 4. Enter Overall score from Question 22 | <u>2</u> | X 0.15 = | <u>0.3</u> |
| 5. Enter Overall score from Question 28 | <u>2</u> | X 0.15 = | <u>0.3</u> |

TOTAL SCORE (Sum of 1 through 5): 2.0

OVERALL RATING: 2.0

Outstanding: Greater than 2.5
Satisfactory: Greater than 1.5 & less than or equal to 2.5
Marginal: Between 1.0 & 1.5
Unsatisfactory: Less than 1.0

PROCEDURE:

The Resident Engineer will prepare the Contractor Performance Evaluation and submit it to the Supervising Civil Engineer. The Supervising Civil Engineer will review the Contractor Performance Evaluation to ensure adequate documentation is included, the Resident Engineer has followed the process correctly, the Contractor Performance Evaluation has been prepared in a fair and unbiased manner, and the ratings assigned by the Resident Engineer are consistent with all other Resident Engineers using consistent performance expectations and similar rating scales.

The Resident Engineer will transmit a copy of the Contractor Performance Evaluation to the Contractor. Overall Ratings of Outstanding or Satisfactory are final and cannot be protested or appealed. If the Overall Rating is Marginal or Unsatisfactory, the Contractor will have 10 calendar days in which they may file a protest of the rating. The Public Works Agency Assistant Director, Design & Construction Services Department, will consider a Contractor's protest and render his/her determination of the validity of the Contractor's protest. If the Overall Rating is Marginal, the Assistant Director's determination will be final and not subject to further appeal. If the Overall Rating is Unsatisfactory and the protest is denied (in whole or in part) by the Assistant Director, the Contractor may appeal the Evaluation to the City Administrator, or his/her designee. The appeal must be filed within 14 calendar days of the Assistant Director's ruling on the protest. The City Administrator, or his/her designee, will hold a hearing with the Contractor within 21 calendar days of the filing of the appeal. The decision of the City Administrator regarding the appeal will be final.

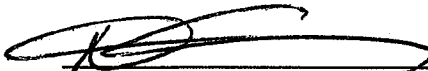
Contractors who receive an Unsatisfactory Overall Rating (i.e., Total Score less than 1.0) will be allowed the option of voluntarily refraining from bidding on any City of Oakland projects within one year from the date of the Unsatisfactory Overall Rating, or of being categorized as non-responsible for any projects the Contractor bids on for a period of one year from the date of the Unsatisfactory Overall Rating. Two Unsatisfactory Overall Ratings within any five year period will result in the Contractor being categorized by the City Administrator as non-

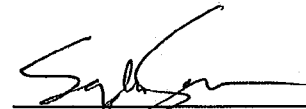
responsible for any bids they submit for future City of Oakland projects within three years of the date of the last Unsatisfactory overall rating.

Any Contractor that receives an Unsatisfactory Overall Rating is required to attend a meeting with the City Administrator, or his/her designee, prior to returning to bidding on City projects. The Contractor is required to demonstrate improvements made in areas deemed Unsatisfactory in prior City of Oakland contracts.

The Public Works Agency Contract Administration Section will retain the final evaluation and any response from the Contractor for a period of five years. The City shall treat the evaluation as confidential, to the extent permitted by law.

COMMUNICATING THE EVALUATION: *The Contractor's Performance Evaluation has been communicated to the Contractor. Signature does not signify consent or agreement.*

 10/15/14
Contractor / Date

 09/22/15
Resident Engineer / Date

for  10/15/15
Supervising Civil Engineer / Date

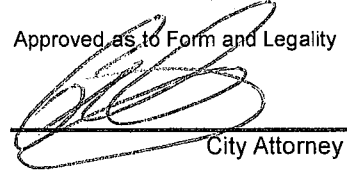
ATTACHMENT TO CONTRACTOR PERFORMANCE EVALUATION:

Use this sheet to provide any substantiating comments to support the ratings in the Performance Evaluation. Indicate before each narrative the number of the question for which the response is being provided. Attach additional sheets if necessary.

FILED
OFFICE OF THE CITY CLERK
OAKLAND

OAKLAND CITY COUNCIL

Approved as to Form and Legality



City Attorney

2016 SEP 29 PM 3:07 RESOLUTION No. _____ C.M.S.

Introduced by Councilmember _____

RESOLUTION AWARDING A CONSTRUCTION CONTRACT TO PACIFIC TRENCHLESS, INC., THE LOWEST RESPONSIVE AND RESPONSIBLE BIDDER, IN ACCORDANCE WITH PROJECT PLANS AND SPECIFICATIONS FOR THE REHABILITATION OF SANITARY SEWERS IN THE AREA BOUNDED BY 39TH AVENUE, MOUNTAIN BOULEVARD, REINHARDT DRIVE, AND HIGHWAY 13 (PROJECT NO. C329148) AND WITH CONTRACTOR'S BID IN THE AMOUNT OF TWO MILLION FIVE HUNDRED THIRTY-NINE THOUSAND FOUR HUNDRED FORTY-SEVEN DOLLARS (\$2,539,447.00)

WHEREAS, on July 28, 2016, three bids were received by the Office of the City Clerk of the City of Oakland for The Rehabilitation Of Sanitary Sewers In The Area Bounded By 39th Avenue, Mountain Boulevard, Reinhardt Drive, And Highway 13 (Project No. C329148); and

WHEREAS, Pacific Trenchless, Inc., a certified SLBE bidding as a prime, is deemed the lowest responsive and responsible bidder for the project; and

WHEREAS, there are sufficient funds in the project budget for the work. Funding for this project is available in the following project account as part of FY 2016-17 CIP budget:

- Sewer Service Fund (3100); Capital Projects - Sanitary Sewer Design Organization (92244); Sewers Account (57417); Project No. C329148; \$2,539,447.00; and these funds were specifically allocated for this project; this project will help reduce the amount of sanitary sewer maintenance requirement; and

WHEREAS, the City Council finds and determines based on the representations set forth in the City Administrator's report accompanying this Resolution that the construction contract approved hereunder is temporary in nature; and

WHEREAS, the City lacks the equipment and qualified personnel to perform the necessary work, that the performance of this contract is in the public interest because of economy or better performance and that this contract is of a professional, scientific or technical nature; and

WHEREAS, the City Council finds and determines that the performance of this contract shall not result in the loss of employment or salary by any person having permanent status in the competitive service now, therefore, be it

RESOLVED: Pacific Trenchless, Inc. complies with all LBE/SLBE and trucking requirements; and

RESOLVED: That the City Administrator is authorized to award a construction contract for The Rehabilitation of Sanitary Sewers in the Area Bounded By 39th Avenue, Mountain

