

CITY OF OAKLAND

FILED
OFFICE OF THE CITY CLERK
OAKLAND

2015 APR -1 AM 12: 55

AGENDA REPORT

TO: JOHN A. FLORES
INTERIM CITY ADMINISTRATOR

FROM: Brooke A. Levin

SUBJECT: Rehab of Sanitary Sewers

DATE: February 25, 2015

City Administrator
Approval

Date:

2/25/15

COUNCIL DISTRICT: 4

RECOMMENDATION

Staff recommends that the City Council adopt a resolution awarding a construction contract to Pacific Trenchless, Inc., the lowest responsive, responsible bidder, in accordance with plans and specifications for the Rehabilitation of Sanitary Sewers in The Area Bounded By Mountain Boulevard, Joaquin Miller Road, Skyline Boulevard And Castle Drive (Project No. C329144) and with contractor's bid in the amount of Four Million Three Hundred Seventy-One Thousand Seven Hundred Seventy-Three Dollars (\$4,371,773.00).

OUTCOME

Approval of this resolution will authorize the City Administrator to execute a construction contract with Pacific Trenchless, Inc. in the amount of \$4,371,773.00. The work to be completed under this project is part of the City's annual Sanitary Sewer Rehabilitation program and is required under the 2014 sewer Consent Decree. This project will rehabilitate over six miles of the annual requirement of 12 miles. The work is located in Council District 4 as shown in *Attachment A*.

BACKGROUND/LEGISLATIVE HISTORY

On December 11, 2014, the City Clerk received three bids for this project in the amount of \$4,371,773.00, \$4,572,828.00, and \$4,787,296.00. Pacific Trenchless, Inc. is deemed the lowest responsive and responsible bidder and therefore is recommended for the award. The Engineer's estimate for the work is \$5,154,120.00. The proposed work consists, in general, of rehabilitating approximately 31,647 linear feet of existing 8" diameter sewer pipes and approximately 1,790 linear feet of existing 12" diameter sanitary sewer pipes by pipe-expanding, open trench or cure in place pipe (CIPP) method; rehabilitating sewer structures; reconnecting house connection sewers;

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rehabilitating house connections sewers, and other related works as indicated on the plans and specifications. This project is part of the City's annual Sanitary Sewer Rehabilitation program intended to improve the sanitary system conditions throughout Oakland, and is required under the 2014 sewer Consent Decree. Staff has reviewed the submitted bid by Pacific Trenchless for the work and has determined that it reflects the current construction market conditions.

ANALYSIS

Adoption of this resolution will allow the City Administrator or designee to execute a construction contract with Pacific Trenchless, Inc., for The Rehabilitation of Sanitary Sewers in The Area Bounded By Mountain Boulevard, Joaquin Miller Road, Skyline Boulevard And Castle Drive (Project No. C329144). Under the proposed contract with Pacific Trenchless, Inc., the Local Business Enterprise/Small Local Business Enterprise (LBE/SLBE) participation will be 91.51%, which exceeds the City's 50% LBE/SLBE requirement. Trucking participation is 100% and exceeds the 50% requirement. The contractor is required to have 50% of the work hours performed by Oakland residents, and 50% of all new hires are to be Oakland residents. The LBE/SLBE information has been verified by Contracts and Compliance of the City Administrator's Office, and is shown in *Attachment C*.

Construction is scheduled to begin in June 2015 and should be completed by December 2015. The contract specifies \$1,000.00 in liquidated damages per calendar day if the contract is not completed within 120 working days. The project schedule is shown in *Attachment B*.

The Environmental Protection Agency (EPA) mandates the reduction of sanitary sewer flows during storm events. This project is part of the City-wide program to improve pipe conditions and reduce wet weather peak flows in sanitary sewer system.

PUBLIC OUTREACH/INTEREST

The Homeowner Associations and Merchants Associations in the area have been notified in writing about this project. Prior to starting construction, residents who are affected with work in the easement will be notified individually of the construction schedule, planned activities, and contact information.

COORDINATION

The work to be done under this contract was coordinated with OPW Bureau of Infrastructure and Operations and Contracts and Compliance Division. In addition, the Office of City Attorney and the Controller's Bureau have reviewed this report and resolution.

COST SUMMARY/IMPLICATIONS

1. AMOUNT OF RECOMMENDATION/COST OF PROJECT:

FUNDING SOURCE	AMOUNT
The Rehabilitation of Sanitary Sewers in the Area Bounded By Mountain Boulevard, Joaquin Miller Road, Skyline Boulevard and Castle Drive (Project No. C329144)	\$4,371,773.00

2. COST ELEMENTS OF AGREEMENTS/CONTRACTS: \$4,371,773.00

3. SOURCE OF FUNDING:

FUNDING SOURCES	AMOUNT
Sewer Service Fund (3100); Capital Project – Sanitary Sewer Design Organization (92244); Sewers Account (57417); Project C329144	\$4,371,773.00

4. FISCAL IMPACT:

Approval of this resolution will authorize the City Administrator or designee to execute a construction contract in the amount of \$4,371,773.00. Funds for this project have been appropriated and available as shown above.

PAST PERFORMANCE, EVALUATION AND FOLLOW-UP

The Contractor Performance Evaluation for Pacific Trenchless, Inc. from a previously completed project is satisfactory and is included as *Attachment D*.

SUSTAINABLE OPPORTUNITIES

Economic: The contractors are all verified for Local Business Enterprise and Small Local Business Enterprise (LBE/SLBE) participation by the Social Equity Division of the Department of Contracting and Purchasing. The contractors are required to have 50% of the work hours performed by Oakland residents, and 50% of all new hires are to be Oakland residents, which will result in dollars being spent locally.

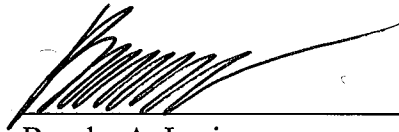
Environmental: Replacing sanitary sewers will minimize sewer leakage and overflows, thus preventing potential harm to property, groundwater resources and the bay. The contractor will be required to make every effort to reuse clean fill materials and use recyclable concrete and

asphalt products. Best Management Practices for the protection of storm water runoff during construction will be required.

Social Equity: This project is part of the citywide program to eliminate wastewater overflows, thereby benefiting all Oakland residents.

For questions regarding this report, please contact Gus Amirzehni, Engineering Design and Right-of-Way Manager at (510) 238-6601.

Respectfully submitted,



Brooke A. Levin
Director, Oakland Public Works

Reviewed by:
Michael J. Neary, P.E., Assistant Director
Bureau of Engineering and Construction

Reviewed by:
Gus Amirzehni, P.E., Engineering Design and R.O.W.
Division Manager

Prepared by:
Jimmy Mach, P.E., Supervising Civil Engineer
Engineering Design and R.O.W. Management Division

Attachments (4)

Attachment A: Project Location Map

Attachment B: Project Construction Schedule

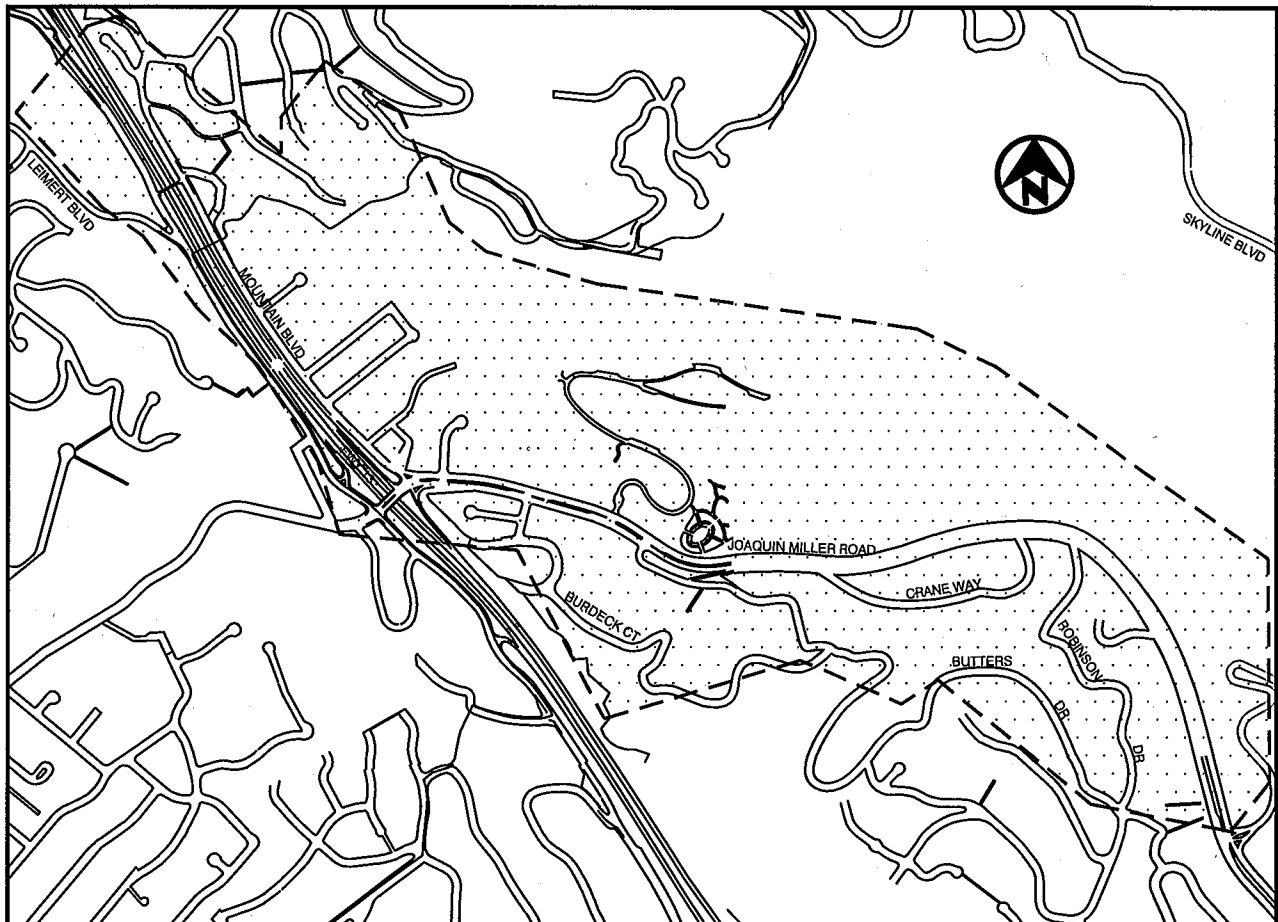
Attachment C: Contracts & Compliance Unit Compliance Evaluation

Attachment D: Contractor Performance Evaluation

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THE REHABILITATION OF SANITARY SEWERS IN THE AREA BOUNDED BY MOUNTAIN BOULEVARD, JOAQUIN MILLER ROAD, SKYLINE BOULEVARD AND CASTLE DRIVE (SUB-BASIN 56-06)

CITY PROJECT NO. C329144



LOCATION MAP

NOT TO SCALE

LIMIT OF WORK 



INTER OFFICE MEMORANDUM

TO: Gunawan Santoso
Civil Engineer

FROM: Deborah Barnes, Director
Contracts and Compliance

SUBJECT: Compliance Analysis

DATE: March 4, 2015

The Rehabilitation of Sanitary Sewers in the Area Bounded by Mountain Boulevard,
Joaquin Miller Road, Skyline Boulevard and Castle Drive (Sub-Basin 56-06)
Project No. C329144

The City Administrator's Office, Contracts and Compliance Unit, reviewed three (3) bids in response to the above referenced project. Below is the outcome of the compliance evaluation for the minimum 50% Local and Small Local Business Enterprise (L/SLBE) participation requirement, a preliminary review for compliance with the Equal Benefits Ordinance (EBO), and a brief overview of the lowest responsible bidder's compliance with the 50% Local Employment Program (LEP) and the 15% Oakland Apprenticeship Program on the bidder's most recently completed City of Oakland project.

The above referenced project contains Cured in Place Pipe (CIPP) specialty work. The Standard Specifications for Public Works Construction, "Greenbook", page 10 section 2-3.2 (Attachment A) describes how specialty work may be addressed. Based upon the Greenbook and per the specifications, the CIPP specialty items have been excluded from the contractor's bid price for purposes of determining compliance with the minimum 50% L/SLBE requirement.

The Compliance spreadsheet is a revised format specifically for this analysis. The spreadsheet shows: Column A - Original Bid Amount; Column B - Specialty Dollar Amount submitted by the contractor; Column C - Non-Specialty Bid Amount (difference between column A and B); Column D - Total Credited Participation; Column E - Earned Bid Discounts as a result of the total credited participation and Column F - Adjusted Bid Amount calculated by applying the earned bid discount to the Original Bid Amount (column A).

Responsive		Proposed Participation							Earned Credits and Discounts			EBO Compliant? Y/N
Company Name	Original Bid Amount	Specialty Dollar Amount	Non Specialty Dollar Amount	Total LBE/SLBE/VSL BE/LPG	LBE	SLBE	VSLBE/LPG	L/SLBE Trucking	Total Credited participation	Earned Bid Discounts	Adjusted Bid Amount	
		<i>A</i>	<i>B</i>	<i>C</i>					<i>D</i>	<i>E</i>	<i>F</i>	
Pacific Trenchless, Inc.	\$4,371,773	\$37,295	\$4,334,478	91.51%	0.00%	91.51%	0.00%	100%	91.51%	5%	\$4,155,049.10	Y
Andes Construction, Inc.	\$4,572,828	\$33,003	\$4,539,825	91.45%	0.00%	90.79%	0.66%	100%	91.45%	5%	\$4,345,836.75	Y
J. Howard Engineering, Inc.	\$4,787,296	\$31,284	\$4,756,012	94.11%	0.21%	93.48%	0.42%	100%	94.11%	5%	\$4,549,495.40	Y

Comments: As noted above, all firms exceeded the minimum 50% Local/Small Local Business Enterprise participation requirement. All firms are EBO compliant.

*Andes Construction Inc. and J. Howard Engineering, Inc.'s proposed VSLBE/LPG participation values are 0.66% and 0.42%, however, per the L/SLBE Program a VSLBE/LPG's participation is double counted towards meeting the requirement. Therefore, the VSLBE/LPG values are 1.32% and 0.84%.

For Informational Purposes

Listed below is the lowest responsible bidder's compliance with the 50% Local Employment Program (LEP) and the 15% Oakland Apprenticeship Program for the lowest bidder's most recently completed City of Oakland project.

Contractor Name: Pacific Trenchless
Project Name: Rehab. Of Sanitary Sewers between Moore, Saroni and Arrowhead
Project No: C329125

50% Local Employment Program (LEP)

Was the 50% LEP Goal achieved?	Yes	If no, shortfall hours?	
Were all shortfalls satisfied?	Yes	If no, penalty amount	

15% Oakland Apprenticeship Program

Was the 15% Apprenticeship Goal achieved?	Yes	If no, shortfall hours?	
Were shortfalls satisfied?	Yes	If no, penalty amount?	

The spreadsheet below provides details of the 50% LEP and 15% Apprenticeship Programs. Information provided includes the following data: A) total project hours, B) core workforce hours deducted, C) LEP project employment and work hour goal; D) LEP employment and work hours achieved; E)# resident new hires; F) shortfall hours; G) percent LEP compliance; H) total apprentice hours; I) apprenticeship goal and hours achieved; and J) Apprentice shortfall hours.

Total Project Hours	50% Local Employment Program (LEP)							15% Apprenticeship Program				
	Core Workforce Hours Deducted	LEP Project Employment and Work Hours Goal		LEP Employment and Work Hours Achieved		# Resident New Hires	Shortfall Hours	% LEP Compliance	Total Oakland Apprenticeship Hours Achieved	Apprenticeship Goal and Hours		Apprentice Shortfall Hours
		Goal	Hours	Goal	Hours					Goal	Hours	
A	B	C		D		E	F	G	H	I		J
740	0	50%	370	100%	370	0	0	100%	111	15%	111	0

Comments: Pacific Trenchless exceeded the Local Employment Program's 50% resident hiring goal with 100% resident employment and met the 15% Oakland Apprenticeship Program goals with 56 on-site hours and 56 off-site hours.

Should you have any questions, you may contact Sophany Hang, Contract Compliance Officer at (510) 238-3723.

CONTRACTS AND COMPLIANCE UNIT



Contract Compliance Division

PROJECT EVALUATION FORM

PROJECT NO.: C329144

PROJECT NAME: The Rehabilitation of Sanitary Sewers in the Area Bounded by Mountain Boulevard, Joaquin Miller Road, Skyline Boulevard and Castle Drive (Sub-Basin 56-06)

CONTRACTOR: Pacific Trenchless, Inc.

<u>Engineer's Estimate:</u>	<u>Contractors' Original Bid Amount</u>	<u>Specialty Dollar Amount</u>	<u>Over/Under Engineer's Estimate</u>
\$5,154,120.00	\$4,371,773.00	\$37,295.00	\$782,347.00

<u>Discounted Bid Amount:</u>	<u>Amount of Bid Discount</u>	<u>Non-Specialty Bid Amt.</u>	<u>Discount Points:</u>
\$4,155,049.10	\$216,723.90	\$4,334,478.00	5%

- 1. Did the 50% requirements apply? **YES**
- 2. Did the contractor meet the 50% requirement? **YES**
 - b) % of LBE participation **0.00%**
 - c) % of SLBE participation **91.51%**
 - d) % of VSLBE/LPG Participation **0.00%**
- 3. Did the contractor meet the L/SLBE Trucking requirement? **YES**
 - a) Total L/SLBE trucking participation **100%**
- 4. Did the contractor receive bid discounts? **YES**
 - (If yes, list the percentage received) **5%**
- 5. Additional Comments.

Bid items #21 and #22 are considered specialty work and were excluded from the total bid price for the purposes of determining compliance with the 50% L/SLBE requirement.

6. Date evaluation completed and returned to Contract Admin./Initiating Dept.

3/3/2015

Date

Reviewing Officer:

[Signature]

Date:

3/3/2015

Approved By:

[Signature: Shelley Darenburg]

Date:

3/3/2015

CONTRACTS AND COMPLIANCE UNIT



Contract Compliance Division

PROJECT EVALUATION FORM

PROJECT NO.: C329144

PROJECT NAME: The Rehabilitation of Sanitary Sewers in the Area Bounded by Mountain Boulevard, Joaquin Miller Road, Skyline Boulevard and Castle Drive (Sub-Basin 56-06)

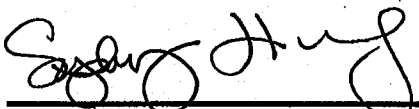
CONTRACTOR: Andes Construction, Inc.

<u>Engineer's Estimate:</u>	<u>Contractors' Original Bid Amount</u>	<u>Specialty Dollar Amount</u>	<u>Over/Under Engineer's Estimate</u>
\$5,154,120.00	\$4,572,828.00	\$33,003.00	\$581,292.00
<u>Discounted Bid Amount:</u>	<u>Amount of Bid Discount</u>	<u>Non-Specialty Bid Amount</u>	<u>Discount Points:</u>
\$4,345,836.75	\$226,991.25	\$4,539,825.00	5%

- 1. Did the 50% requirements apply? **YES**
- 2. Did the contractor meet the 50% requirement? **YES**
 - b) % of LBE participation **0.00%**
 - c) % of SLBE participation **90.79%**
 - d) % of VSLBE/LPG participation **0.66%** (double **1.32%** Counted value)
- 3. Did the contractor meet the L/SLBE Trucking requirement? **YES**
 - a) Total L/SLBE trucking participation **100%**
- 4. Did the contractor receive bid discounts? **YES**
 - (if yes, list the percentage received) **5.00%**
- 5. Additional Comments.

Bid items #21 and #22 are considered specialty work and were excluded from the total bid price for the purposes of determining compliance with the 50% L/SLBE requirement. *Proposed VSLBE/LPG participation is valued at 0.66%, however per the L/SLBE Program a VSLBE/LPG's participation is double counted towards meeting the requirement. Therefore, the value is 1.32%.

6. Date evaluation completed and returned to Contract Admin./Initiating Dept.

Reviewing Officer:  **Date:** 3/3/2015

Approved By: Shelley Darenburg **Date:** 3/3/2015

LBE/SLBE PARTICIPATION BIDDER 2

Project Name: **The Rehabilitation of Sanitary Sewers in the Area Bounded by Mountain Boulevard, Joaquin Miller Road, Skyline Boulevard and Castle Drive (Sub-Basin 56-06)**

C329144		Engineers Est: \$5,154,120.00				Under/Over Engineers Estimate: \$581,292.00					For Tracking Only				
Discipline	Prime & Subs	Location	Cert.	LBE	SLBE	**VSLBE/LPG <small>double counted value</small>	Total	L/SLBE	Total	*Non-Specialty Bid Amount	TOTAL Original Bid Amount Dollars	Ethn.	MBE	WBE	
							LBE/SLBE	Trucking	Trucking						
PRIME	Andes Construction, Inc.	Oakland	CB		4,121,825		4,121,825			4,121,825	4,143,828	H	4,118,828		
Saw Cut	Bayline	Oakland	UB							5,000	5,000	H	5,000		
Trucking	Foston Trucking	Oakland	CB			10,000	10,000	10,000	10,000	10,000	10,000	AA	10,000		
Precast	Old Castle	Pleasanton	UB							80,000	80,000	C			
AC	Gallagher & Burk	Oakland	CB			20,000	20,000			20,000	20,000	C			
AB	Inner City	Oakland	UB							25,000	25,000	C			
Rock	Dutra	San Rafael	UB							28,000	28,000	C			
CIPP Felt	Masterliner	Hammond	UB								8,000	C			
Resin	Composites One	Sacramento	UB								3,000	C			
Rehab Material	Contech	Stockton	UB							50,000	50,000	C			
HDPE Pipe & Fitting	P & F Distributors	Brisbane	UB							100,000	100,000	C			
HDPE Pipe & Fitting	Maskell Pipe	Tracy	UB							100,000	100,000	C			
Project Totals				\$0	\$4,121,825	\$30,000	\$4,151,825	\$10,000	\$10,000	\$4,539,825	\$4,572,828		\$4,133,828	\$0	
				0.00%	90.79%	0.66%	91.45%	100%	100%	100%	100%		91.06%	0%	
Requirements: The 50% requirement is a combination of 25% LBE and 25% SLBE participation. An SLBE firm can be counted 100% towards achieving the 50% requirement. A VSLBE and LPG's participation is double counted toward meeting the requirement.				LBE 25%	SLBE 25%	VSLBE/LPG	TOTAL LBE/SLBE/VSLBE/LPG	50% LBE/SLBE/TRUCKING				Ethnic AA = African American AI = Asian Indian AP = Asian Pacific C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed MO = Multiple Ownership			
Legend				LBE = Local Business Enterprise		SLBE = Small Local Business Enterprise		VSLBE = very Small Business Enterprise		LPG = Locally Produced Goods		Total LBE/SLBE = All Certified Local and Small Local Businesses			
				NPLBE = NonProfit Local Business Enterprise		NPSLBE = NonProfit Small Local Business Enterprise		UB = Uncertified Business		CB = Certified Business		MBE = Minority Business Enterprise		WBE = Women Business Enterprise	

* The above project contains specialty work. The Non-Specialty Work Bid Dollars were used for the purposes of determining compliance with minimum 50% L/SLBE participation requirement.

** Proposed VSLBE/LPG participation is valued at 0.66%, however per the L/SLBE Program a VSLBE/LPG's participation is double counted towards meeting the requirement. Double counted percentage is reflected on the evaluation form and cover memo.

CONTRACTS AND COMPLIANCE UNIT



Contract Compliance Division

PROJECT EVALUATION FORM

PROJECT NO.: C329144

PROJECT NAME: The Rehabilitation of Sanitary Sewers in the Area Bounded by Mountain Boulevard, Joaquin Miller Road, Skyline Boulevard and Castle Drive (Sub-Basin 56-06)

CONTRACTOR: J. Howard Engineering, Inc.

<u>Engineer's Estimate:</u>	<u>Contractors' Original Bid Amount</u>	<u>Specialty Dollar Amount</u>	<u>Over/Under Engineer's Estimate</u>
\$5,154,120.00	\$4,787,296.00	\$31,284.00	\$366,824.00

<u>Discounted Bid Amount:</u>	<u>Amount of Bid Discount</u>	<u>Non-Specialty Bid Amt.</u>	<u>Discount Points:</u>
\$4,549,495.40	\$237,800.60	\$4,756,012.00	5%

1. Did the 50% requirements apply? **YES**
2. Did the contractor meet the 50% requirement? **YES**
 - b) % of LBE participation **0.21%**
 - c) % of SLBE participation **93.48%**
 - d) % of VSLBE/LPG Participation **0.42%** (double **0.84%** Counted value)
3. Did the contractor meet the L/SLBE Trucking requirement? **YES**
 - a) Total L/SLBE trucking participation **100%**
4. Did the contractor receive bid discounts? **YES**
 - (If yes, list the percentage received) **5.00%**
5. Additional Comments.

Bid Items #21 and #22 are considered specialty work and were excluded from the total bid price for the purposes of determining compliance with the 50% L/SLBE requirement. *Proposed VSLBE/LPG participation is valued at 0.42%, however per the L/SLBE Program a VSLBE/LPG's participation is double counted towards meeting the requirement. Therefore, the value is 0.84%.

6. Date evaluation completed and returned to Contract Admin./Infillating Dept.

3/3/2015

Date

Reviewing Officer:

Suzanne Hays

Date:

3/3/2015

Approved By:

Shelley Rosenburg

Date:

3/3/2015

LBE/SLBE PARTICIPATION BIDDER 3

Project Name: **The Rehabilitation of Sanitary Sewers in the Area Bounded by Mountain Boulevard, Joaquin Miller Road, Skyline Boulevard and Castle Drive (Sub-Basin 56-06)**

Project No.: C329144		Engineers Est. \$5,154,120.00					Under/Over Engineers Estimate: \$366,824.00					For Tracking Only		
Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	**VSLBE/LPG <small>double counted value</small>	Total	L/SLBE	Total	*Non-Specialty	TOTAL Original	Ethn.	MBE	WBE
							LBE/SLBE	Trucking	Trucking	Bid Amount	Bid Amount			
PRIME	J. Howard Engineering, Inc.	Oakland	CB		4,426,012		4,426,012			4,426,012	4,437,296	C		
Trucking	CJC Trucking	Oakland	CB		20,000		20,000	20,000	20,000	20,000	20,000	AA	20,000	
Saw Cutting	Bayline Cutting	Berkeley	UB							5,000	5,000	H	5,000	
HDPE Pipe	Maskell Pipe & Supply	Tracy	UB							235,000	235,000	C		
Precast Manhole	Old Castle Precast	Pleasanton	UB							10,000	10,000	C		
CIPP	Christian Bros. Lining	Pleasanton	UB								20,000	C		
Ready Mix Concrete	Right Away Ready	Oakland	UB							10,000	10,000	C		
AC Paving	Gallagher & Burk	Oakland	CB			20,000	20,000			20,000	20,000	C		
AC Drainrock	Argent Materials	Oakland	UB							5,000	5,000	C		
Fittings	Pace Supply	Oakland	CB	10,000			10,000			10,000	10,000	C		
Manhole Rehab	Contech of CA	Stockton	UB							15,000	15,000	C		
Project Totals				\$10,000	\$4,446,012	\$20,000	\$4,476,012	\$20,000	\$20,000	\$4,756,012	\$4,787,296		\$25,000	\$0.00
				0.21%	93.48%	0.42%	94.11%	100%	100%	100%	100%		0.52%	0.00%
Requirements: The 50% requirement is a combination of 25% LBE and 25% SLBE participation. An SLBE firm can be counted 100% towards achieving the 50% requirement. A VSLBE and LPG's participation is double counted toward meeting the requirement.				LBE 25%	SLBE 25%	VSLBE/LPG	TOTAL LBE/SLBE/VSLBE/LPG	50% LBE/SLBE TRUCKING				Ethnicity AA = African American AI = Asian Indian AP = Asian Pacific C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed MO = Multiple Ownership		
Legend	LBE = Local Business Enterprise SLBE = Small Local Business Enterprise VSLBE = Very Small Local Business Enterprise LPG = Locally Produced Goods Total LBE/SLBE = All Certified Local and Small Local Businesses NPLBE = NonProfit Local Business Enterprise NPSLBE = NonProfit Small Local Business Enterprise			UB = Uncertified Business CB = Certified Business MBE = Minority Business Enterprise WBE = Women Business Enterprise										

* The above project contains specialty work. The Non-Specialty Work Bid Dollars were used for the purposes of determining compliance with minimum 50% L/SLBE participation requirement. ** Proposed VSLBE/LPG participation is valued at 0.42%, however per the L/SLBE Program a VSLBE/LPG's participation is double counted towards meeting the requirement.

Attachment D

**Schedule L-2
City of Oakland
Public Works Agency
CONTRACTOR PERFORMANCE EVALUATION**

C329125 / SS Rehab In Moore & Alken, Saroni & Arrowhead, Glencourt & Homewood

Project Number/Title: _____

Work Order Number (if applicable): _____

Contractor: Pacific Trenchless Inc.

Date of Notice to Proceed: 4/16/2011

Date of Notice of Completion: 1/16/2013

Date of Notice of Final Completion: 1/16/2013

Contract Amount: \$320,405.00

Evaluator Name and Title: Paul Tran, Resident Engineer

The City's Resident Engineer most familiar with the Contractor's performance must complete this evaluation and submit it to Manager, PWA Project Delivery Division, within 30 calendar days of the issuance of the Final Payment.

Whenever the Resident Engineer finds the Contractor is performing below Satisfactory for any category of the Evaluation, the Resident Engineer shall discuss the perceived performance shortfall at the periodic site meetings with the Contractor. An Interim Evaluation will be performed if at any time the Resident Engineer finds that the overall performance of a Contractor is Marginal or Unsatisfactory. An Interim Evaluation is required prior to issuance of a Final Evaluation Rating of Unsatisfactory. The Final Evaluation upon Final Completion of the project will supersede interim ratings.

The following list provides a basic set of evaluation criteria that will be applicable to all construction projects awarded by the City of Oakland that are greater than \$50,000. Narrative responses are required to support any evaluation criteria that are rated as Marginal or Unsatisfactory, and must be attached to this evaluation. If a narrative response is required, indicate before each narrative the number of the question for which the response is being provided. Any available supporting documentation to justify any Marginal or Unsatisfactory ratings must also be attached.

If a criterion is rated Marginal or Unsatisfactory and the rating is caused by the performance of a subcontractor, the narrative will note this. The narrative will also note the General Contractor's effort to improve the subcontractor's performance.

ASSESSMENT GUIDELINES:

Outstanding (3 points)	Performance among the best level of achievement the City has experienced.
Satisfactory (2 points)	Performance met contractual requirements.
Marginal (1 point)	Performance barely met the lower range of the contractual requirements or performance only met contractual requirements after extensive corrective action was taken.
Unsatisfactory (0 points)	Performance did not meet contractual requirements. The contractual performance being assessed reflected serious problems for which corrective actions were ineffective.

Unsatisfactory
 Marginal
 Satisfactory
 Outstanding
 Not Applicable

WORK PERFORMANCE

1	Did the Contractor perform all of the work with acceptable Quality and Workmanship?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1a	If problems arose, did the Contractor provide solutions/coordinate with the designers and work proactively with the City to minimize impacts? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Was the work performed by the Contractor accurate and complete? If "Marginal or Unsatisfactory", explain on the attachment and provide documentation. Complete (2a) and (2b) below.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2a	Were corrections requested? If "Yes", specify the date(s) and reason(s) for the correction(s). Provide documentation.			Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	N/A <input type="checkbox"/>
2b	If corrections were requested, did the Contractor make the corrections requested? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	Was the Contractor responsive to City staff's comments and concerns regarding the work performed or the work product delivered? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	Were there other significant issues related to "Work Performance"? If Yes, explain on the attachment. Provide documentation.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
5	Did the Contractor cooperate with on-site or adjacent tenants, business owners and residents and work in such a manner as to minimize disruptions to the public. If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6	Did the personnel assigned by the Contractor have the expertise and skills required to satisfactorily perform under the contract? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	Overall, how did the Contractor rate on work performance? The score for this category must be consistent with the responses to the questions given above regarding work performance and the assessment guidelines. Check 0, 1, 2, or 3.	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	

Unsatisfactory
Marginal
Satisfactory
Outstanding
Not Applicable

TIMELINESS

8	Did the Contractor complete the work within the time required by the contract (including time extensions or amendments)? If "Marginal or Unsatisfactory", explain on the attachment why the work was not completed according to schedule. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	Was the Contractor required to provide a service in accordance with an established schedule (such as for security, maintenance, custodial, etc.)? If "No", or "N/A", go to Question #10. If "Yes", complete (9a) below.			Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	N/A <input type="checkbox"/>
9a	Were the services provided within the days and times scheduled? If "Marginal or Unsatisfactory", explain on the attachment and specify the dates the Contractor failed to comply with this requirement (such as tardiness, failure to report, etc.). Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10	Did the Contractor provide timely baseline schedules and revisions to its construction schedule when changes occurred? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11	Did the Contractor furnish submittals in a timely manner to allow review by the City so as to not delay the work? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12	Were there other significant issues related to timeliness? If yes, explain on the attachment. Provide documentation.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
13	Overall, how did the Contractor rate on timeliness? The score for this category must be consistent with the responses to the questions given above regarding timeliness and the assessment guidelines. Check 0, 1, 2, or 3.	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	

Unsatisfactory
Marginal
Satisfactory
Outstanding
Not Applicable

FINANCIAL

14	Were the Contractor's billings accurate and reflective of the contract payment terms? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation of occurrences and amounts (such as corrected invoices).	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
15	Were there any claims to increase the contract amount? If "Yes", list the claim amount. Were the Contractor's claims resolved in a manner reasonable to the City? Number of Claims: _____ Claim amounts: \$ _____ Settlement amount: \$ _____				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
16	Were the Contractor's price quotes for changed or additional work reasonable? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation of occurrences and amounts (such as corrected price quotes).	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17	Were there any other significant issues related to financial issues? If Yes, explain on the attachment and provide documentation.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
18	Overall, how did the Contractor rate on financial issues? The score for this category must be consistent with the responses to the questions given above regarding financial issues and the assessment guidelines. Check 0, 1, 2, or 3.	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	

Unsatisfactory
Marginal
Satisfactory
Outstanding
Not Applicable

COMMUNICATION

19	Was the Contractor responsive to the City's questions, requests for proposal, etc.? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20	Did the Contractor communicate with City staff clearly and in a timely manner regarding:					
20a	Notification of any significant issues that arose? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20b	Staffing issues (changes, replacements, additions, etc.)? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20c	Periodic progress reports as required by the contract (both verbal and written)? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20d	Were there any billing disputes? If "Yes", explain on the attachment.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
21	Were there any other significant issues related to communication issues? Explain on the attachment. Provide documentation.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
22	Overall, how did the Contractor rate on communication issues? The score for this category must be consistent with the responses to the questions given above regarding communication issues and the assessment guidelines. Check 0, 1, 2, or 3.	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	

SAFETY

		Unsatisfactory	Marginal	Satisfactory	Outstanding	Not Applicable
23	Did the Contractor's staff consistently wear personal protective equipment as appropriate? If "No", explain on the attachment.				Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
24	Did the Contractor follow City and OSHA safety standards? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
25	Was the Contractor warned or cited by OSHA for violations? If Yes, explain on the attachment.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
26	Was there an inordinate number or severity of injuries? Explain on the attachment. If Yes, explain on the attachment.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
27	Was the Contractor officially warned or cited for breach of U.S. Transportation Security Administration's standards or regulations? If "Yes", explain on the attachment.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
28	Overall, how did the Contractor rate on safety issues? The score for this category must be consistent with the responses to the questions given above regarding safety issues and the assessment guidelines. Check 0, 1, 2, or 3.	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	

OVERALL RATING

Based on the weighting factors below, calculate the Contractor's overall score using the scores from the four categories above.

1. Enter Overall score from Question 7	<u>2</u>	X 0.25 =	<u>0.5</u>
2. Enter Overall score from Question 13	<u>2</u>	X 0.25 =	<u>0.5</u>
3. Enter Overall score from Question 18	<u>2</u>	X 0.20 =	<u>0.4</u>
4. Enter Overall score from Question 22	<u>2</u>	X 0.15 =	<u>0.3</u>
5. Enter Overall score from Question 28	<u>2</u>	X 0.15 =	<u>0.3</u>

TOTAL SCORE (Sum of 1 through 5): 2.0

OVERALL RATING: 2.0

Outstanding: Greater than 2.5
Satisfactory: Greater than 1.5 & less than or equal to 2.5
Marginal: Between 1.0 & 1.5
Unsatisfactory: Less than 1.0

PROCEDURE:

The Resident Engineer will prepare the Contractor Performance Evaluation and submit it to the Supervising Civil Engineer. The Supervising Civil Engineer will review the Contractor Performance Evaluation to ensure adequate documentation is included, the Resident Engineer has followed the process correctly, the Contractor Performance Evaluation has been prepared in a fair and unbiased manner, and the ratings assigned by the Resident Engineer are consistent with all other Resident Engineers using consistent performance expectations and similar rating scales.

The Resident Engineer will transmit a copy of the Contractor Performance Evaluation to the Contractor. Overall Ratings of Outstanding or Satisfactory are final and cannot be protested or appealed. If the Overall Rating is Marginal or Unsatisfactory, the Contractor will have 10 calendar days in which they may file a protest of the rating. The Public Works Agency Assistant Director, Design & Construction Services Department, will consider a Contractor's protest and render his/her determination of the validity of the Contractor's protest. If the Overall Rating is Marginal, the Assistant Director's determination will be final and not subject to further appeal. If the Overall Rating is Unsatisfactory and the protest is denied (in whole or in part) by the Assistant Director, the Contractor may appeal the Evaluation to the City Administrator, or his/her designee. The appeal must be filed within 14 calendar days of the Assistant Director's ruling on the protest. The City Administrator, or his/her designee, will hold a hearing with the Contractor within 21 calendar days of the filing of the appeal. The decision of the City Administrator regarding the appeal will be final.

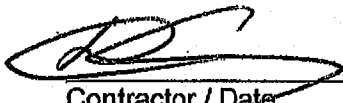
Contractors who receive an Unsatisfactory Overall Rating (i.e., Total Score less than 1.0) will be allowed the option of voluntarily refraining from bidding on any City of Oakland projects within one year from the date of the Unsatisfactory Overall Rating, or of being categorized as non-responsible for any projects the Contractor bids on for a period of one year from the date of the Unsatisfactory Overall Rating. Two Unsatisfactory Overall Ratings within any five year period will result in the Contractor being categorized by the City Administrator as non-

responsible for any bids they submit for future City of Oakland projects within three years of the date of the last Unsatisfactory overall rating.


Any Contractor that receives an Unsatisfactory Overall Rating is required to attend a meeting with the City Administrator, or his/her designee, prior to returning to bidding on City projects. The Contractor is required to demonstrate improvements made in areas deemed Unsatisfactory in prior City of Oakland contracts.

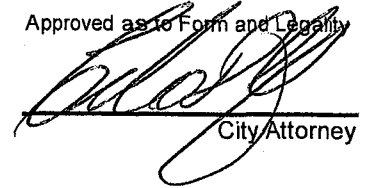
The Public Works Agency Contract Administration Section will retain the final evaluation and any response from the Contractor for a period of five years. The City shall treat the evaluation as confidential, to the extent permitted by law.

COMMUNICATING THE EVALUATION: *The Contractor's Performance Evaluation has been communicated to the Contractor. Signature does not signify consent or agreement.*

 01/25/2013
Contractor / Date

 1/16/13
Resident Engineer / Date

 1/16/13
Supervising Civil Engineer / Date



City Attorney

OAKLAND CITY COUNCIL

2015 APR -1 AM 12:55

RESOLUTION No. _____ C.M.S.

Introduced by Councilmember _____

RESOLUTION AWARDING A CONSTRUCTION CONTRACT TO PACIFIC TRENCHLESS, INC., THE LOWEST RESPONSIVE AND RESPONSIBLE BIDDER, IN ACCORDANCE WITH PROJECT PLANS AND SPECIFICATIONS FOR THE REHABILITATION OF SANITARY SEWERS IN THE AREA BOUNDED BY MOUNTAIN BOULEVARD, JOAQUIN MILLER ROAD, SKYLINE BOULEVARD AND CASTLE DRIVE (PROJECT NO. C329144) AND WITH CONTRACTOR'S BID IN THE AMOUNT OF FOUR MILLION THREE HUNDRED SEVENTY-ONE THOUSAND SEVEN HUNDRED SEVENTY-THREE DOLLARS (\$4,371,773.00)

WHEREAS, on February 19, 2015, three bids were received by the Office of the City Clerk of the City of Oakland for the Rehabilitation of Sanitary Sewers in the area bounded by Mountain Boulevard, Joaquin Miller Road, Skyline Boulevard and Castle Drive (Project No. C329144); and

WHEREAS, Pacific Trenchless, Inc., a certified SLBE bidding as a prime, is deemed the lowest responsive and responsible bidder for the project; and

WHEREAS, there are sufficient funds in the project budget for the work. Funding for this project is available in the following project account:

- Sewer Service Fund (3100); Capital Projects - Sanitary Sewer Design Organization (92244); Sewers Account (57417); Project No. C329144; \$4,371,773.00; and these funds were specifically allocated for this project; this project will help reduce the amount of sanitary sewer maintenance requirement; and

WHEREAS, the City Council finds and determines based on the representations set forth in the City Administrator's report accompanying this Resolution that the construction contract approved hereunder is temporary in nature; and

WHEREAS, the City lacks the equipment and qualified personnel to perform the necessary work, that the performance of this contract is in the public interest because of economy or better performance and that this contract is of a professional, scientific or technical nature; and

WHEREAS, Pacific Trenchless, Inc. complies with all LBE/SLBE and trucking requirements; and

WHEREAS, the City Council finds and determines that the performance of this contract shall not result in the loss of employment or salary by any person having permanent status in the competitive service now, therefore, be it

RESOLVED: That the City Administrator is authorized to award a construction contract for the Rehabilitation of Sanitary Sewers in the area bounded by Mountain Boulevard, Joaquin Miller Road, Skyline Boulevard and Castle Drive (Project No. C329144) to Pacific Trenchless, Inc., the lowest responsive and responsible bidder, in an amount of Four Million Three Hundred Seventy-One Thousand Seven Hundred Seventy-Three Dollars (\$4,371,773.00) in accord with plans and specifications for the Project and contractor's bid dated February 19, 2015; and be it

FURTHER RESOLVED: That the amount of the bond for faithful performance bond, \$4,371,773.00, and the bond to guarantee payment of all claims for labor and materials furnished and for the amount under the Unemployment Insurance Act, \$4,371,773.00, with respect to such work are hereby approved; and be it

FURTHER RESOLVED: That the City Administrator, or designee, is hereby authorized to enter into a contract with Pacific Trenchless, Inc. on behalf of the City of Oakland and to execute any amendments or modifications of the contract within the limitations of the project specifications; and be it

FURTHER RESOLVED: That the City Administrator, or designee, is hereby authorized to negotiate with the second lowest bidder and/or next lowest bidder for the same awarded amount, if Pacific Trenchless, Inc. fails to return the complete signed contract documents and supporting documents within the days specified in the Special Provision without going back to City Council; and be it

FURTHER RESOLVED: That the plans and specifications prepared for this project, including any subsequent changes during construction, that will be reviewed and adopted by the Director, or his/her designee, are hereby approved; and be it

FURTHER RESOLVED: That the City Administrator, or designee, is hereby authorized to reject all other bids; and be it

FURTHER RESOLVED: That the contract shall be reviewed and approved by the City Attorney for form and legality prior to execution and placed on file in the Office of the City Clerk.

IN COUNCIL, OAKLAND, CALIFORNIA, _____, 20____

PASSED BY THE FOLLOWING VOTE:

AYES - BROOKS, CAMPBELL WASHINGTON, GALLO, GUILLEN, KALB, KAPLAN, REID, and PRESIDENT GIBSON MCELHANEY

NOES -

ABSENT -

ABSTENTION -

ATTEST: _____

LaTonda Simmons
City Clerk and Clerk of the Council
of the City of Oakland, California