

CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES

GRANT SUBAWARD AMENDMENT

SUBAWARD #: DR4683-AP01060

FIPS# 001-53000 SAM ID# JMZ8J64LTMN8 Amendment# 1
 Project # AP01060 Performance Period 7/1/2023 to 3/20/2027

This amendment is between the California Governor's Office of Emergency Services, hereafter called Cal OES, and the Subrecipient: Oakland, City of

The Subrecipient agrees to the amendment of this Grant Subaward Agreement as specified below.

AMENDMENT TO FUNDS

Increase the federal funds by \$156,370.50 from \$0.00 to \$156,370.50;
 Decrease the state funds by \$0.50 from \$48,879.00 to \$48,878.50 to match FEMA's documentation

AMENDMENT TO END DATE

To change the end date of the grant award from 3/31/26 to 3/20/27.

SPECIAL CONDITIONS: See attached Special Conditions page for information related to the 3/20/24 project start date and 3/31/26 state funding availability deadline.

All other provisions of this agreement shall remain as previously agreed upon.

Subrecipient (Certification and Signature of Authorized Agent)	
By (Authorized Signature)	Date
Printed Name	Title
Emily Weinstein	Director, Housing and Community Development
Address	
1605 Martin Luther King Jr. Way, Oakland, CA 94565	
Governor's Office of Emergency Services (For Cal OES use only)	
By Director or Designee	Date
Printed Name	Title
Amount Encumbered by this Document	Fund Source Labels
\$156,370.50 (fed) -\$0.50 (state)	ENY: 2021/22 Chapter: 21 SL: 15102 Item: 0690-106-0001 Pgm: 0385 Fund: General Fund Title: Prepare CA Match
Prior Amount Encumbered	Project No.: OES21HHPC000000 Amt: -\$0.50 Activity ID: LA15102HHPC0000 SC: 2023 - 15102
\$48,879.00	ENY: 2023/24 Chapter: 12 SL: 82106 Item: 0690-101-0890 Pgm: 0385 FAIN: FEMA-4683-DR-CA 12/27/22 - 4/13/27 Fund: Federal Trust AL: 97.039 Title: Hazard Mitigation Grant Program Match Req: 25% on TPC
Total Amount Encumbered to Date	Project No.: OESDR4683200032 Amt: \$156,370.50 Activity ID: LA82106DRHM0000 SC: 2023 - 82106
\$205,249.00	
I hereby certify upon my own personal knowledge that budgeted funds are available for the period and purpose of the expenditure stated above.	
Signature of Cal OES Fiscal Officer	Date

SPECIAL CONDITIONS

The subrecipient shall not have expended any funding nor have started its project prior to 3/20/24.

At the time of obligation, the \$48,878.50 general fund portion of this grant subaward is set to expire before the overall project performance period end date. Unless this funding availability is extended, the \$48,878.50 portion of this grant subaward must be expended by 3/31/26, and documentation related these expenditures will be due to the Hazard Mitigation Assistance Branch by 4/20/26.

Failure to comply with these requirements may result in the withholding and disallowance of grant payments, the reduction or termination of the grant subaward and/or the denial of future grant funds.



Disaster No: 4683
 Cal OES ID No: 001-53000
 UEI No: JMZ8J64LTMN8

PROJECT ASSURANCES FOR FEDERAL ASSISTANCE

SUBRECIPIENT'S NAME: City of Oakland
 (Name of Organization)

PRIMARY MAILING ADDRESS: 1605 Martin Luther King Jr. Way
 CITY: Oakland STATE: CA ZIP CODE: 94612
 TELEPHONE: 510-238-6067 FAX NUMBER: _____
 CA ASSEMBLY DIST: 18 CA SENATE DIST: 09 U.S. CONGRESSIONAL DIST: 12

Visit sos.ca.gov/elections/who-are-my-representatives to find your district number.

AUTHORIZED AGENT: Emily Weinstein
 TITLE: Director, Housing & Community Development
 EMAIL ADDRESS: eweinstein@oaklandca.gov

ASSURANCES – CONSTRUCTION PROGRAMS

Note: Certain of these assurances may not be applicable to all your projects. If you have questions, please contact the California Governor's Office of Emergency Services. Further, certain federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the subrecipient named above:

1. Has the legal authority to apply for federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-federal share of project costs) to ensure proper planning, management, and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, Federal Office of Inspector General 2 CFR 200.336, and if appropriate, the state, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.



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OES-FPD-089 (Rev. 10-2022)

3. Will not dispose of, modify the use of, or change the terms of the real property title, or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the federal interest in the title of real property in accordance with awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with federal assistance funds to assure nondiscrimination during the useful life of the project.
4. Will comply with the requirements of the assistance-awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progress reports and such other information as may be required by the assistance awarding agency or state.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gains.
8. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§ 4801 et seq.), which prohibits the use of lead-based paint in construction or rehabilitation of residential structures.
9. Will comply with all federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color, or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§ 1681-1683 and 1685-1686) which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. § 794) which prohibit discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101-6107) which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 93-255) as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616) as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§ 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. 290 dd- 3 and 290 ee-3) as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of



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1968 (42 U.S.C. § 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental, or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for federal assistance is being made, and (j) the requirements on any other non-discrimination statute(s) which may apply to the application.

10. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provides for fair and equitable treatment of persons displaced or whose property is acquired as a result of federal and federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of federal participation in purchases.
11. Will comply with the flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$5,000 or more.
12. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.O. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved state management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§ 1451 et seq.); (f) conformity of federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. § 7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.O. 93-205).
13. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§ 1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
14. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. 470), EO 11593 (identification and preservation of historic properties), and the Archeological and Historic Preservation Act of 1974 (16 U.S.C. 469a-1 et seq.).



15. Will comply with Standardized Emergency Management (SEMS) requirements as stated in the California Emergency Services Act, Government Code, Chapter 7 of Division 1 of Title 2, Section 8607.1(e) and CCR Title 19, Sections 2445, 2446, 2447, and 2448.
16. Subrecipients expending \$750,000 or more in federal grant funds annually are required to secure an audit pursuant to OMB Uniform Guidance 2 CFR Part 200, Subpart F. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act of 1984 and the Single Audit Act Amendments of 1996.
17. Will disclose in writing any potential conflict of interest to the Federal awarding agency or pass-through entity in accordance with §200.112.
18. Will comply with all applicable requirements of all other federal laws, Executive Orders, regulations and policies governing this program.
19. Has requested through the State of California, federal financial assistance to be used to perform eligible work approved in the subrecipient application for federal assistance. Will, after the receipt of federal financial assistance, through the State of California, agree to the following:
 - a. The state warrant covering federal financial assistance will be deposited in a special and separate account and will be used to pay only eligible costs for projects described above.
 - b. To return to the State of California such part of the funds so reimbursed pursuant to the above numbered application, which are excess to the approved actual expenditures as accepted by final audit of the federal or state government.
 - c. In the event the approved amount of the above numbered project application is reduced, the reimbursement applicable to the amount of the reduction will be promptly refunded to the State of California.
20. The non-Federal entity for a Federal award must disclose, in a timely manner, in writing to the Federal awarding agency or pass-through entity all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting the Federal award §200.113. Failure to make required disclosures can result in any of the remedies described in §200.338 Remedies for noncompliance, including suspension or debarment.



21. Will not make any award or permit any award (subaward or contract) to any party which is debarred or suspended or is otherwise excluded from or ineligible for participation in Federal assistance programs under Executive Order 12549 and 12689, "Debarment and Suspension."

"I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized by City of Oakland
 (Name of Organization)

to enter into this agreement for and on behalf of said subrecipient, and by my signature do bind the subrecipient to the terms thereof."

Emily Weinstein

PRINTED NAME

Emily Weinstein

SIGNATURE OF AUTHORIZED AGENT

Director, Housing & Community Development

5/7/2024

TITLE

DATE



Cal OES ID No.: 001-53000-00
 UEI Number: JMZ8J64LTMN8
 Previous Fiscal Year End Date: 06/30/2023

FFATA Financial Disclosure

The Federal Funding Accountability and Transparency Act (FFATA) requires information on federal awards be made available to the public and is submitted by the California Governor's Office of Emergency Services (Cal OES) to www.fsrs.gov. This is done in order that the government be held accountable for each spending decision. As a subrecipient of federal funds, you will be unable to draw down funds until this signed form is returned to Cal OES.

The Total Compensation and Names of the top five executives must be reported in the table on this form if your business or organization meets **both** of the following criteria:

- a) 80% or more of annual gross revenues are from Federal awards (contracts, sub-contracts, and Federal financial assistance), and \$25,000,000 or more in annual gross revenues from Federal awards; *and*,
- b) Compensation information is not already available through reporting to the Securities and Exchange Commission.

If your business or organization does **NOT** meet **BOTH** criteria, check the box below, sign, and return to Cal OES Financial Processing Unit.

Not subject to FFATA Financial Disclosure.

Executive Name	Title	Annual Salary	Annual Dollar Value of Benefits	Total Compensation

I, Emily Weinstein, do hereby certify, as the authorized agent of
 Printed Name of Authorized Agent

Oakland, City of _____, the information contained in this document is true
 Entity Name

and correct.

Director, Housing and Community Development
 Title of Authorized Agent

Emily Weinstein
 Signature of Authorized Agent

5/7/2024
 Date