

2011 JAN 13 PM 1:12

TO: Office of the City Administrator  
ATTN: Dan Lindheim  
FROM: Public Works Agency  
DATE: January 25, 2011

RE: **Resolution Awarding A Construction Contract To Andes Construction, Inc. For The Rehabilitation Of Sanitary Sewers in the Easement By Knowland Park (Project No. C329116), In The Amount Of Four Hundred Thirty-Seven Thousand Five Hundred Ninety-Two Dollars (\$437,592.00)**

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## SUMMARY

A resolution has been prepared awarding a construction contract in the amount of \$437,592.00 to Andes Construction, Inc. for the Rehabilitation of Sanitary Sewers in the Easement by Knowland Park (Project No. C329116). The work to be completed under this project is part of the City's annual Sanitary Sewer Rehabilitation program. The work is located in Council District 6 as shown in *Attachment A*.

## FISCAL IMPACT

Approval of this resolution will authorize the City Administrator to award a construction contract to Andes Construction, Inc. in the amount of \$437,592.00. Funding for this project is available in:

- Sewer Service Fund (3100); Capital Project – Sanitary Sewer Design Organization (92244); Sewers Account (57417); Project C329116; \$437,592.00.

This project will rehabilitate existing sewer pipes, reducing rain-related sewer overflows and minimizing the demand for sanitary sewer maintenance.

## BACKGROUND

On September 16, 2010, the City Clerk received three bids for this project in the amounts of \$621,602.00, \$532,455.00 and \$437,592.00. A summary is shown in *Attachment B*. Andes Construction, Inc. is deemed the lowest responsive and responsible bidder, and therefore is recommended for the award. The Engineer's estimate for the work is \$556,770.00.

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Under the proposed contract with Andes Construction, Inc., the Local Business Enterprise and Small Local Business Enterprise (LBE/SLBE) participation will be 100%, which exceeds the City's 20% LBE/SLBE requirement. The contractor also shows a participation of 100% for trucking, which exceeds the 20% Local Trucking requirement. The contractor is required to have 50% of the work hours performed by Oakland residents and 50% of all new hires are to be Oakland residents. The LBE/SLBE information has been verified by the Social Equity Division of the Department of Contracting and Purchasing and is shown in *Attachment C*. Staff has reviewed the submitted bid for this work and has determined that the bid is reasonable for the current construction climate.

### KEY ISSUES AND IMPACTS

The Environmental Protection Agency (EPA) mandates the reduction of sanitary sewer overflows. This project is part of the Citywide program to eliminate wastewater overflows. Construction is scheduled to begin in April, 2011 and should be completed by June, 2011. The contract specifies \$1,000.00 in liquidated damages per calendar day if the contract is not completed within 60 working days. The project schedule is shown in *Attachment B*.

### PROJECT DESCRIPTION

In general, the proposed work consists of replacing 2,209 linear feet of sewer mains by pipe expanding, installing 55 linear feet by cured-in-place pipe (CIPP), rehabilitating house connection sewers, reconnecting house connection sewers, and other ancillary work as indicated on the plans and specifications.

### EVALUATION OF PAST PERFORMANCE

The Contractor Performance Evaluation for Andes Construction, Inc. from a previously completed project is included as *Attachment D*.

### SUSTAINABLE OPPORTUNITIES

**Economic:** The contractor will have 50% of the work hours performed by Oakland residents and 50% of all new hires are to be Oakland residents, which will result in local dollars being spent locally.

**Environmental:** The replacement of the sanitary sewers will minimize sewer leakage and overflows, thus preventing potential harm to property, groundwater resources and the Bay. Best Management Practices for the protection of storm water runoff during construction will be required.

**Social Equity:** This project is part of the Citywide program to eliminate wastewater overflows, thereby benefiting all Oakland residents.

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**DISABILITY AND SENIOR CITIZEN ACCESS**

There is no direct impact or benefit to seniors or people with disabilities. During construction, the contractor will be required to provide safe and accessible travel through the construction area.


**RECOMMENDATION AND RATIONALE**

It is recommended that the construction contract be awarded to Andes Construction, Inc., the lowest responsive responsible bidder, in the amount of \$437,592.00 for the Rehabilitation of Sanitary Sewers in the Easement by Knowland Park (Project No. C329116). Andes Construction, Inc. has met the LBE/SLBE requirements, and there are sufficient funds in the project account.

**ACTION REQUESTED OF THE CITY COUNCIL**

Staff recommends that the City Council approve the resolution.

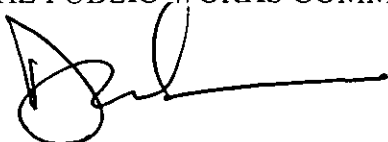
Respectfully submitted,

  
Vitaly B. Troyan, P.E., Interim Director  
Public Works Agency

Reviewed by:  
Michael Neary, P.E., Assistant Director  
PWA, Department of Engineering and Construction

Prepared by:  
Allen Law, P.E., Supervising Civil Engineer  
Engineering Design & R.O.W. Management Division

**APPROVED AND FORWARDED TO  
THE PUBLIC WORKS COMMITTEE:**

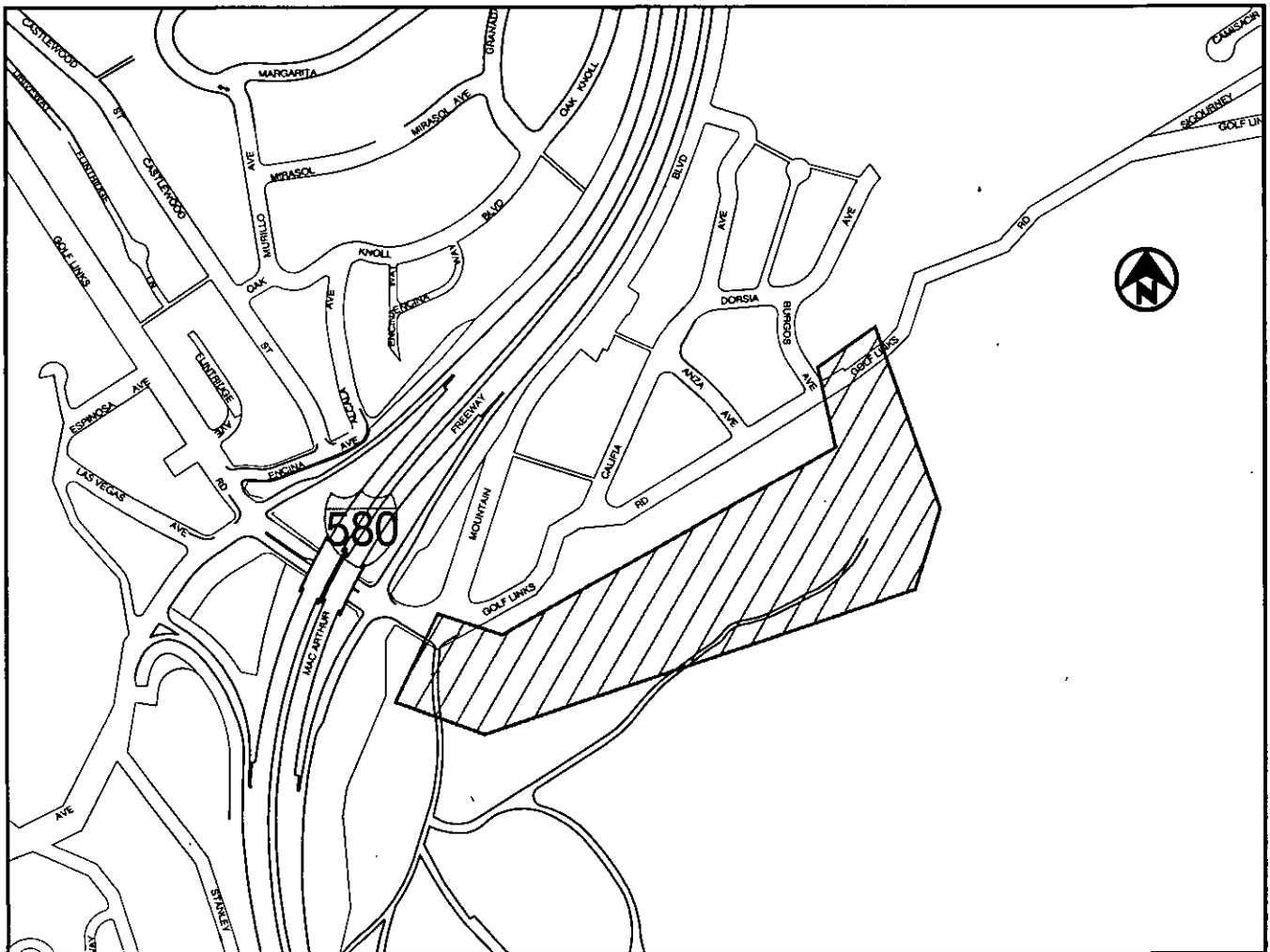


Office of the City Administrator

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Attachment A

PLANS FOR THE REHABILITATION  
OF SANITARY SEWERS  
IN THE EASEMENT BY KNOWLAND PARK  
CITY PROJECT NO. C329116



LOCATION MAP

NOT TO SCALE

LIMIT OF WORK 



Revised 11/8/10



## Memo

### Department of Contracting and Purchasing

Social Equity Division

To: Jimmy Mach - Project Manager  
 From: Sophany Hang - Acting Contract Compliance Officer  
 Through: Deborah Barnes - DC & P Director  
 Shelley Darenburg - Sr. Contract Compliance Officer *S. Darenburg*  
 CC: Gwen McCormick - Contract Administration Supervisor  
 Date: November 8, 2010  
 Re: C329116 - Rehabilitation of Sanitary Sewers in the Easement by Knowland Park

The Department of Contracting and Purchasing (DC&P), Division of Social Equity, reviewed three (3) bids in response to the above referenced project. Below is the outcome of the compliance evaluation for the minimum 20% Local and Small Local Business Enterprise (L/SLBE) participation requirement, a preliminary review for compliance with the Equal Benefits Ordinance (EBO), and a brief overview of the lowest responsible bidder's compliance with the 50% Local Employment Program (LEP) and the 15% Oakland Apprenticeship Program on the bidder's most recently completed City of Oakland project.

The above referenced project contains Cured in Place Pipe (CIPP) specialty work. The Standard Specifications for Public Works Construction, "Greenbook", page 10 section 2-3.2 (Attachment A) describes how specialty work may be addressed. Based upon the Greenbook and per the specifications, the CIPP specialty items have been excluded from the contractor's bid price for purposes of determining compliance with the minimum 20% L/SLBE requirement.

The spreadsheet below is a revised format specifically for this analysis. The spreadsheet shows: Column A - Original Bid Amount; Column B - Specialty Dollar Amount submitted by the contractor; Column C - Non-Specialty Bid Amount (difference between column A and B); Column D - Total Credited Participation; Column E - Earned Bid Discounts as a result of the total credited participation and Column F - Adjusted Bid Amount calculated by applying the earned bid discount to the non-specialty work (column C) and then subtracting that difference from the original bid amount (column A).

Responsive		Proposed Participation				Earned Credits and Discounts			Banked Credits Eligible?	EBO Compliant? Y/N		
Company Name	Original Bid Amount	Specialty Dollar Amount	Non Specialty Dollar Amount	Total LBE/SLBE	LBE	SLBE	Trucking	Total Credited participation			Earned Bid Discounts	Adjusted Bid Amount
	A	B	C					D	E	F		
Andes Construction, Inc.	\$437,592	\$4,125	\$433,467	100%	.58%	99.42%	100%	100%	5%	\$415,712	2%	y
Pacific Trenchless, Inc.	\$621,602	\$16,225	\$605,377	89.19%	0%	89.19%	100%	89.19%	5%	\$590,522	2%	Y

Comments: As noted above, Andes Construction, Inc. and Pacific Trenchless, Inc. exceeded the minimum 20% Local/Small Local Business Enterprise participation requirement. Both firms are EBO compliant.

Non-Responsive				Proposed Participation				Earned Credits and Discounts			Banked Credits	EBO Compliant? Y/N
Company Name	Original Bid Amount	Specialty Dollar Amount	Non Specialty Dollar Amount	Total LBE/SLBE	LBE	SLBE	Trucking	Total Credited Participation	Earned Bid Discounts	Adjusted Bid Amount		
	A	B	C					D	E	F		
Mosto Construction	\$532,455	\$39,765	\$492,690	100%	0%	100%	100%	0%	0%	0%	0%	Y

Comments: As noted above, Mosto Construction achieved 100% L/SLBE participation requirement. However, Mosto Construction failed to list all subcontractors in excess of 1/2 of 1%. Specifically, Mosto Construction failed to list a subcontractor for Cured In Place Pipe (CIPP) work. Therefore, they are deemed non-responsive. Firm is EBO compliant.

**For Informational Purposes**

Listed below is the lowest responsible bidder's compliance with the 50% Local Employment Program (LEP) and the 15% Oakland Apprenticeship Program for the lowest bidder's most recently completed City of Oakland project.

Contractor Name: Andes Construction

Project Name: The Rehabilitation of Sanitary Sewers in an area Bounded by Embarcadero, Fallon St., 12th St., and Alice Street (Subbasin 64-01)

Project No: C267110

**50% Local Employment Program (LEP)**

Was the 50% LEP Goal achieved?	Yes	If no, shortfall hours?	
Were all shortfalls satisfied?	Yes	If no, penalty amount	

**15% Oakland Apprenticeship Program**

Was the 15% Apprenticeship Goal achieved?	Yes	If no, shortfall hours?	
Were shortfalls satisfied?	Yes	If no, penalty amount?	

The spreadsheet below provides details of the 50% LEP and 15% Apprenticeship Programs. Information provided includes the following data: A) total project hours, B) core workforce hours deducted, C) LEP project employment and work hour goal; D) LEP employment and work hours achieved; E) # resident new hires; F) shortfall hours; G) percent LEP compliance; H) total apprentice hours; I) apprenticeship goal and hours achieved; and J) Apprentice shortfall hours.

Total Project Hours	50% Local Employment Program (LEP)							15% Apprenticeship Program				
	Core Workforce Hours Deducted	LEP Project Employment and Work Hours Goal		LEP Employment and Work Hours Achieved		# Resident New Hires	Shortfall Hours	% LEP Compliance	Total Oakland Apprenticeship Hours Achieved	Apprenticeship Goal and Hours		Apprentice Shortfall Hours
		Goal	Hours	Goal	Hours					Goal	Hours	
A	B	C	D	E	F	G	H	I	J			
17956	8978	50%	4489	100%	17956	0	0	100%	3202	15%	2693	0

Comments: Andes Construction exceeded the Local Employment Program's 50% resident hiring goal with 100% resident employment and met the 15% Oakland Apprenticeship Program goals with 1347 on-site hours and 1347 off-site hours.

Should you have any questions, you may contact Sophany Hang at (510) 238-3723.

**DEPARTMENT OF CONTRACTING AND PURCHASING**



**Social Equity Division**

**PROJECT EVALUATION FORM**

**PROJECT NO.:** C329116

**PROJECT NAME:** The Rehabilitation of Sanitary Sewers in the Easement By Knowland Park

**CONTRACTOR:** Andes Construction Inc.

<u>Engineer's Estimate:</u> \$556,770	<u>Contractors' Original Bid Amount</u> \$437,592	<u>Specialty Dollar Amount</u> \$4,125	<u>Over/Under Engineer's Estimate</u> \$119,178
<u>Discounted Bid Amount:</u> \$415,712	<u>Amount of Bid Discount</u> \$21,880	<u>Non-Specialty Bid Amt.</u> \$433,467	<u>Discount Points:</u> 6%

- 1. Did the 20% requirements apply? **YES**
- 2. Did the contractor meet the 20% requirement? **YES**
  - b) % of LBE participation **0.58%**
  - c) % of SLBE participation **99.42%**
- 3. Did the contractor meet the Trucking requirement? **YES**
  - a) Total SLBE/LBE trucking participation **100%**
- 4. Did the contractor receive bid discounts? **YES**
  - (If yes, list the percentage received) **5%**
- 5. Additional Comments.

For this project, bid item number 6 Cured in Place Pipe (CiPP) specialty work was excluded from the total bid price for the purposes of determining compliance with the 20% L/SLBE requirement.

Reviewing Officer:

[Signature]

Date:

11/8/2010

Date

11/8/10

Approved By

[Signature]

Date:

11/8/10



# LBE/SLBE PARTICIPATION

## BIDDER 1

Project Name: Rehabilitation of Sanitary Sewers in the Easement by Knowland Park

Project No.: C329116		Engineers Est: 556,770				Under/Over Engineers Estimate: 119,178					For Tracking Only		
Discipline	Prime & Subs	Location	CerL Status	LBE	SLBE	Total LBE/SLBE	L/SLBE Trucking	Total Trucking	*Non-Specialty Bid Amount	TOTAL Original Bid Amount	Ethn.	MBE	WBE
											PRIME	Andes Construction Inc.	Oakland
Saw Cutting	Bay Line	Oakland	CB	2,500		2,500			2,500	2,500	H	2,500	
Trucking	Irvin Trucking	Oakland	CB		5,000	5,000	5,000	5,000	5,000	5,000	AA	5,000	
<b>Project Totals</b>				\$2,500	\$430,967	\$433,467	\$5,000	\$5,000	\$433,467	\$437,592		\$437,592	\$0
				0.58%	99.42%	100%	100%	100%	100%	100%		100%	0%
<b>Requirements:</b> The 20% requirements is a combination of 10% LBE and 10% S LBE participation. An SLBE firm can be counted 100% towards achieving 20% requirements.				LBE 10%	SLBE 10%	TOTAL LBE/SLBE	20% LBE/SLBE TRUCKING				<b>Ethnicity</b> AA = African American AI = Asian Indian AP = Asian Pacific C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed MO = Multiple Ownership		
<b>Legend</b>				LBE = Local Business Enterprise	UB = Uncertified Business								
				SLBE = Small Local Business Enterprise	CB = Certified Business								
				Total LBE/SLBE = All Certified Local and Small Local Businesses	MBE = Minority Business Enterprise								
				NPLBE = NonProfit Local Business Enterprise	WBE = Women Business Enterprise								
				NPSLBE = NonProfit Small Local Business Enterprise									

\* The sanitary sewer project noted above contains specialty work. The Non-Specialty Work Bid Dollars were used for the purposes of determining compliance with minimum 20% L/SLBE participation requirement.

**DEPARTMENT OF CONTRACTING AND PURCHASING**



**Social Equity Division**

**PROJECT EVALUATION FORM**

**PROJECT NO.:** C329116

**PROJECT NAME:** The Rehabilitation of Sanitary Sewers in the Easement By Knowland Park

**CONTRACTOR:** Pacific Trenchless

<u>Engineer's Estimate:</u> \$556,770	<u>Contractors' Original Bid Amount</u> \$621,602	<u>Specialty Dollar Amount</u> \$16,225	<u>Over/Under Engineer's Estimate</u> (\$64,832)
<u>Discounted Bid Amount:</u> \$590,522	<u>Amount of Bid Discount</u> \$31,080	<u>Non-Specialty Bid Amt.</u> \$605,377	<u>Discount Points:</u> 5%

- 1. Did the 20% requirements apply? **YES**
- 2. Did the contractor meet the 20% requirement? **YES**
  - b) % of LBE participation **0%**
  - c) % of SLBE participation **89.19%**
- 3. Did the contractor meet the Trucking requirement? **YES**
  - a) Total SLBE/LBE trucking participation **100%**
- 4. Did the contractor receive bid discounts? **YES**
  - (If yes, list the percentage received) **5%**
- 5. Additional Comments.

For this project, bid item number 6 Cured In Place Pipe (CIPP) specialty work was excluded from the total bid price for the purposes of determining compliance with the 20% L/SLBE requirement.

**Reviewing Officer:**

*Sophomy Hany*

**Date:**

11/8/2010  
Date  
11/8/10

**Approved By:**

*Shelley Qarensky*

**Date:**

11/8/10

## LBE/SLBE PARTICIPATION BIDDER 3

Project Name: **Rehabilitation of Sanitary Sewers in the Easement by Knowland Park**

Project No.: C329116		Engineers Est: 556,770				Under/Ovor Engineers Estimate: -64,832													
Discipline	Prima & Subs	Location	Cert. Status	LBE	SLBE	Total LBE/SLBE	L/SLBE Trucking	Total Trucking	*Non-Specialty Bid Amount	TOTAL Original Bid Amount	For Tracking Only								
											Ethn.	MBE	WBE						
PRIME	Pacific Trenchless	Oakland	CB		538,960	538,960			538,960	540,560	C								
Trucking	Williams Trucking	Oakland	CB		1,000	1,000	1,000	1,000	1,000	1,000	AA	1,000							
CIPP Lining Pipe	Pacific Liners P & F Distributors	Union City	UB							14,625	C								
		Brisbane	UB						65,417	65,417	C								
<b>Project Totals</b>				\$0	\$539,960	\$539,960	\$1,000	\$1,000	\$605,377	\$621,602		\$1,000	\$0						
				0.00%	89.19%	89.19%	100%	100%	100%	100%		0.16%	0%						
<b>Requirements:</b> The 20% requirements is a combination of 10% LBE and 10% SLBE participation. An SLBE firm can be counted 100% towards achieving 20% requirements.				LBE 10%	SLBE 10%	TOTAL LBE/SLBE	20% LBE/SLBE TRUCKING				<b>Ethnicity</b> AA = African American AI = Asian Indian AP = Asian Pacific C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed MO = Multiple Ownership								
<b>Legend</b>		LBE = Local Business Enterprise		SLBE = Small Local Business Enterprise		Total LBE/SLBE = All Certified Local and Small Local Businesses		NPLBE = NonProfit Local Business Enterprise		NPSLBE = NonProfit Small Local Business Enterprise		UB = Uncertified Business		CB = Certified Business		MBE = Minority Business Enterprise		WBE = Women Business Enterprise	

\* The sanitary sewer project noted above contains specialty work. The Non-Specialty Work Bid Dollars were used for the purposes of determining compliance with minimum 20% L/SLBE participation requirement.

**DEPARTMENT OF CONTRACTING AND PURCHASING**



**Social Equity Division**

**PROJECT EVALUATION FORM**

**PROJECT NO.:** C329116

**PROJECT NAME:** The Rehabilitation of Sanitary Sewers in the Easement By Knowland Park

**CONTRACTOR:** Mosto Construction

<b>Engineer's Estimate:</b>	<b>Contractors' Original Bid Amount</b>	<b>Specialty Dollar Amount</b>	<b>Over/Under Engineer's Estimate</b>
\$556,770	\$532,455	\$39,765	\$24,315

<b>Discounted Bid Amount:</b>	<b>Amount of Bid Discount</b>	<b>Non-Specialty Bid Amt.</b>	<b>Discount Points:</b>
\$0	\$0	\$492,690	0%

- 1. Did the 20% requirements apply? **YES**
- 2. Did the contractor meet the 20% requirement? **YES**
  - b) % of LBE participation **0%**
  - c) % of SLBE participation **100%**
- 3. Did the contractor meet the Trucking requirement? **YES**
  - a) Total SLBE/LBE trucking participation **100%**
- 4. Did the contractor receive bid discounts? **NO**
  - (If yes, list the percentage received) **0%**

**5. Additional Comments.**

For this project, bid item number 6 Cured In Place Pipe (CIPP) specialty work was excluded from the total bid price for the purposes of determining compliance with the 20% L/SLBE requirement. Contractor achieved 100% L/SLBE participation requirement, however, they failed to list all subcontractors in excess of 1/2% of 1%. Specifically, Mosto Construction failed to list a subcontractor for Cured In Place Pipe (CIPP) work. Therefore, they are deemed non-responsive.

11/8/2010  
Date

**Reviewing Officer:**

Shelley Harg

**Date:**

11/8/10

**Approved By:**

Shelley Harg

**Date:**

11/8/10

## LBE/SLBE PARTICIPATION BIDDER 2

Project Name: Rehabilitation of Sanitary Sewers in the Easement by Knowland Park

Project No.: C329116		Engineers Est: 556,770				Under/Over Engineers Estimate: 24,315					For Tracking Only		
Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	Total LBE/SLBE	L/SLBE Trucking	Total Trucking	*Non-Specialty Bid Amount	TOTAL Original Bid Amount	Ethn.	MBE	WBE
											PRIME	Mosto Construction	Oakland
Transport	Monroe Trucking	Oakland	CB		3,000	3,000	3,000	3,000	3,000	3,000	AA	3,000	
<b>Project Totals</b>				\$0	\$492,690	\$492,690	\$3,000	\$3,000	\$492,690	\$532,455		\$532,455	\$0
				0.0%	100%	100%	100%	100%	100%	100%		100%	0%
<b>Requirements:</b> The 20% requirements is a combination of 10% LBE and 10% SLBE participation. An SLBE firm can be counted 100% towards achieving 20% requirements.				LBE 10%	SLBE 10%	TOTAL LBE/SLBE	20% LBE/SLBE TRUCKING				<b>Ethnicity</b> AA = African American AI = Asian Indian AP = Asian Pacific C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed MO = Multiple Ownership		
<b>Legend</b>				LBE = Local Business Enterprise	SLBE = Small Local Business Enterprise	Total LBE/SLBE = All Certified Local and Small Local Businesses	NPLBE = NonProfit Local Business Enterprise	NPSLBE = NonProfit Small Local Business Enterprise	UB = Uncertified Business	CB = Certified Business	MBE = Minority Business Enterprise	WBE = Women Business Enterprise	

\* The sanitary sewer project noted above contains specialty work. The Non-Specialty Work Bid Dollars were used for the purposes of determining compliance with minimum 20% L/SLBE participation requirement.

Schedule L-2  
City of Oakland  
Public Works Agency  
**CONTRACTOR PERFORMANCE EVALUATION**

Project Number/Title: C267210 - REPAIRS OF S.S. IN AREA BOUNDED BY MONTANA, PLETTNER, NICOL, BERLIN & CURRAN AVENUE

Work Order Number (if applicable): \_\_\_\_\_

Contractor: ANDES CONSTRUCTION

Date of Notice to Proceed: 9/5/2008

Date of Notice of Completion: 9/14/2010

Date of Notice of Final Completion: 9/24/2010

Contract Amount: 2,844,826.16

Evaluator Name and Title: JUD OSALBO, CONSTRUCTION COORDINATOR

The City's Resident Engineer most familiar with the Contractor's performance must complete this evaluation and submit it to Manager, PWA Project Delivery Division, within 30 calendar days of the issuance of the Final Payment.

Whenever the Resident Engineer finds the Contractor is performing below Satisfactory for any category of the Evaluation, the Resident Engineer shall discuss the perceived performance shortfall at the periodic site meetings with the Contractor. An Interim Evaluation will be performed if at any time the Resident Engineer finds that the overall performance of a Contractor is Marginal or Unsatisfactory. An Interim Evaluation is required prior to issuance of a Final Evaluation Rating of Unsatisfactory. The Final Evaluation upon Final Completion of the project will supersede interim ratings.

The following list provides a basic set of evaluation criteria that will be applicable to all construction projects awarded by the City of Oakland that are greater than \$50,000. Narrative responses are required to support any evaluation criteria that are rated as Marginal or Unsatisfactory, and must be attached to this evaluation. If a narrative response is required, indicate before each narrative the number of the question for which the response is being provided. Any available supporting documentation to justify any Marginal or Unsatisfactory ratings must also be attached.

If a criterion is rated Marginal or Unsatisfactory and the rating is caused by the performance of a subcontractor, the narrative will note this. The narrative will also note the General Contractor's effort to improve the subcontractor's performance.

**ASSESSMENT GUIDELINES:**

Outstanding (3 points)	Performance among the best level of achievement the City has experienced.
Satisfactory (2 points)	Performance met contractual requirements.
Marginal (1 point)	Performance barely met the lower range of the contractual requirements or performance only met contractual requirements after extensive corrective action was taken.
Unsatisfactory (0 points)	Performance did not meet contractual requirements. The contractual performance being assessed reflected serious problems for which corrective actions were ineffective.

Unsatisfactory  
Marginal  
Satisfactory  
Outstanding  
Not Applicable

**WORK PERFORMANCE**

1	Did the Contractor perform all of the work with acceptable Quality and Workmanship?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1a	If problems arose, did the Contractor provide solutions/coordinate with the designers and work proactively with the City to minimize impacts? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Was the work performed by the Contractor accurate and complete? If "Marginal or Unsatisfactory", explain on the attachment and provide documentation. Complete (2a) and (2b) below.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2a	Were corrections requested? If "Yes", specify the date(s) and reason(s) for the correction(s). Provide documentation.			Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input checked="" type="checkbox"/>
2b	If corrections were requested, did the Contractor make the corrections requested? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3	Was the Contractor responsive to City staff's comments and concerns regarding the work performed or the work product delivered? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	Were there other significant issues related to "Work Performance"? If Yes, explain on the attachment. Provide documentation.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
5	Did the Contractor cooperate with on-site or adjacent tenants, business owners and residents and work in such a manner as to minimize disruptions to the public. If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6	Did the personnel assigned by the Contractor have the expertise and skills required to satisfactorily perform under the contract? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	Overall, how did the Contractor rate on work performance? The score for this category must be consistent with the responses to the questions given above regarding work performance and the assessment guidelines. Check 0, 1, 2, or 3.	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	

**TIMELINESS**

		Unsatisfactory	Marginal	Satisfactory	Outstanding	Not Applicable
8	Did the Contractor complete the work within the time required by the contract (including time extensions or amendments)?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	If "Marginal or Unsatisfactory", explain on the attachment why the work was not completed according to schedule. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	Was the Contractor required to provide a service in accordance with an established schedule (such as for security, maintenance, custodial, etc.)? If "No", or "N/A", go to Question #8. If "Yes", complete (9a) below.			Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	N/A <input type="checkbox"/>
9a	Were the services provided within the days and times scheduled? If "Marginal or Unsatisfactory", explain on the attachment and specify the dates the Contractor failed to comply with this requirement (such as tardiness, failure to report, etc.). Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10	Did the Contractor provide timely baseline schedules and revisions to its construction schedule when changes occurred? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11	Did the Contractor furnish submittals in a timely manner to allow review by the City so as to not delay the work? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12	Were there other significant issues related to timeliness? If yes, explain on the attachment. Provide documentation.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
13	Overall, how did the Contractor rate on timeliness? The score for this category must be consistent with the responses to the questions given above regarding timeliness and the assessment guidelines. Check 0, 1, 2, or 3.	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	



**FINANCIAL**

		Unsatisfactory	Marginal	Satisfactory	Outstanding	Not Applicable
14	Were the Contractor's billings accurate and reflective of the contract payment terms? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation of occurrences and amounts (such as corrected invoices).	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
15	Were there any claims to increase the contract amount? If "Yes", list the claim amount. Were the Contractor's claims resolved in a manner reasonable to the City?  Number of Claims: _____ Claim amounts: \$ _____ Settlement amount: \$ _____				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
16	Were the Contractor's price quotes for changed or additional work reasonable? if "Marginal or Unsatisfactory", explain on the attachment. Provide documentation of occurrences and amounts (such as corrected price quotes).	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17	Were there any other significant issues related to financial issues? If Yes, explain on the attachment and provide documentation.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
18	Overall, how did the Contractor rate on financial issues? The score for this category must be consistent with the responses to the questions given above regarding financial issues and the assessment guidelines. Check 0, 1, 2, or 3.	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	

**COMMUNICATION**

Unsatisfactory  
Marginal  
Satisfactory  
Outstanding  
Not Applicable

19	Was the Contractor responsive to the City's questions, requests for proposal, etc.? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20	Did the Contractor communicate with City staff clearly and in a timely manner regarding:					
20a	Notification of any significant issues that arose? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20b	Staffing issues (changes, replacements, additions, etc.)? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20c	Periodic progress reports as required by the contract (both verbal and written)? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20d	Were there any billing disputes? If "Yes", explain on the attachment.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
21	Were there any other significant issues related to communication issues? Explain on the attachment. Provide documentation.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
22	Overall, how did the Contractor rate on communication issues? The score for this category must be consistent with the responses to the questions given above regarding communication issues and the assessment guidelines. Check 0, 1, 2, or 3.	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	

**SAFETY**

		Unsatisfactory	Marginal	Satisfactory	Outstanding	Not Applicable
23	Did the Contractor's staff consistently wear personal protective equipment as appropriate? If "No", explain on the attachment.				Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
24	Did the Contractor follow City and OSHA safety standards? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
25	Was the Contractor warned or cited by OSHA for violations? If Yes, explain on the attachment.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
26	26. Was there an inordinate number or severity of injuries? Explain on the attachment. If Yes, explain on the attachment.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
27	Was the Contractor officially warned or cited for breach of U.S. Transportation Security Administration's standards or regulations? If "Yes", explain on the attachment.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
28	Overall, how did the Contractor rate on safety issues? The score for this category must be consistent with the responses to the questions given above regarding safety issues and the assessment guidelines. Check 0, 1, 2, or 3.	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	

## OVERALL RATING

Based on the weighting factors below, calculate the Contractor's overall score using the scores from the four categories above.

1. Enter Overall score from Question 7     2 X 0.25 = .50
2. Enter Overall score from Question 13     2 X 0.25 = .50
3. Enter Overall score from Question 18     2 X 0.20 = .4
4. Enter Overall score from Question 22     2 X 0.15 = .30
5. Enter Overall score from Question 28     2 X 0.15 = .30

TOTAL SCORE (Sum of 1 through 5): 2.0

OVERALL RATING: 2.0

Outstanding: Greater than 2.5  
Satisfactory: Greater than 1.5 & less than or equal to 2.5  
Marginal: Between 1.0 & 1.5  
Unsatisfactory: Less than 1.0

### PROCEDURE:

The Resident Engineer will prepare the Contractor Performance Evaluation and submit it to the Supervising Civil Engineer. The Supervising Civil Engineer will review the Contractor Performance Evaluation to ensure adequate documentation is included, the Resident Engineer has followed the process correctly, the Contractor Performance Evaluation has been prepared in a fair and unbiased manner, and the ratings assigned by the Resident Engineer are consistent with all other Resident Engineers using consistent performance expectations and similar rating scales.

The Resident Engineer will transmit a copy of the Contractor Performance Evaluation to the Contractor. Overall Ratings of Outstanding or Satisfactory are final and cannot be protested or appealed. If the Overall Rating is Marginal or Unsatisfactory, the Contractor will have 10 calendar days in which they may file a protest of the rating. The Public Works Agency Assistant Director, Design & Construction Services Department, will consider a Contractor's protest and render his/her determination of the validity of the Contractor's protest. If the Overall Rating is Marginal, the Assistant Director's determination will be final and not subject to further appeal. If the Overall Rating is Unsatisfactory and the protest is denied (in whole or in part) by the Assistant Director, the Contractor may appeal the Evaluation to the City Administrator, or his/her designee. The appeal must be filed within 14 calendar days of the Assistant Director's ruling on the protest. The City Administrator, or his/her designee, will hold a hearing with the Contractor within 21 calendar days of the filing of the appeal. The decision of the City Administrator regarding the appeal will be final.

Contractors who receive an Unsatisfactory Overall Rating (i.e., Total Score less than 1.0) will be allowed the option of voluntarily refraining from bidding on any City of Oakland projects within one year from the date of the Unsatisfactory Overall Rating, or of being categorized as non-responsible for any projects the Contractor bids on for a period of one year from the date of the Unsatisfactory Overall Rating. Two Unsatisfactory Overall Ratings within any five year period will result in the Contractor being categorized by the City Administrator as non-


C72 Contractor Evaluation Form Contractor: ANDES CONSTRUCTION Project No. C267210

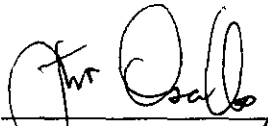
responsible for any bids they submit for future City of Oakland projects within three years of the date of the last Unsatisfactory overall rating.

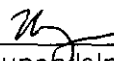
Any Contractor that receives an Unsatisfactory Overall Rating is required to attend a meeting with the City Administrator, or his/her designee, prior to returning to bidding on City projects. The Contractor is required to demonstrate improvements made in areas deemed Unsatisfactory in prior City of Oakland contracts.

The Public Works Agency Contract Administration Section will retain the final evaluation and any response from the Contractor for a period of five years. The City shall treat the evaluation as confidential, to the extent permitted by law.

**COMMUNICATING THE EVALUATION:** *The Contractor's Performance Evaluation has been communicated to the Contractor. Signature does not signify consent or agreement.*

 12/01/10  
Contractor / Date

 /12/1/10  
Resident Engineer / Date

 12/01/10  
~~Supervising Civil Engineer / Date~~  
ACTING CONSTRUCTION SUPERVISOR

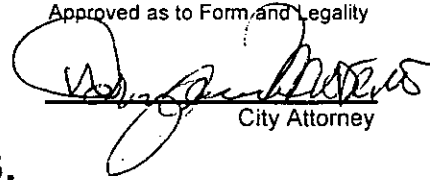
**ATTACHMENT TO CONTRACTOR PERFORMANCE EVALUATION:**

Use this sheet to provide any substantiating comments to support the ratings in the Performance Evaluation. Indicate before each narrative the number of the question for which the response is being provided. Attach additional sheets if necessary.

FILED  
OFFICE OF THE CITY CLERK  
OAKLAND

# OAKLAND CITY COUNCIL

Approved as to Form and Legality

  
City Attorney

2011 JAN 13 PM **RESOLUTION No. \_\_\_\_\_ C.M.S.**

Introduced by Councilmember \_\_\_\_\_

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**RESOLUTION AWARDING A CONSTRUCTION CONTRACT TO ANDES CONSTRUCTION, INC. FOR THE REHABILITATION OF SANITARY SEWERS IN THE EASEMENT BY KNOWLAND PARK (PROJECT NO. C329116) IN ACCORD WITH PLANS AND SPECIFICATIONS FOR THE PROJECT AND CONTRACTOR'S BID IN THE AMOUNT OF FOUR HUNDRED THIRTY-SEVEN THOUSAND FIVE HUNDRED NINETY-TWO DOLLARS (\$437,592.00)**

**WHEREAS**, on September 16, 2010, three bids were received by the Office of the City Clerk of the City of Oakland for the Rehabilitation of Sanitary Sewers In The Easement By Knowland Park (Project No. C329116); and

**WHEREAS**, Andes Construction, Inc., a certified Small Local Business Enterprise bidding as a prime, is deemed the lowest responsive and responsible bidder for the project; and

**WHEREAS**, there are sufficient funds in the project budget for the work in the following project account:

- Sewer Service Fund (3100); Capital Projects - Sanitary Sewer Design Organization (92244); Sewers Account (57417); Project No. C329116; \$437,592.00; and these funds were specifically allocated for this project; this project will help reduce the amount of sanitary sewer maintenance requirement; and

**WHEREAS**, the City Council finds that the City lacks the equipment and qualified personnel to perform the necessary work, that the performance of this contract is in the public interest because of economy or better performance and that this contract is of a professional, scientific or technical nature; and

**WHEREAS**, Andes Construction, Inc. complies with all Local Business Enterprise/Small Local Business Enterprise and trucking requirements; and

**WHEREAS**, the City Administrator has determined that the performance of this contract shall not result in the loss of employment or salary by any person having permanent status in the competitive services; now, therefore, be it

**RESOLVED:** That the construction contract for the Rehabilitation of Sanitary Sewers In The Easement By Knowland Park (Project No. C329116) is hereby awarded to Andes Construction, Inc. in accordance with the project plans and specifications and the contractor's bid therefore, dated September 16, 2010, for the amount of Four Hundred Thirty-Seven Thousand Five Hundred Ninety-Two Dollars (\$437,592.00); and be h

**FURTHER RESOLVED:** That the plans and specifications prepared by the Assistant Director of the Public Works Agency for this project are hereby approved; and be it

**FURTHER RESOLVED:** That the amount of the bond for faithful performance, \$437,592.00, and the amount for a bond to guarantee payment of all claims for labor and materials furnished and for the amount due under the Unemployment Insurance Act, \$437,592.00, with respect to such work are hereby approved; and be it

**FURTHER RESOLVED:** That the City Administrator, or his designee, is hereby authorized to enter into a contract with Andes Construction, Inc. on behalf of the City of Oakland and to execute any amendments or modifications to said agreement within the limitations of the project specifications; and be it

**FURTHER RESOLVED:** That all other bids are hereby rejected; and be it

**FURTHER RESOLVED:** That the City Council finds that the City lacks the equipment and qualified personnel to perform the necessary work, that the performance of this contract is in the public interest because of economy or better performance and that this contract is of a professional, scientific or technical nature; and

**FURTHER RESOLVED:** That the contract shall be reviewed and approved by the City Attorney for form and legality and placed on file in the Office of the City Clerk.

IN COUNCIL, OAKLAND, CALIFORNIA, \_\_\_\_\_, 20\_\_\_\_\_

PASSED BY THE FOLLOWING VOTE:

AYES - BROOKS, BRUNNER, DE LA FUENTE, KAPLAN, KERNIGHAN, NADEL, SCHAAF, and  
PRESIDENT REID

NOES -

ABSENT -

ABSTENTION -

ATTEST: \_\_\_\_\_  
LaTonda Simmons  
City Clerk and Clerk of the Council  
of the City of Oakland, California