

ATTACHMENT D

COMPLIANCE ANALYSIS

HIGHWAY SAFETY IMPROVEMENT PROGRAM (HSIP) CYCLE 8

HIGH STREET

FEDERAL PROJECT NO. HSIPL-5012(152)

CITY PROJECT NO. 1004017



INTER OFFICE MEMORANDUM

TO: Teresa Peterson,
Assistant Civil Engineer

FROM: Deborah Barnes
Workforce & Employment Standards

Deborah Barnes

THROUGH: Shelley Darensburg,
Contract Compliance Supervisor

PREPARED BY: Sophany Hang,
Contract Compliance Officer

Sophany Hang

SUBJECT: Compliance Analysis
Federal project No. HSIPL-5012 (152)
HSIP8 High Street Improvements Project

DATE: October 14, 2020

The Department of Workforce & Employment Standards, reviewed six (6) bids in response to the above referenced project. Below is the outcome of the compliance evaluation for the Disadvantaged Business Enterprise (DBE) program and a preliminary review for compliance with the Equal Benefits Ordinance (EBO). There is a DBE goal of 12.05% for this project.

Compliant with DBE Policies		Proposed Participation					Earned Credits and Discounts			EBO Compliant? Y/N
Company Name	Original Bid Amount	Total DBE Participation	LBE	SLBE	*VSLBE/LPG	L/SLBE/VSLBE Trucking	Total Credited participation	Earned Bid Discounts	Adjusted Bid Amount	
Ray's Electric	\$799,798.00	30.12%	0.00%	67.71%	0.16%	0.00%	NA	NA	NA	Y
Beliveau Engineering	\$942,915.00	54.56%	0.00%	39.03%	1.06%	100.00%	NA	NA	NA	Y
Bay Construction	\$981,963.50	54.81%	0.00%	43.56%	0.00%	0.00%	NA	NA	NA	Y
Kerex Engineering, Inc.	\$998,830.00	27.26%	0.00%	0.00%	0.00%	100.00%	NA	NA	NA	N
Bay Area Lightworks	\$1,103,335.00	81.60%	0.00%	0.00%	0.00%	0.00%	NA	NA	NA	Y
Tennyson Electric	\$1,176,925.00	17.32%	0.00%	0.00%	0.00%	0.00%	NA	NA	NA	N

Comments: As noted above, all firms met or exceeded the DBE goal of 12.05%. Tennyson Electric is not EBO compliant.

Should you have any questions, please contact Sophany Hang, Contract Compliance Officer at (510) 238-3723.

DBE Participation Bidder 1

Project Name: Federal Project No. HSIPL-5012 (512) HSIP8 High Street Improvements Project													
Project No.: 1004017		Engineer's Est. \$1,244,060.00											
Discipline	Prime & Subs	Location	Cert. Status	LBE Dollars	SLBE Dollars	*LPG/VSLBE	Total LBE/SLBE Dollars	DBE Dollars	Total Dollars	Certified Disadvantaged MBE/WBE			
										Ethn.	MBE	WBE	
PRIME	Ray's Electric	Oakland	UB		541,562.00		541,562.00		541,562.00	C			
Concrete Material	Central Concrete	San Jose	UB						4,482.00	C			
Asphalt Material	Gallagher & Burk	Oakland	UB			1,304.00	1,304.00		1,304.00	C			
Electrical Material	Logistical Enterprises	Clovis	CB					195,045.00	195,045.00	H		195,045.00	
Striping	Super Seal & Stripe	Filmore	CB					43,730.00	43,730.00	C		43,730.00	
Survey	Benchmark Engineering	Modesto	UB						8,000.00	C			
WPCP, SWPPP & Lead Compliance Plan	Applian UDBE SWPPP	El Sobrante	CB					2,125.00	\$2,125	AA		\$2,125.00	
Service Pedestal	Tesco Controls	Sacramento	UB						\$3,550	C			
Project Totals				\$0.00	\$541,562.00	\$1,304.00	\$542,866.00	\$240,900.00	\$799,798.00			\$0	\$240,900.00
				0.00%	67.71%	0.16%	67.88%	30.12%	100%			0.00%	30.12%
								DBE Dollars	Total Dollars	Ethnicity AA = African American AI = Asian Indian AP = Asian Pacific C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed			
Legend	UB = Uncertified Business CB = Certified Business DBE = Disadvantaged Business Enterprise WBE = Women Business Enterprise DBE - Disadvantaged Business Enterprise												



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PROJECT COMPLIANCE EVALUATION FOR :

Construction Services Disadvantaged Business Enterprise (DBE)

PROJECT NO.: 1004017

PROJECT NAME: Federal Project No. HSIPL-5012 (512) HSIP8 High Street Improvements Project

CONTRACTOR: Ray's Electric

<u>Engineer's Estimate:</u>	<u>Contractors' Bid Amount</u>	<u>Over/Under Engineer's Estimate</u>
\$1,244,060.00	\$799,798.00	\$444,262.00

1. Did the DBE Program apply? **YES**

2. Did the contractor meet the DBE goal of 12.05% **YES**

b) % of DBE participation **30.12%**

c) % of LBE participation **0.00%**

d) % of SLBE participation **67.71%**

e) % of VSLBE/LPG participation **0.16%**

3. Was Good Faith Effort (GFE) Documentation submitted? **YES**

4. Additional Comments.

**Reviewing
Officer:**

Sophany Hong

10/14/2020

Date: 10/14/2020

Approved By:

Date: 10/14/2020

DBE Participation Bidder 2

Project Name: **Federal Project No. HSIPL-5012 (512) HSIP8 High Street Improvements Project**

Project No.: 1004017		Engineer's Est. \$1,244,060.00								Certified Disadvantaged MBE/WBE		
Discipline	Prime & Subs	Location	Cert. Status	LBE Dollars	SLBE Dollars	*LPG/VSLBE	Total LBE/SLBE Dollars	DBE Dollars	Total Dollars	Ethn.	MBE	WBE
Prime	Beliveau Engineering	Oakland	UB		348,001.00		348,001.00		348,001.00	C		
Concrete Supply	Allied Ready Mix	Alameda	UB						15,000.00	C		
Trucking	All City Trucking	Oakland	CB		20,000.00		20,000.00	20,000.00	20,000.00	AI	20,000.00	
Asphalt Supply	Gallagher & Burk	Oakland	UB			10,000.00	10,000.00		10,000.00	C		
Electrical	Columbia Electric	San Leandro	CB					494,474.00	494,474.00	H	494,474.00	
Striping & Signs	Striping Graphics	Cotati	UB						55,440.00	C		
Project Totals				\$0.00	\$368,001.00	\$10,000.00	\$378,001.00	\$514,474.00	\$942,915.00		\$ 514,474.00	\$0.00
				0.00%	39.03%	1.06%	40.09%	54.56%	100%		54.56%	0.00%
								DBE Dollars	Total Dollars	Ethnicity		
Legend				UB = Uncertified Business CB = Certified Business DBE = Disadvantaged Business Enterprise WBE = Women Business Enterprise DBE - Disadvantaged Business Enterprise						AA = African American AI = Asian Indian AP = Asian Pacific C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed		



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PROJECT COMPLIANCE EVALUATION FOR :

Construction Services Disadvantaged Business Enterprise (DBE)

PROJECT NO.: 1004017

PROJECT NAME: Federal Project No. HSIPL-5012 (512) HSIP8 High Street Improvements Project

CONTRACTOR: Beliveau Engineering

<u>Engineer's Estimate:</u>	<u>Contractors' Bid Amount</u>	<u>Over/Under Engineer's Estimate</u>
\$1,244,060.00	\$942,915.00	\$301,145.00

- | | |
|---|----------------------|
| 1. Did the DBE Program apply? | <u>YES</u> |
| 2. Did the contractor meet the DBE goal of 12.05% | <u>YES</u> |
| b) % of DBE participation | <u>54.56%</u> |
| c) % of LBE participation | <u>0.00%</u> |
| d) % of SLBE participation | <u>39.03%</u> |
| e) % of VSLBE/LPG participation | <u>1.06%</u> |
| 3. Was Good Faith Effort (GFE) Documentation submitted? | <u>NO</u> |
| 4. Additional Comments. | |

5. Date evaluation completed and returned to Contract **10/14/2020**

Reviewing Officer: Sophany Hang

Date: 10/14/2020

Approved By: _____

Date: 10/14/2020



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PROJECT COMPLIANCE EVALUATION FOR :

Construction Services Disadvantaged Business Enterprise (DBE)

PROJECT NO.: 1004017

PROJECT NAME: Federal Project No. HSIPL-5012 (512) HSIP8 High Street Improvements Project

CONTRACTOR: Bay Construction

<u>Engineer's Estimate:</u>	<u>Contractors' Bid Amount</u>	<u>Over/Under Engineer's Estimate</u>
\$1,244,060.00	\$981,963.50	\$262,096.50

- | | |
|---|--------------------------|
| 1. Did the DBE Program apply? | <u>YES</u> |
| 2. Did the contractor meet the DBE goal of 12.05% | <u>YES</u> |
| b) % of DBE participation | <u>54.81%</u> |
| c) % of LBE participation | <u>0.00%</u> |
| d) % of SLBE participation | <u>43.56%</u> |
| e) % of VSLBE/LPG participation | <u>0.00%</u> |
| 3. Was Good Faith Effort (GFE) Documentation submitted? | <u>NO</u> |
| 4. Additional Comments. | |
| 5. Date evaluation completed and returned to Contract | <u>10/14/2020</u> |

**Reviewing
Officer:**

Stephany Hong

Date: 10/14/2020

Approved By:

Date: 10/14/2020

DBE Participation Bidder 3

Project Name: Federal Project No. HSIPL-5012 (512) HSIP8 High Street Improvements Project												
Project No.: 1004017		Engineer's Est. \$1,244,060.00										
Discipline	Prime & Subs	Location	Cert. Status	LBE Dollars	SLBE Dollars	*LPG/VSLBE	Total LBE/SLBE Dollars	DBE Dollars	Total Dollars	Certified Disadvantaged MBE/WBE		
										Ethn.	MBE	WBE
Prime	Bay Construction	Oakland	UB		427,759.50		427,759.50		427,759.50	AP	427,759.50	
Striping	Super Seal & Stripe	Filmore	UB					43,730.00	43,730.00	C		
Surveyor	Joe Brajkovich	Oakland	UB						16,000.00	NL		
Electric	Columbia Electric	San Leandro	CB					494,474.00	494,474.00	H	494,474.00	
Project Totals				\$0.00	\$427,759.50	\$0.00	\$427,759.50	\$538,204.00	\$981,963.50		\$922,233.50	\$0.00
				0.00%	43.56%	0.00%	43.56%	54.81%	100%		93.92%	0.00%
								DBE Dollars	Total Dollars	Ethnicity		
										AA = African American AI = Asian Indian AP = Asian Pacific C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed		
Legend												
UB = Uncertified Business CB = Certified Business DBE = Disadvantaged Business Enterprise WBE = Women Business Enterprise DBE - Disadvantaged Business Enterprise												

DBE Participation Bidder 4

Project Name: Federal Project No. HSIPL-5012 (512) HSIP8 High Street Improvements Project

Project No.: 1004017		Engineer's Est. \$1,244,060.00										
Discipline	Prime & Subs	Location	Cert. Status	LBE Dollars	SLBE Dollars	*LPG/VSLBE	Total LBE/SLBE Dollars	DBE Dollars	Total Dollars	Certified Disadvantaged MBE/WBE		
										Ethn.	MBE	WBE
PRIME	Kerex Engineering, Inc.	Pleasant Hill	UB						449,766.00	NL		
Electrical	Gremelli Industries	Richmond	UB						276,739.80	NL		
Striping	Super Seal & Stripe	Filmore	CB					43,730.00	43,730.00	C		
Electrical Material	Logistical Enterprises	Clovis	CB					218,594.20	218,594.20	H	218,594.20	
Trucking	All Cities Trucking	Oakland	CB					10,000.00	10,000.00	AA	10,000.00	
Project Totals				\$0.00	\$0.00	\$0.00	\$0.00	\$272,324.20	\$998,830.00		\$228,594	\$0
				0.00%	0.00%	0.00%	0.00%	27.26%	100%		22.89%	0.00%
								DBE Dollars	Total Dollars	Ethnicity		
										AA = African American AI = Asian Indian AP = Asian Pacific C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed		
Legend	UB = Uncertified Business CB = Certified Business DBE = Disadvantaged Business Enterprise WBE = Women Business Enterprise DBE - Disadvantaged Business Enterprise											



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PROJECT COMPLIANCE EVALUATION FOR :

Construction Services Disadvantaged Business Enterprise (DBE)

PROJECT NO.: 1004017

PROJECT NAME: Federal Project No. HSIPL-5012 (512) HSIP8 High Street Improvements Project

CONTRACTOR: Kerex Engineering, Inc.

<u>Engineer's Estimate:</u>	<u>Contractors' Bid Amount</u>	<u>Over/Under Engineer's Estimate</u>
\$1,244,060.00	\$998,830.00	\$245,230.00

1. Did the DBE Program apply? **YES**

2. Did the contractor meet the DBE goal of 12.05% **YES**

b) % of DBE participation **27.26%**

c) % of LBE participation **0.00%**

d) % of SLBE participation **0.00%**

e) % of VSLBE/LPG participation **0.00%**

3. Was Good Faith Effort (GFE) Documentation submitted? **NO**

4. Additional Comments.

5. Date evaluation completed and returned to Contract **10/14/2020**

Reviewing Officer: Sophany Hong

Date: 10/14/2020

Approved By: _____

Date: 10/14/2020

DBE Participation Bidder 5

Project Name: **Federal Project No. HSIPL-5012 (512) HSIP8 High Street Improvements Project**

Project No.: 1004017		Engineer's Est. \$1,244,060.00										
Discipline	Prime & Subs	Location	Cert. Status	LBE Dollars	SLBE Dollars	*LPG/VSLBE	Total LBE/SLBE Dollars	DBE Dollars	Total Dollars	Certified Disadvantaged MBE/WBE		
										Ethn.	MBE	WBE
Prime	Bay Area Lightworks, Inc.	San Francisco	CB					856,625.00	856,625.00	C		
Supplier	Jam Services	Livermore	UB						180,000.00	C		
Supplier	Granite Rock	Watsonville	UB						3,000.00	C		
Supplier	Central Concrete	San Jose	UB						20,000.00	C		
Striping	Super Seal & Stripe	Fillmore	CB					43,730.00	43,730.00	C		
Project Totals				\$0.00	\$0.00	\$0.00	\$0.00	\$900,355.00	\$1,103,355.00		\$0.00	\$0.00
				0.00%	0.00%	0.00%	0.00%	81.60%	100%		0.00%	0.00%
										DBE Dollars	Total Dollars	Ethnicity
Legend										AA = African American AI = Asian Indian AP = Asian Pacific C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed		
UB = Uncertified Business CB = Certified Business DBE = Disadvantaged Business Enterprise WBE = Women Business Enterprise DBE - Disadvantaged Business Enterprise												



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PROJECT COMPLIANCE EVALUATION FOR :

Construction Services Disadvantaged Business Enterprise (DBE)

PROJECT NO.: 1004017

PROJECT NAME: Federal Project No. HSIPL-5012 (512) HSIP8 High Street Improvements Project

CONTRACTOR: Bay Area Lightworks, Inc.

<u>Engineer's Estimate:</u>	<u>Contractors' Bid Amount</u>	<u>Over/Under Engineer's Estimate</u>
\$1,244,060.00	\$1,103,355.00	\$140,705.00

1. Did the DBE Program apply? **YES**

2. Did the contractor meet the DBE goal of 12.05% **YES**

b) % of DBE participation **81.60%**

c) % of LBE participation **0.00%**

d) % of SLBE participation **0.00%**

e) % of VSLBE/LPG participation **0.00%**

3. Was Good Faith Effort (GFE) Documentation submitted? **NO**

4. Additional Comments.

5. Date evaluation completed and returned to Contract **10/14/2020**

Reviewing Officer: *Sophany Hong*

Date: 10/14/2020

Approved By: _____

Date: 10/14/2020

DBE Participation Bidder 6

Project Name: **Federal Project No. HSIPL-5012 (512) HSIP8 High Street Improvements Project**

Project No.: 1004017		Engineer's Est. \$1,244,060.00										
Discipline	Prime & Subs	Location	Cert. Status	LBE Dollars	SLBE Dollars	*LPG/VSLBE	Total LBE/SLBE Dollars	DBE Dollars	Total Dollars	Certified Disadvantaged MBE/WBE		
										Ethn.	MBE	WBE
Prime	Tennyson Electric, Inc.	San Francisco	UB						931,432.95	C		
Signing & Striping	Super Seal + Stripe	Filmore	CB					43,730.00	43,730.00	H		43730.00
Compliance Plan	Appaian UDBE SWPPP	El Sabrante	CB					2,125.00	2,125.00	AA		2125.00
Concrete	Casey Fogli	Hayward	UB						41,657.05	C		
Electrical Materials	Light Speed	Sacramento	CB					157,980.00	157,980.00	C		\$157,980
Project Totals				\$0.00	\$0.00	\$0.00	\$0.00	\$203,835.00	\$1,176,925.00		\$0.00	\$157,980.00
				0.00%	0.00%	0.00%	0.00%	17.32%	100%		0.00%	13.42%
										DBE Dollars	Total Dollars	Ethnicity AA = African American AI = Asian Indian AP = Asian Pacific C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed
Legend	UB = Uncertified Business CB = Certified Business DBE = Disadvantaged Business Enterprise WBE = Women Business Enterprise DBE - Disadvantaged Business Enterprise											



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PROJECT COMPLIANCE EVALUATION FOR :

Construction Services Disadvantaged Business Enterprise (DBE)

PROJECT NO.: 1004017

PROJECT NAME: Federal Project No. HSIPL-5012 (512) HSIP8 High Street Improvements Project

CONTRACTOR: Bay Area Lightworks, Inc.

<u>Engineer's Estimate:</u>	<u>Contractors' Bid Amount</u>	<u>Over/Under Engineer's Estimate</u>
\$1,244,060.00	\$1,176,925.00	\$67,135.00

1. Did the DBE Program apply? **YES**

2. Did the contractor meet the DBE goal of 12.05% **YES**

b) % of DBE participation **17.32%**

c) % of LBE participation **0.00%**

d) % of SLBE participation **0.00%**

e) % of VSLBE/LPG participation **0.00%**

3. Was Good Faith Effort (GFE) Documentation submitted? **NO**

4. Additional Comments.

5. Date evaluation completed and returned to Contract **10/14/2020**

Reviewing Officer: Sophany Hang

Date: 10/14/2020

Approved By: _____

Date: 10/14/2020