

CITY OF OAKLAND

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OFFICE OF THE CITY CLERK  
OAKLAND

2019 MAR -7 AM 10:18

# AGENDA REPORT

**TO:** Sabrina B. Landreth  
City Administrator

**FROM:** Ryan Russo  
Director, DOT

**SUBJECT:** On-Call Transportation Engineering  
and Intelligent Transportation  
Systems (ITS) Services Professional  
Services Agreements

**DATE:** March 4, 2019

City Administrator Approval

Date:

3/6/19

## RECOMMENDATION

Staff Recommends That City Council Adopt The Following Legislation:

1. **A Resolution To Authorize The City Administrator Or Designee To Execute On-Call Transportation Engineering And Intelligent Transportation Systems (Its) Professional Services Agreements In The Amount Of Five Hundred Thousand Dollars (\$500,000) Each To Two (2) Tier I Firms Including: 1) Aliquot Associates And 2) Diablo Engineering Group; And.**
2. **A Resolution To Authorize The City Administrator Or Designee To Execute On-Call Transportation Engineering And Intelligent Transportation Systems (Its) Professional Services Agreements In The Amount Of One Million Dollars (\$1,000,000) Each To Nine (9) Tier II Firms Including: 1) Advanced Mobility Group, 2) Alta Planning+Design, 3) Arup, 4) Dks Associates, 5) Fehr & Peers, 6) Kimley-Horn & Associates, 7) Kittelson & Associates, 8) Parsons, And 9) Wood Rodgers For A Period Of Three (3) Years.**

## EXECUTIVE SUMMARY

Approval of the Resolutions will authorize the City Administrator or Designee To Execute Professional Services Agreements For On-Call Transportation Engineering And Intelligent Transportation Systems (ITS) Services In The Amount Of Five Hundred Thousand Dollars (\$500,000) Each To Two (2) Tier I Firms Including: 1) Aliquot Associates And 2) Diablo Engineering Group, and In The Amount Of One Million Dollars (\$1,000,000) Each To Nine (9) Tier II Firms Including: 1) Advanced Mobility Group, 2) Alta Planning+Design, 3) ARUP, 4) DKS Associates, 5) Fehr & Peers, 6) Kimley-Horn & Associates, 7) Kittelson & Associates, 8) Parsons, And 9) Wood Rodgers.

Item: \_\_\_\_\_  
Public Works Committee  
March 19, 2019

The professional services agreements are needed to provide on-call transportation engineering and intelligent transportation systems (ITS) services to assist the Department of Transportation (DOT) and other City departments in delivering transportation projects.

## **BACKGROUND/LEGISLATIVE HISTORY**

The Oakland Department of Transportation (OakDOT), Great Streets Delivery Division, performs planning and design, for the City to deliver capital improvement projects. The On-Call Transportation Engineering and Intelligent Transportation Systems (ITS) professional services agreements are needed to assist OakDOT and other Departments and Divisions with various projects. Professional services may include, but are not limited to the following: planning, engineering, design, operations, systems integration, construction support, data collection, research, staff training, and technical support. Projects and facilities include traffic signals, intelligent transportation systems; telecommunication systems, signal systems; signal operations, timing and coordination; pedestrian and bicycle facilities; transit; urban design; impact analysis, modeling; data collection and analysis; traffic calming; complete streets design, complete streets paving, intersection improvements; land surveying, parking, construction support; transportation planning; grant application; community outreach; communication, and software programs.

In June 2018, OakDOT issued a Request for Qualifications (RFQ) to provide On-Call Transportation Engineering and Intelligent Transportation Systems (ITS) services in accordance with Oakland Municipal Code, OMC Chapter 2.04.040 and 2.04.051. A two-tier pre-qualification process was utilized to encourage the level of small business participation. Each Tier I contract is for \$500,000, and each Tier II contract is for \$1,000,000. The duration of each contract is three (3) years.

## **ANALYSIS AND POLICY ALTERNATIVES**

With the passage of Measures BB, SB 1 and Measure KK, as well as an influx of other additional grant funding, the City is in need of engineering services to support, design, and implement projects. The On-Call Transportation Engineering and Intelligent Transportation Systems (ITS) Services contracts provide the means for delivering projects and improvements in a timely and cost-effective manner.

The pros and cons of adopting legislation on two Resolutions.

### **1. Adopt a Resolution for Tier I firms:**

Adopting a Resolution for an on-call professional services agreement for each of the two Tier I firms will meet the following objectives:

- (a) Assist OakDOT and other City Departments with planning, engineering, design, operations, systems integration, construction support, data collection, research, staff training, and technical support for various transportation projects;
- (b) Allow OakDOT to respond and provide the necessary services in an efficient and timely manner;

- (c) Allow OakDOT to promote greater efficiency and safety within the transportation network;
- (d) Allow OakDOT to implement several currently funded transportation projects, including the Highway Safety Improvement Program (HSIP) Cycle 8, and MacArthur Smart City Corridor Project; and
- (e) Allow OakDOT to implement mid-year projects throughout the three-year period.

**Not Adopt a Resolution for Tier I firms:**

Not adopting a Resolution for an on-call professional services agreement for each of the two Tier I firms means that should the City decide to hire a consultant, City staff will need to issue a formal Request for Proposals (RFP) for each project. The RFP process is labor intensive and takes six months. This will result in a six-month delay to one or more phases of the project. For grant opportunities, the application response timelines are quite short, so the RFP process will effectively preclude the City from pursuing any future grant funds.

**2. Adopt a Resolution for Tier II firms:**

Adopting a Resolution for an on-call professional services agreement for each of the nine Tier II firms will meet the same objectives as listed above under "Adopt a Resolution for Tier I firms".

**Not Adopt a Resolution for Tier II firms:**

Not adopting a Resolution for an on-call professional services agreement for each of the nine Tier II firms means that should the City decide to hire a consultant, City staff will be faced with the same issues as described above under "Not Adopt a Resolution for Tier I firms".

The RFQ for On-Call Transportation Engineering and Intelligent Transportation Systems (ITS) Services is a part of a much larger coordinated effort to invite firms to provide professional and technical services to the City of Oakland on two (2) federally funded engineering disciplines and six (6) non-federal funded engineering disciplines.

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The two Federal disciplines are:

- (1) Civil Engineering
- (2) Structural Engineering

The six non-Federal disciplines are:

- (1) Civil Engineering
- (2) Geotechnical Engineering
- (3) Transportation Engineering and Intelligent Transportation Systems (the subject of this report)
- (4) Plan Review, Permitting and Field Inspection
- (5) Land Surveying
- (6) Construction Management.

The contract capacity and overall need for each of these disciplines was calculated based both on existing projects in early development, as well as future projects on the horizon.

On July 27, 2018, City Contract Services received two (2) Tier I proposals and eleven (11) Tier II proposals. The Contract Compliance Division determined that three (2) Tier II proposals did not meet the City Local Business Enterprise/Small Local Business Enterprise (LBE/SLBE) requirements and were deemed non-responsive. One of the non-compliant proposal was Parsons. Parsons was non-compliant because its LBE/SLBE certification expired. Parsons subsequently applied for renewal and received a new Certification in February 2019. Consequently, only two Tier II proposals are non-LBE/SLBE compliant.

- Parsons – exceeds the minimum 50% LBE/SLBE requirements.
- Rajappan & Meyers - did not meet the 50% LBE/SLBE requirement.
- TJKM - did not meet the 50% LBE/SLBE requirement.

All remaining eleven (11) submittals met or exceeded the City's minimum 50% LBE/SLBE requirements and received preference points. Seven firms earned preference points for meeting City criteria on Years in Oakland and/or Oakland Workforce. Seven firms are in compliance with the Equal Benefit Ordinance (EBO). All selected firms are required to be EBO compliant prior to contract execution. The information has been verified in the Social Equity Contract Compliance Memorandums and are provided in **Attachment A** for Tier I firms and in **Attachment B** for Tier II firms. Of the eleven firms, ten firms are based in Oakland, and eight firms have had local Oakland experiences.

The following firms submitted a Statement of Qualifications, in alphabetical order:

**Tier I Firms**

1. Aliquot Associates
2. Diablo Engineering Group

**Tier II Firms**

1. Advanced Mobility Group
2. Alta Planning+Design
3. ARUP
4. DKS Associates
5. Fehr & Peers
6. Kimley-Horn & Associates
7. Kittelson & Associates
8. Parsons
9. Rajappan & Meyers
10. TJKM
11. Wood Rodgers

A panel of three professionals, including one from an outside agency and two from OakDOT, evaluated the submittals. The following evaluation criteria were used as set forth in the RFQ:

- Experience;
- Qualifications;
- Organization and Responsiveness; and
- Approach.

Based on the panel's evaluation results, contract requirements, and contract capacity needs, staff selected and recommended all eleven firms to provide the on-call transportation engineering and intelligent transportation systems (ITS) services. Each of these selected firms clearly demonstrated their understanding of the scope and requirements in their submittals.

The following firms are recommended for contracts, in alphabetical order:

**Tier I Firms**

1. Aliquot Associates (Oakland based)
2. Diablo Engineering Group (Oakland based)

**Tier II Firms**

1. Advanced Mobility Group (Walnut Creek based)
2. Alta Planning+Design (Oakland based)
3. ARUP (Oakland based)
4. DKS Associates (Oakland based)
5. Fehr & Peers (Oakland based)
6. Kimley-Horn & Associates (Oakland based)
7. Kittelson & Associates (Oakland based)
8. Parsons (Oakland based)
9. Wood Rodgers (Oakland based)

As projects arise, the City will request on-call consultants with the appropriate expertise to submit proposals for the project that includes a scope of work, schedule for completion, and fee.

The City will evaluate the proposals on a qualification basis, select a consultant, negotiate, and assign a Task Order. The on-call contracts are not for a specific project. No minimum amount of work is implied or guaranteed, and no appropriation is made as a result of this action. Individual task orders will vary but in no case will exceed the approved contract amounts.

**FISCAL IMPACT**

Since this set of on-call contracts represents a proactive plan to bring on resources that are projected to be needed, specific projects, studies, and associated funding sources are not yet identified. Overall these services will be needed for delivery of projects citywide funded by regional, State and local sources, such as Measure BB, Measure KK, and various other funding sources. The approval of these resolutions will not result in additional appropriation of funds. Funds will be identified prior to execution of each task order.

## **PUBLIC OUTREACH / INTEREST**

In June 2018, OakDOT reached out to various local, ethnic, minority, and disadvantaged communities in Oakland, and encouraged them to participate in the RFQ process. This included contacting the Oakland African American Chamber of Commerce, National Organization of Minority Architects (SF-NOMA), Bay Area Black Professional Organizations, Hispanic Engineers Builders and Contractors of California, and the Regional Technical Training Center (RTTC). Outreach materials are provided in **Attachment C**. Additionally, staff provided a Notice to labor representatives, per the terms and conditions of the current Memorandums of Understanding with Local 21, Service Employees International Union (SEIU) 1021, and International Brotherhood of Electrical Workers (IBEW) prior to the formal issuance of the RFQ.

A legal advertisement for the RFQ was posted on June 15, 2018. The RFQ was formally advertised in a total of eleven (11) newspapers in various languages to reach as many local firms as possible. This included the San Francisco Chronicle, East Bay Times, Oakland Post, East Bay Express, World Journal, El Mundo, La Opinion Del La Bahia, Vision Hispana, Sing Tao, The Korea Times, and Nhat Bao Cali Today. The City's web-based procurement system iSupplier and CIPIlist.com were utilized for distribution of the RFQ with documents online available free of charge.

## **COORDINATION**

This report and legislation have been reviewed by the Office of the City Attorney and the Budget Bureau. The Contracts and Compliance Division of the City Administrator's Office provided the compliance analysis of firms.

## **PAST PERFORMANCE, EVALUATION AND FOLLOW-UP**

All eleven (11) firms selected through the process are certified local firms. Nine (9) of these firms have worked with the City a prime consultant or as subconsultant through past or existing on-call contracts or were selected through RFP process for various City projects.

Below is a summary of the selected firms' previous experience as consultants for the City of Oakland:

### **Tier I Firms**

1. Aliquot Associates - Has completed one on-call task order with the City in the last two years.
2. Diablo Engineering Group - Has no prior on-call contract with the City. Has performed as on-call subconsultant on various past and on-going City projects.

**Tier II Firms**

1. Advanced Mobility Group - Has no prior on-call contract with the City. Has performed as on-call prime consultant under Stantec until the group formed a new company named Advanced Mobility Group.
2. Alta Planning+Design - Has completed one on-call task order with the City in the last two years.
3. ARUP – Has not completed any on-call task orders with the City in the last two years.
4. DKS Associates - Has completed two on-call task orders in the last two years and was rated excellent.
5. Fehr & Peers - Has not completed any on-call task orders with the City in the last two years. Has performed as prime consultant and subconsultant on various past and on-going City projects.
6. Kimley-Horn & Associates - Has completed two on-call task orders in the last two years and was rated satisfactory.
7. Kittelson & Associates - Has completed one on-call task order with the City in the last two years. Has performed as prime consultant and subconsultant on various past and on-going City projects.
8. Parsons – Has no prior on-call contract with the City.
9. Wood Rodgers – Has completed two on-call task orders in the last two years and was rated average.

Consultant performance evaluations are provided in **Attachment D**.

**SUSTAINABLE OPPORTUNITIES**

**Economic:** The contracts will generate business tax, sales tax, and other revenues for the City by those firms who work on the projects. Local, Oakland-based businesses will be utilized in the construction of many of these projects and will benefit directly.

**Environmental:** Projects completed under these contracts will also implement the Best Management Practices for the protection of storm water runoff during construction to prevent pollutants from entering the storm drain systems.

**Social Equity:** Projects completed under these contracts will provide improved safety, accessibility and mobility to all modes of transportation including non-motorized modes, and will support persons with disabilities and children, to access jobs, recreational facilities and other services. In addition, projects are selected and prioritized with an equity lens within the relative framework of their respective programs.

Consultants will be required to design City improvements in compliance with the physical access requirements of applicable accessibility codes and the Americans with Disabilities Act.

**ACTION REQUESTED OF THE CITY COUNCIL**

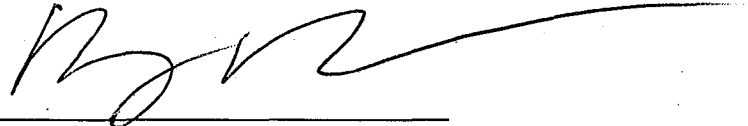
Staff Recommends That The City Council Adopts the Following Legislation:

1. A Resolution to authorize the City Administrator or designee to execute On-Call Transportation Engineering and Intelligent Transportation Systems (ITS) professional services agreements in the amount of Five Hundred Thousand Dollars (\$500,000) each to two (2) Tier I firms including: 1) Aliquot Associates and 2) Diablo Engineering Group For A Period Of Three (3) Years; And
  
2. A Resolution to authorize the City Administrator or designee to execute On-Call Transportation Engineering and Intelligent Transportation Systems (ITS) professional services agreements in the amount of One Million Dollars (\$1,000,000) each to nine (9) Tier II firms including: 1) Advanced Mobility Group, 2) Alta Planning+Design, 3) ARUP, 4) DKS Associates, 5) Fehr & Peers, 6) Kimley-Horn & Associates, 7) Kittelson & Associates, 8) Parsons, and 9) Wood Rodgers For A Period Of Three (3) Years.



For questions regarding this report, please contact Mohamed Alaoui, P.E., Department of Transportation, Great Streets Delivery, Division Manager, at (510) 238-3469.

Respectfully submitted,



RYAN RUSSO  
Director, Department of Transportation

Reviewed by:  
Wladimir Wlassowsky, P.E. Assistant Director  
Department of Transportation

Reviewed by:  
Mohamed Alaoui, P.E., T.E., Division Manager  
Great Streets Delivery Division

Prepared by:  
Philip Ho, P.E., T.E., Transportation Engineer  
Traffic Capital Projects

Attachments:

- 
- A: ~~Contracts & Compliance Unit Compliance Evaluation for Tier I~~
  - B: ~~Contracts & Compliance Unit Compliance Evaluation for Tier II~~
  - C: Outreach Flyer
  - D: Consultant Performance Evaluations

# **ATTACHMENT A**

## **Contracts & Compliance Unit Compliance Analysis Memorandum**

### **2018 On-Call Transportation Engineering and Intelligent Transportation Systems (ITS) Services**

**Tier I**

**August 13, 2018**

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ATTACHMENT A

**INTER OFFICE MEMORANDUM**

**TO:** Philip Ho,  
Transportation Engineer

**FROM:** Deborah Barnes, Director,  
Contracts and Compliance

*Deborah Barnes*

**SUBJECT:** Compliance Analysis  
**Request for Qualifications (RFQ) for  
Transportation Engineering & Intelligent  
Transportation Systems 2018-Tier I**

**DATE:** August 13, 2018

The City Administrator's Office, Contracts and Compliance Unit conducted a compliance review of two (2) proposals in response to the above referenced project. Below is the outcome of the compliance evaluation for the minimum 50% Local and Small Local Business Enterprise (L/SLBE) participation requirement. In addition, firms are reviewed for compliance with the Equal Benefits Ordinance (EBO).

RESPONSIVE TO SOCIAL JUSTICE POLICIES	PROPOSED L/SLBE PARTICIPATION PERCENTAGES					EARNED PREFERENCE POINTS				EBO Compliant? Y/N
	Total LBE/ SLBE	LBE	SLBE	*VSLBE	Total Credited participation	L/SLBE Participation Preference Points	Years in Oakland Preference Points	Oakland Workforce Preference Points	Total Participation Points	
Aliquot Associates, Inc.	100.00%	10.00%	0.00%	90.00%	100.00%	5.0 pts.	0.0 pts.	1.0 pts.	6.0 pts	Y
Diablo Engineering Group	100.00%	0.00%	100.00%	0.00%	100.00%	5.0 pts.	0.0 pts.	0.0 pts.	5.0 pts	N

\*Double Counted for Very Small Local Business Enterprises (VSLBEs)

**Comments:** As noted above, all firms exceeded the minimum 50% L/SLBE participation requirement. Diablo Engineering Group is not EBO compliant. They must come into compliance prior to full contract execution.

Should you have any questions you may contact Sophany Hang, Contract Compliance Officer at (510) 238-3723.

**COMPLIANCE OFFICER NOTES:** None



**CONTRACTS AND COMPLIANCE**

**Compliance Division**

**PROJECT COMPLIANCE EVALUATION FOR :**

**RE:** Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier I

**CONSULTANT/CONTRACTOR:** Aliquot Associates, Inc.

<b><u>Engineer's Estimate:</u></b> NA	<b><u>Contractors' Bid Amount</u></b> NA	<b><u>Over/Under Engineer's Estimate</u></b> NA
<b><u>Bid discounted amount:</u></b> N/A		<b><u>Preference Points</u></b> 5

- 1. Did the 50% local/small local requirement apply: **YES**
- 2. Did the contractor meet the 50% requirement **YES**
  - a) % of LBE participation **80.0%**
  - b) % of SLBE participation **0.0%**
  - c) % of VSLBE participation **0.0%**
- 3. Did the contractor receive bid discount/preference points? **YES**  
(If yes, list the points received) **5 points**

**4. Additional Comments.**

[Empty box for additional comments]

**5. Date evaluation completed and returned to Contract Admin./Initiating Dept.**

8/13/2018  
Date

**Reviewing Officer:** [Signature]

**Date:** 8/13/2018

**Approved By:** [Signature]

**Date:** 8/13/2018

## LBE/SLBE Participation Aliquot Associates, Inc.

**Project Name:** Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier I

Project No.:		NA					Under/Over Engineers Estimate:					
Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	VSLBE	Total LBE/SLBE %	Total Percentages	For Tracking Only			
									Ethnic	MBE	WBE	
PRIME Traffic Engineering Traffic Engineering	Aliquot Associates, Inc.	Oakland	CB	80.00%			80.00%	80.00%	AP	80.00%		
	W & S Solutions LLC	Pleasanton	UB					10.00%	AP	10.00%		
	Advanced Mobility Group	Walnut Creek	UB					10.00%	NL			
<b>Project Totals</b>					80.00%	0.00%	0.00%	80.00%	100.00%		90.00%	0.00%
<b>Requirements:</b> The 50% requirement can be satisfied by a combination of 25% LBE and 25% SLBE. The SLBE requirement is waived for Oakland certified prime consultants. An VSLBE's participation is double counted toward meeting the requirement.									<b>Ethnicity</b> AA = African American A = Asian C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed			
<b>Legend</b>			LBE = Local Business Enterprise SLBE = Small Local Business Enterprise Total LBE/SLBE = All Certified Local and Small Local Businesses NPLBE = NonProfit Local Business Enterprise NPSLBE = NonProfit Small Local Business Enterprise						UB = Uncertified Business CB = Certified Business MBE = Minority Business Enterprise WBE = Women Business Enterprise			



**CONTRACTS AND COMPLIANCE**

**Compliance Division**

**PROJECT COMPLIANCE EVALUATION FOR :**

**RE:** Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier I

**CONSULTANT/CONTRACTOR: Diablo Engineering Group**

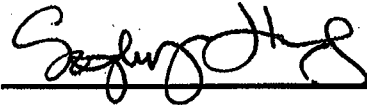
<b><u>Engineer's Estimate:</u></b>	<b><u>Contractors' Bid Amount</u></b>	<b><u>Over/Under Engineer's Estimate</u></b>
NA	NA	NA
<b><u>Bid discounted amount:</u></b>	<b><u>Preference Points:</u></b>	
N/A	5	

- |   |         |                              |
|---|---------|------------------------------|
| 1. Did the 50% local/small local requirement apply:           |         | YES                          |
| 2. Did the contractor meet the 50% requirement                |         | YES                          |
| a) % of LBE participation                                     | 0.00%   |                              |
| b) % of SLBE participation                                    | 100.00% |                              |
| c) % of VSLBE participation                                   | 0.00%   | 00.0% (double counted value) |
| 3. Did the contractor receive bid discount/preference points? |         | YES                          |
| (If yes, list the points received) _                          |         | 5 points                     |

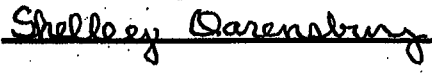
4. Additional Comments.

5. Date evaluation completed and returned to Contract Admin./Initiating Dept.

8/13/2018  
Date

**Reviewing Officer:** 

**Date:** 8/13/2018

**Approved By:** 

**Date:** 8/13/2018

## LBE/SLBE Participation Diablo Engineering Group

Project Name: Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier

Project No.:	NA	Engineer's Estimate		Under/Over Engineers Estimate:							
Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	VSLBE	Total LBE/SLBE %	Total Percentages	For Tracking Only		
									Ethn	MBE	WBE
PRIME	Diablo Engineering Group	Oakland	CB				100.00%	100.00%	C		100.00%
<b>Project Totals</b>				0.00%	0.00%	0.00%	100.00%	100.00%		0.00%	100.00%
<b>Requirements:</b> The 50% requirement can be satisfied by a combination of 25% LBE and 25% SLBE. The SLBE requirement is waived for Oakland certified prime consultants. An VSLBE's participation is double counted toward meeting the requirement.									<b>Ethnicity</b> AA = African American A = Asian C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed		
<b>Legend</b> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">                     LBE = Local Business Enterprise                      SLBE = Small Local Business Enterprise                      Total LBE/SLBE = All Certified Local and Small Local Businesses                      NPLBE = NonProfit Local Business Enterprise                      NPSLBE = NonProfit Small Local Business Enterprise                 </div> <div style="width: 45%;">                     UB = Uncertified Business                      CB = Certified Business                      MBE = Minority Business Enterprise                      WBE = Women Business Enterprise                 </div> </div>											

# **ATTACHMENT B**

## **Contracts & Compliance Unit Compliance Analysis Memorandum**

### **2018 On-Call Transportation Engineering and Intelligent Transportation Systems (ITS) Services**

**Tier II**

**August 16, 2018**

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**ATTACHMENT B**

**INTER OFFICE MEMORANDUM**

**TO:** Philip Ho, Transportation Engineer

**FROM:** Deborah Barnes, Director, Contracts and Compliance *Deborah Barnes*

**SUBJECT:** Compliance Analysis  
Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems  
2018-Tier II

**DATE:** August 16, 2018

At the request of the Oakland Public Works Department, the designated Compliance Officer conducted a compliance analysis of eleven (11) proposals submitted to the City in response to the above referenced RFQ. Below are the results of the compliance evaluations for the minimum 50% Local/Small Local Business Enterprise (L/SLBE) participation requirement, and Equal Benefits Ordinance (EBO) including any Earned Preference Points. *Please apply these preference points to the last numerical evaluations.*

Responsive to Social Justice Policies	PROPOSED L/SLBE PARTICIPATION PERCENTAGES					EARNED PREFERENCE POINTS				EBO Compliant? Y/N
	Total LBE/SLBE	LBE	SLBE	*VSLBE	Total Credited participation	L/SLBE Participation Preference Points	Years in Oakland Preference Points	Oakland Workforce Preference Points	Total Participation Points	
Advanced Mobility Group	50.00% *65.00%	20.00%	15.00%	15.00%	*65.00%	3.0 pts.	0.0 pts.	0.0 pts.	3.0 pts.	N
Alta Planning + Design	100.00%	100.00%	0.00%	0.00%	100.00%	5.0 pts.	0.0 pts.	1.0 pts.	6.0 pts.	Y
Arup North America, Ltd.	85.00%	85.00%	0.00%	0.00%	85.00%	5.0 pts.	0.0 pts.	0.0 pts.	5.0 pts.	N
DKS Associates	89.00% *94.00%	80.00%	4.00%	5.00%	*94.00%	5.0 pts.	2.0 pts.	0.0 pts.	7.0 pts.	N
Fehr & Peers	94.00%	90.00%	4.00%	0.00%	94.00%	5.0 pts.	0.5 pts.	0.0 pts.	5.5 pts.	Y
Kimley Horn	95.00%	90.00%	5.00%	0.00%	95.00%	5.0 pts.	1.0 pts.	0.0 pts.	6.0 pts.	Y
Kittelson & Associates	70.00%	70.00%	0.00%	0.00%	70.00%	4.0 pts.	0.5 pts.	0.0 pts.	4.5 pts.	Y
Wood Rodgers, Inc	87.00%	82.00%	5.00%	0.00%	85.00%	5.0 pts.	1.5 pts.	0.0 pts.	6.5 pts.	Y

\*Double Counted for Very Small Local Business Enterprises (VSLBEs)

**Comments:** As noted above, all firms exceeded the minimum 50% L/SLBE participation requirement. Advanced Mobility Group, Arup North America, Ltd., and DKS Associates are not EBO compliant. They must come into compliance prior to full contract execution.

<i>Non-Responsive to Social Justice Policies</i>	<b>PROPOSED L/SLBE PARTICIPATION PERCENTAGES</b>					<b>EARNED PREFERENCE POINTS</b>				EBO Compliant? Y/N
	Total LBE/SLBE	LBE	SLBE	*VSLBE	Total Credited participation	L/SLBE Participation Preference Points	Years in Oakland Preference Points	Oakland Workforce Preference Points	Total Participation Points	
Parsons Transportation Group	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.0 pts.	0.0 pts.	0.0 pts.	Y
Rajappan & Meyer Consulting Engineers	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.0 pts.	0.0 pts.	0.0 pts.	Y
TJKM Transportation Consultants	0.00%	30.00%	0.00%	0.00%	0.00%	0.00%	0.0 pts.	0.0 pts.	0.0 pts.	N

**Comments:** As noted above, three (3) firms did not meet the minimum 50% SLBE participation requirement. Therefore, they are non-responsive to the L/SLBE participation requirement.

Should you have any questions you may contact Sophany Hang, Contract Compliance Officer at (510) 238-3723.

**COMPLIANCE OFFICER NOTES:**

Alta Planning + Design and DKS Associate's proposals produced the highest L/SLBE participation at 100%.



**CONTRACTS AND COMPLIANCE**

**Compliance Division**

**PROJECT COMPLIANCE EVALUATION FOR :**

**RE:** **Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier II**

**CONSULTANT/CONTRACTOR: Advanced Mobility Group**

<b><u>Engineer's Estimate:</u></b> NA	<b><u>Contractors' Bid Amount</u></b> NA	<b><u>Over/Under Engineer's Estimate</u></b> NA
<b><u>Bid discounted amount:</u></b> N/A		<b><u>Preference Points</u></b> 3

- 1. Did the 50% local/small local requirement apply: **YES**
- 2. Did the contractor meet the 50% requirement **YES**
  - a) % of LBE participation **20.0%**
  - b) % of SLBE participation **15.0%**
  - c) % of VSLBE participation **15.0%** **30.0% (double counted value)**
- 3. Did the contractor receive bid discount/preference points? **YES**  
(If yes, list the points received) **3 points**

**4. Additional Comments.**

**Proposed VSLBE/LPG participation is valued at 15.0%, however, per the L/SLBE Program a VSLBE/LPG's participation is double counted towards meeting the requirement. Therefore, the VSLBE/LPG value is 30.0%.**

**5. Date evaluation completed and returned to Contract Admin./Initiating Dept.**

8/16/2018  
Date

**Reviewing Officer:**

[Signature]

**Date: 8/16/2018**

**Approved By:**

Shelley Darendony

**Date: 8/16/2018**

## LBE/SLBE Participation Advanced Mobility Group

**Project Name:** Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier II

Project No.:		NA					Under/Over Engineers Estimate:					
Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	VSLBE	Total LBE/SLBE %	Total Percentages	For Tracking Only			
									Ethn.	MBE	WBE	
<b>PRIME</b> Planning & Design ATP & Data Collection, Storm Drain Civil Engineering, Bicycle & Pedestrian Facilities Planning & Final Design Trench Stormwater Management Public Outreach/Communication Quality Assurance/Quality Control	Advanced Mobility Group	Walnut Creek	UB					45.00%	O			
	ActiveWayz	Oakland	CB			15.00%	15.00%	15.00%	AI	15.00%		
	Aliquot	Oakland	CB	10.00%			10.00%	10.00%	AP	10.00%		
	Crossroad Labs	Cupertino	UB					5.00%	NL			
	Diablo Engineering Group	Oakland	CB		5.00%		5.00%	5.00%	C		5.00%	
	Urban Design	Oakland	CB	10.00%			10.00%	10.00%	AI	10.00%		
	VSCE Inc.	Oakland	CB			10.00%	10.00%	10.00%	H	10.00%		
<b>Project Totals</b>				20.00%	15.00%	15.00%	50.00%	100.00%		35.00%	5.00%	
<b>Requirements:</b> The 50% requirement can be satisfied by a combination of 25% LBE and 25% SLBE. The SLBE requirement is waived for Oakland certified prime consultants. An VSLBE's participation is double counted toward meeting the requirement.										<b>Ethnicity</b> AA = African American A = Asian C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed		
<b>Legend</b>		LBE = Local Business Enterprise SLBE = Small Local Business Enterprise Total LBE/SLBE = All Certified Local and Small Local Businesses NPLBE = NonProfit Local Business Enterprise NPSLBE = NonProfit Small Local Business Enterprise			UB = Uncertified Business CB = Certified Business MBE = Minority Business Enterprise WBE = Women Business Enterprise							



**CONTRACTS AND COMPLIANCE**

**Compliance Division**

**PROJECT COMPLIANCE EVALUATION FOR:**

RE:

**Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier II**

**CONSULTANT/CONTRACTOR: Alta Planning + Design**

<b><u>Engineer's Estimate:</u></b>	<b><u>Contractors' Bid Amount</u></b>	<b><u>Over/Under Engineer's Estimate</u></b>
NA	NA	NA
<b><u>Bid discounted amount:</u></b>	<b><u>Preference Points:</u></b>	
N/A	5	

- 1. Did the 50% local/small local requirement apply: **YES**
- 2. Did the contractor meet the 50% requirement **YES**
  - a) % of LBE participation **100.00%**
  - b) % of SLBE participation **0.00%**
  - c) % of VSLBE participation **0.00%** **00.0% (double counted value)**
- 3. Did the contractor receive bid discount/preference points? **YES**  
 (If yes, list the points received) **5 points**

4. Additional Comments.

5. Date evaluation completed and returned to Contract Admin./Initiating Dept.

8/16/2018

Date

**Reviewing Officer:**

[Signature]

**Date:** 8/16/2018

**Approved By:**

[Signature]

**Date:** 8/16/2018

## LBE/SLBE Participation Alta Planning + Design

**Project Name:** Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier II

Project No.:	NA	Engineer's Estimate			Under/Over Engineers Estimate:						
Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	VSLBE	Total LBE/SLBE %	Total Percentages	For Tracking Only		
									Ethn	MBE	WBE
PRIME Engineering Support	Alta Planning + Design	Oakland	CB	68.50%			68.50%	68.50%	C		
	W-Trans	Oakland	CB	31.50%			31.50%	31.50%	C		31.50%
<b>Project Totals</b>				100.00%	0.00%	0.00%	100.00%	100.00%		0.00%	31.50%
<b>Requirements:</b> The 50% requirement can be satisfied by a combination of 25% LBE and 25% SLBE. The SLBE requirement is waived for Oakland certified prime consultants. An VSLBE's participation is double counted toward meeting the requirement.									<b>Ethnicity</b> AA = African American A = Asian C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed		
<b>Legend</b> LBE = Local Business Enterprise SLBE = Small Local Business Enterprise Total LBE/SLBE = All Certified Local and Small Local Businesses NPLBE = NonProfit Local Business Enterprise NPSLBE = NonProfit Small Local Business Enterprise				UB = Uncertified Business CB = Certified Business MBE = Minority Business Enterprise WBE = Women Business Enterprise							



**CONTRACTS AND COMPLIANCE**

**Compliance Division**

**PROJECT COMPLIANCE EVALUATION FOR :**

**RE:** Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier II

**CONSULTANT/CONTRACTOR:** Arup North America, Ltd.

<u>Engineer's Estimate:</u>	<u>Contractors' Bid Amount</u>	<u>Over/Under Engineer's Estimate</u>
NA	NA	NA
<u>Bid discounted amount:</u>		<u>Preference Points</u>
N/A		5

1. Did the 50% local/small local requirement apply: **YES**
2. Did the contractor meet the 50% requirement **YES**
  - a) % of LBE participation **85.0%**
  - b) % of SLBE participation **0.0%**
  - c) % of VSLBE participation **0.0%** **0.0% (double counted value)**
3. Did the contractor receive bid discount/preference points? **YES**  
(If yes, list the points received) **5 points**

**4. Additional Comments.**

[Empty box for additional comments]

**5. Date evaluation completed and returned to Contract Admin./Initiating Dept.**

8/16/2018  
Date

**Reviewing Officer:**

[Signature]

**Date:** 8/16/2018

**Approved By:**

[Signature]

**Date:** 8/16/2018

## LBE/SLBE Participation Arup North America, Ltd.

Project Name: Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier II

Project No.: NA							Under/Over Engineers Esti				
Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	VSLBE	Total LBE/SLBE %	Total Percentages	For Tracking Only		
									Ethnic	MBE	WBE
<b>PRIME</b> Signal Design and Operations  Transportation planning & community outreach  Landscape Architecture	Arup North America, Ltd.	Oakland	CB	75.00%			75.00%	75.00%	C		
	CHS Consulting Group	Oakland	UB					5.00%	AP	5.00%	
	Eisen Letunic	Berkeley	UB					10.00%	C		10.00%
	Merril Morris Partners	Oakland	CB	10.00%			10.00%	10.00%			10.00%
<b>Project Totals</b>				85.00%	0.00%	0.00%	85.00%	100.00%		5.00%	20.00%
<b>Requirements:</b> The 50% requirement can be satisfied by a combination of 25% LBE and 25% SLBE. The SLBE requirement is waived for Oakland certified prime consultants. An VSLBE's participation is double counted toward meeting the requirement.									<b>Ethnicity</b> AA = African American A = Asian C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed		
<b>Legend</b>		LBE = Local Business Enterprise SLBE = Small Local Business Enterprise Total LBE/SLBE = All Certified Local and Small Local Businesses NPLBE = NonProfit Local Business Enterprise NPSLBE = NonProfit Small Local Business Enterprise				UB = Uncertified Business CB = Certified Business MBE = Minority Business Enterprise WBE = Women Business Enterprise					





**CONTRACTS AND COMPLIANCE**

**Compliance Division**

**PROJECT COMPLIANCE EVALUATION FOR :**

**RE:** Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier II

**CONSULTANT/CONTRACTOR:** DKS Associates

**Engineer's Estimate:**  
NA

**Contractors' Bid Amount**  
NA

**Over/Under Engineer's Estimate**  
NA

**Bid discounted amount:**  
N/A

**Preference Points**  
5

- 1. Did the 50% local/small local requirement apply: **YES**
- 2. Did the contractor meet the 50% requirement **YES**
  - a) % of LBE participation **80.0%**
  - b) % of SLBE participation **4.0%**
  - c) % of VSLBE participation **5.0%** 10.0% (double counted value)
- 3. Did the contractor receive bid discount/preference points? **YES**  
(If yes, list the points received) **5 points**

**4. Additional Comments.**

**Proposed VSLBE/LPG participation is valued at 5.0%, however, per the L/SLBE Program a VSLBE/LPG's participation is double counted towards meeting the requirement. Therefore, the VSLBE/LPG value is 10.0%.**

**5. Date evaluation completed and returned to Contract Admin./Initiating Dept.**

8/16/2018  
Date

**Reviewing Officer:** Sophy Hung

**Date:** 8/16/2018

**Approved By:** Shelley Darenburg

**Date:** 8/16/2018

## LBE/SLBE Participation DKS Associates

**Project Name:** Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems  
2018-Tier II

Project No.: NA							Under/Over Engineers Estima				
Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	VSLBE	Total	Total	For Tracking Only		
							LBE/SLBE %	Percentages	Ethn.	MBE	WBE
PRIME	DKS Associates	Oakland	CB	80.00%			80.00%	80.00%	C		
ITS, Signal	Western Pacific Signal	San Leandro	UB					5.00%	NL		
Civil Design	ActiveWayz Engineering	Oakland	CB			5.00%	5.00%	5.00%	AA	5.00%	
Environmental	Urban Planning Partners	Oakland	CB		2.00%		2.00%	2.00%	C	2.00%	
Bike/Ped Planning	Toole Design Group	Oakland	CB					2.00%	NL		
Topographical Surveying	PLS Surveys	Oakland	CB		2.00%		2.00%	2.00%	C		2.00%
Traffic Data Collection	IDAX Data Solutions	Renton, WA						2.00%	NL		
Stakeholder Outreach	CivicMakers	San Francisco	UB					2.00%	NL		
<b>Project Totals</b>				80.00%	4.00%	5.00%	89.00%	100.00%		7.00%	2.00%
<b>Requirements:</b> The 50% requirement can be satisfied by a combination of 25% LBE and 25% SLBE. The SLBE requirement is waived for Oakland certified prime consultants. An VSLBE's participation is double counted toward meeting the requirement.				LBE 25% or 50%	SLBE 25%	VSLBE	TOTAL LBE/SLBE 50%	TOTAL	<b>Ethnicity</b> AA = African American A = Asian C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed		
<b>Legend</b>		LBE = Local Business Enterprise SLBE = Small Local Business Enterprise Total LBE/SLBE = All Certified Local and Small Local Businesses NPLBE = NonProfit Local Business Enterprise NPSLBE = NonProfit Small Local Business Enterprise					UB = Uncertified Business CB = Certified Business MBE = Minority Business Enterprise WBE = Women Business Enterprise				



**CONTRACTS AND COMPLIANCE**

**Compliance Division**

**PROJECT COMPLIANCE EVALUATION FOR :**

**RE:** Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier II

**CONSULTANT/CONTRACTOR:** Fehr & Peers

<b><u>Engineer's Estimate:</u></b> NA	<b><u>Contractors' Bid Amount</u></b> NA	<b><u>Over/Under Engineer's Estimate</u></b> NA
<b><u>Bid discounted amount:</u></b> N/A		<b><u>Preference Points</u></b> 5

- 1. Did the 50% local/small local requirement apply: **YES**
- 2. Did the contractor meet the 50% requirement **YES**
  - a) % of LBE participation **90.0%**
  - b) % of SLBE participation **4.0%**
  - c) % of VSLBE participation **0.0%**
- 3. Did the contractor receive bid discount/preference points? **YES**  
(If yes, list the points received) **5 points**

**4. Additional Comments.**

[Empty box for additional comments]

**5. Date evaluation completed and returned to Contract Admin./Initiating Dept.**

8/16/2018  
Date

**Reviewing Officer:** [Signature]

**Date:** 8/16/2018

**Approved By:** [Signature]

**Date:** 8/16/2018

## LBE/SLBE Participation Fehr & Peers

**Project Name:** Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems  
2018-Tier II

Project No.: NA							Under/Over Engineers Estima						
Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	VSLBE	Total	Total	For Tracking Only				
							LBE/SLBE %	Percentages	Ethn	MBE	WBE		
<b>PRIME</b>	Fehr & Peers	Oakland	CB	88.00%			88.00%	88.00%	O				
Urban Design	Community Design + Architecture	Oakland	CB		2.00%		2.00%	2.00%	C				
Civil Engineering Support	Diablo Engineering Group	Oakland	CB		2.00%		2.00%	2.00%	C		2.00%		
Civil Engineering Support	Mark Thomas & Company	Oakland	UB					2.00%	C				
Intelligent Transportation System	Jacobs	Oakland	CB	2.00%			2.00%	2.00%	O				
Community Engagement	ISEED/Streetwyze	Oakland	UB					2.00%	AA				
Stakeholder Engagement	Elsen/Letunic	Berkeley	UB					2.00%	C		2.00%		
<b>Project Totals</b>					90.00%	4.00%	0.00%	94.00%	100.00%		0.00%	4.00%	
<b>Requirements:</b> The 50% requirement can be satisfied by a combination of 25% LBE and 25% SLBE. The SLBE requirement is waived for Oakland certified prime consultants. An VSLBE's participation is double counted toward meeting the requirement.									<b>Ethnicity</b> AA = African American A = Asian C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed				
<b>Legend</b>		LBE = Local Business Enterprise SLBE = Small Local Business Enterprise Total LBE/SLBE = All Certified Local and Small Local Businesses NPLBE = NonProfit Local Business Enterprise NPSLBE = NonProfit Small Local Business Enterprise			UB = Uncertified Business CB = Certified Business MBE = Minority Business Enterprise WBE = Women Business Enterprise								



**CONTRACTS AND COMPLIANCE**

**Compliance Division**

**PROJECT COMPLIANCE EVALUATION FOR :**

**RE:** Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier II

**CONSULTANT/CONTRACTOR: Kimley Horn & Associates, Inc.**

<b><u>Engineer's Estimate:</u></b> NA	<b><u>Contractors' Bid Amount</u></b> NA	<b><u>Over/Under Engineer's Estimate</u></b> NA
<b><u>Bid discounted amount:</u></b> N/A		<b><u>Preference Points</u></b> 5

1. Did the 50% local/small local requirement apply: **YES**

2. Did the contractor meet the 50% requirement **YES**

a) % of LBE participation **90.0%**

b) % of SLBE participation **5.00%**

c) % of VSLBE participation **0.0%**

3. Did the contractor receive bid discount/preference points? **YES**

(If yes, list the points received) **5 points**

4. Additional Comments.

[Empty box for additional comments]

5. Date evaluation completed and returned to Contract Admin./Initiating Dept.

8/16/2018

Date

**Reviewing Officer:**

Sophia H...

**Date:** 8/16/2018

**Approved By:**

Shelley Darenburg

**Date:** 8/16/2018

## LBE/SLBE Participation Kimley Horn

<b>Project Name:</b>		<b>Request for Qualifications (RFQ) for Transportation Engineering &amp; Intelligent Transportation Systems 2018-Tier II</b>										
<b>Project No.:</b>		<b>NA</b>					<b>Under/Over Engineers Estima</b>					
Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	VSLBE	Total LBE/SLBE %	Total Percentages	For Tracking Only			
									Ethnicity	MBE	WBE	
PRIME Topographic Survey Traffic Counts/Surveys	Kimley Horn & Associates, Inc.	Oakland	CB	90.00%			90.00%	90.00%	C			
	PLS Surveys, Inc.	Oakland	CB		5.00%		5.00%	5.00%	C		5.00%	
	Wiltec	Pasadena	UB					5.00%	AA	5.00%		
<b>Project Totals</b>							90.00%	100.00%		5.00%	5.00%	
<b>Requirements:</b> The 50% requirement can be satisfied by a combination of 25% LBE and 25% SLBE. The SLBE requirement is waived for Oakland certified prime consultants. An VSLBE's participation is double counted toward meeting the requirement.									<b>Ethnicity</b> AA = African American A = Asian C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed			
<b>Legend</b>		LBE = Local Business Enterprise SLBE = Small Local Business Enterprise Total LBE/SLBE = All Certified Local and Small Local Businesses NPLBE = NonProfit Local Business Enterprise NPSLBE = NonProfit Small Local Business Enterprise			UB = Uncertified Business CB = Certified Business MBE = Minority Business Enterprise WBE = Women Business Enterprise							

**CONTRACTS AND COMPLIANCE**



**Compliance Division**

**PROJECT COMPLIANCE EVALUATION FOR :**

RE:

**Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier II**

**CONSULTANT/CONTRACTOR: Kittelson & Associates**

**Engineer's Estimate:**

NA

**Contractors' Bid Amount**

NA

**Over/Under**

NA

**Bid discounted amount:**

N/A

**Preference Points**

4

1. Did the 50% local/small local requirement apply: **YES**

2. Did the contractor meet the 50% requirement **YES**

a) % of LBE **70.0%**  
b) % of SLBE **0.0%**  
c) % of VSLBE **0.0%**

3. Did the contractor receive bid discount/preference points? **YES**

(If yes, list the points received) **4 points**

4. Additional Comments.

[Empty box for additional comments]

5. Date evaluation completed and returned to Contract Admin./Initiating Dept.

8/16/2018

Date

**Reviewing  
Officer:**

*[Signature]*

**Date:** 8/16/2018

**Approved By:**

*Shelley Darenstun*

**Date:** 8/16/2018

## LBE/SLBE Participation Kittelson & Associates

**Project Name:** Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems  
2018-Tier II

Project No.: NA							Under/Over Engineers Estimate				
Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	VSLBE	Total	Total	For Tracking Only		
							LBE/SLBE %	Percentages	Ethn.	MBE	WBE
PRIME Roadway Design Signal Design Data Collection	Kittelson & Associates Urban Design	Oakland	CB	60.00%			60.00%	60.00%	C		
	Consulting Engineers Y & C Transportation	Oakland	CB	10.00%			10.00%	10.00%	AP	10.00%	
	Consultants	Fremont	UB					10.00%	AP	10.00%	
	Quality Counts	Portland	UB					20.00%	C		
<b>Project Totals</b>				70.00%	0.00%	0.00%	70.00%	100.00%		20.00%	0.00%
<b>Requirements:</b> The 50% requirement can be satisfied by a combination of 25% LBE and 25% SLBE. The SLBE requirement is waived for Oakland certified prime consultants. An VSLBE's participation is double counted toward meeting the requirement.				<b>LBE 25% or 50%</b>	<b>SLBE 25%</b>	<b>VSLBE</b>	<b>TOTAL LBE/SLBE 50%</b>	<b>TOTAL</b>	<b>Ethnicity</b> AA = African American A = Asian C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed		
<b>Legend</b>		LBE = Local Business Enterprise SLBE = Small Local Business Enterprise Total LBE/SLBE = All Certified Local and Small Local Businesses NPLBE = NonProfit Local Business Enterprise NPSLBE = NonProfit Small Local Business Enterprise					UB = Uncertified Business CB = Certified Business MBE = Minority Business Enterprise WBE = Women Business Enterprise				



**CONTRACTS AND COMPLIANCE**



**Compliance Division**

**PROJECT COMPLIANCE EVALUATION FOR :**

RE:

**Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier II**

**CONSULTANT/CONTRACTOR: Wood Rodgers, Inc.**

**Engineer's Estimate:**

NA

**Contractors' Bid Amount**

NA

**Over/Under Engineer's**

**Estimate**

NA

**Bid discounted amount:**

N/A

**Preference Points**

5

1. Did the 50% local/small local requirement apply: **YES**
2. Did the contractor meet the 50% requirement **YES**
- a) % of LBE **82.0%**
- b) % of SLBE **5.00%**
- c) % of VSLBE **0.0%**

3. Did the contractor receive bid discount/preference points? **YES**

(If yes, list the points received) **5 points**

4. Additional Comments.

[Empty box for additional comments]

5. Date evaluation completed and returned to Contract Admin./Initiating Dept.

8/16/2018

Date

**Reviewing Officer:**

Sobry H...

**Date:** 8/16/2018

**Approved By:**

Shelley Daramalung

**Date:** 8/16/2018

## LBE/SLBE Participation Wood Rodgers, Inc.

**Project Name:** Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier II

Project No.: NA							Under/Over Engineers Estimate:					
Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	VSLBE	Total	Total	For Tracking Only			
							LBE/SLBE %	Percentages	Ethn	MBE	WBE	
<b>PRIME</b>	Wood Rodgers, Inc.	Oakland	CB	72.00%			72.00%	72.00%	C			
Drainage Design	WRECO	Walnut Creek	UB					8.00%	AP	8.00%		
Soil Investigation	Parikh Consultants, Inc.	Oakland	CB	10.00%			10.00%	10.00%	AI	10.00%		
Community Engagement & Facilitation	VSCE, Inc.	Oakland	CB		5.00%		5.00%	5.00%	H	5.00%		
Righ-of-way acquisition	Associated Right-Of-Way Services, Inc.	Pleasant Hill	UB					5.00%	C			
<b>Project Totals</b>				82.00%	5.00%	0.00%	87.00%	100.00%		23.00%	0.00%	
<b>Requirements:</b> The 50% requirement can be satisfied by a combination of 25% LBE and 25% SLBE. The SLBE requirement is waived for Oakland certified prime consultants. An VSLBE's participation is double counted toward meeting the requirement.										<b>Ethnicity</b> AA = African American A = Asian C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed		
<b>Legend</b>		LBE = Local Business Enterprise SLBE = Small Local Business Enterprise Total LBE/SLBE = All Certified Local and Small Local Businesses NPLBE = NonProfit Local Business Enterprise NPSLBE = NonProfit Small Local Business Enterprise				UB = Uncertified Business CB = Certified Business MBE = Minority Business Enterprise WBE = Women Business Enterprise						

**CONTRACTS AND COMPLIANCE**



**Compliance Division**

**PROJECT COMPLIANCE EVALUATION FOR :**

RE:

**Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier II**

**CONSULTANT/CONTRACTOR: Parsons Transportation Group Inc.**

**Engineer's Estimate:**  
NA

**Contractors' Bid Amount**  
NA

**Over/Under Engineer's Estimate**  
NA

**Bid discounted amount:**  
N/A

**Preference Points**  
0

1. Did the 50% local/small local requirement apply: **YES**
2. Did the contractor meet the 50% requirement **NO**
- a) % of LBE **0.0%**
  - b) % of SLBE **0.00%**
  - c) % of VSLBE **0.0%**

3. Did the contractor receive bid discount/preference points? **NO**
- (If yes, list the points received) **0 points**

4. Additional Comments.

**Firm failed to meet the minimum 50% SLBE participation requirement. Therefore, they are non-responsive to the L/SLBE requirement.**

5. Date evaluation completed and returned to Contract Admin./Initiating Dept.

8/16/2018

Date

**Reviewing Officer:**

*[Signature]*

**Date:** 8/16/2018

**Approved By:**

*Shelley Darenburg*

**Date:** 8/16/2018



# INTER OFFICE MEMORANDUM

**TO:** Philip Ho, Transportation Engineer

**FROM:** Deborah Barnes, Director, Contracts and Compliance

**THROUGH:** Shelley Darensburg, Senior Contract Compliance Officer

**PREPARED BY:** Sophany Hang, Contract Compliance Officer

**SUBJECT:** Compliance Analysis  
Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems  
2018-Tier II (REVISED 2/19/19)

**DATE:** August 16, 2018

At the request of the Oakland Public Works Department, the designated Compliance Officer conducted a compliance analysis of eleven (11) proposals submitted to the City in response to the above referenced RFQ. Below are the results of the compliance evaluations for the minimum 50% Local/Small Local Business Enterprise (L/SLBE) participation requirement, and Equal Benefits Ordinance (EBO) including any Earned Preference Points. *Please apply these preference points to the last numerical evaluations.*

The Public Works Department negotiated with Parsons Transportation Group. In the August 16, 2018 analysis Parsons Transportation Group was deemed non-responsive for failure to meet the required 50% L/SLBE participation requirement. Upon conclusion of the negotiations Parsons Transportation Group came into compliance.

Responsive to Social Justice Policies	PROPOSED L/SLBE PARTICIPATION PERCENTAGES					EARNED PREFERENCE POINTS				EBO Compliant? Y/N
	Total LBE/SLBE	LBE	SLBE	*VSLBE	Total Credited participation	L/SLBE Participation Preference Points	Years in Oakland Preference Points	Oakland Workforce Preference Points	Total Participation Points	
Advanced Mobility Group	50.00% *65.00%	20.00%	15.00%	15.00%	*65.00%	3.0 pts.	0.0 pts.	0.0 pts.	3.0 pts.	N
Alta Planning + Design	100.00%	100.00%	0.00%	0.00%	100.00%	5.0 pts.	0.0 pts.	1.0 pts.	6.0 pts.	Y
Arup North America, Ltd.	85.00%	85.00%	0.00%	0.00%	85.00%	5.0 pts.	0.0 pts.	0.0 pts.	5.0 pts.	N
DKS Associates	89.00% *94.00%	80.00%	4.00%	5.00%	*94.00%	5.0 pts.	2.0 pts.	0.0 pts.	7.0 pts.	N
Fehr & Peers	94.00%	90.00%	4.00%	0.00%	94.00%	5.0 pts.	0.5 pts.	0.0 pts.	5.5 pts.	Y
Kimley Horn	95.00%	90.00%	5.00%	0.00%	95.00%	5.0 pts.	1.0 pts.	0.0 pts.	6.0 pts.	Y
Kittelson & Associates	70.00%	70.00%	0.00%	0.00%	70.00%	4.0 pts.	0.5 pts.	0.0 pts.	4.5 pts.	Y
Wood Rodgers, Inc	87.00%	82.00%	5.00%	0.00%	85.00%	5.0 pts.	1.5 pts.	0.0 pts.	6.5 pts.	Y
Parsons Transportation Group	100.00%	100.00%	0.00%	0.00%	100.00%	0.00%	0.0 pts.	0.0 pts.	0.0 pts.	Y

\*Double Counted for Very Small Local Business Enterprises (VSLBEs)

**Comments:** As noted above, all firms exceeded the minimum 50% L/SLBE participation requirement. Advanced Mobility Group, Arup North America, Ltd., and DKS Associates are not EBO compliant. They must come into compliance prior to full contract execution.

<i>Non-Responsive to Social Justice Policies</i>	<b>PROPOSED L/SLBE PARTICIPATION PERCENTAGES</b>					<b>EARNED PREFERENCE POINTS</b>				EBO Compliant? Y/N
	Total LBE/SLBE	LBE	SLBE	*VSLBE	Total Credited participation	L/SLBE Participation Preference Points	Years in Oakland Preference Points	Oakland Workforce Preference Points	Total Participation Points	
Rajappan & Meyer Consulting Engineers	0.00%	0.00%	0.00%	0.00%	0.00%	0.00%	0.0 pts.	0.0 pts.	0.0 pts.	Y
TJKM Transportation Consultants	0.00%	30.00%	0.00%	0.00%	0.00%	0.00%	0.0 pts.	0.0 pts.	0.0 pts.	N

**Comments:** As noted above, three (3) firms did not meet the minimum 50% SLBE participation requirement. Therefore, they are non-responsive to the L/SLBE participation requirement.

Should you have any questions you may contact Sophany Hang, Contract Compliance Officer at (510) 238-3723.

**COMPLIANCE OFFICER NOTES:**

The Public Works Department negotiated with Parsons Transportation Group. Upon conclusion of the negotiations Parsons Transportation Group came into compliance.

**CONTRACTS AND COMPLIANCE**



**Compliance Division**

**PROJECT COMPLIANCE EVALUATION FOR :**

**RE:**

**Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier II**

**CONSULTANT/CONTRACTOR: Parsons Transportation Group Inc.**

**Engineer's Estimate:**  
NA

**Contractors' Bid Amount**  
NA

**Over/Under Engineer's Estimate**  
NA

**Bid discounted amount:**  
N/A

**Preference Points**  
5

1. Did the 50% local/small local requirement apply: **YES**

2. Did the contractor meet the 50% requirement **YES**

a) % of LBE **0.0%**  
b) % of SLBE **0.00%**  
c) % of VSLBE **0.0%**

3. Did the contractor receive bid discount/preference points? **YES**

(If yes, list the points received) **5 points**

4. Additional Comments.

[Empty box for additional comments]

5. Date evaluation completed and returned to Contract Admin./Initiating Dept.

2/19/2019

Date

**Reviewing Officer:**

*[Signature]*

**Date:** 2/19/2019

**Approved By:**

*[Signature]*

**Date:** 2/19/2019

## LBE/SLBE Participation Parsons Transportation Group Inc.

Project Name: Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier II

Project No.: NA							Under/Over Engineers Estimate:				
Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	VSLBE	Total LBE/SLBE %	Total Percentages	For Tracking Only		
									Ethn	MBE	WBE
PRIME	Parsons Transportation Group Inc.	Oakland	CB	100.00%			100.00%	100.00%	NL		
<b>Project Totals</b>				100.00%	0.00%	0.00%	100.00%	100.00%		0.00%	0.00%
<b>Requirements:</b> The 50% requirement can be satisfied by a combination of 25% LBE and 25% SLBE. The SLBE requirement is waived for Oakland certified prime consultants. An VSLBE's participation is double counted toward meeting the requirement.									<b>Ethnicity</b> AA = African American A = Asian C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed		
<b>Legend</b> LBE = Local Business Enterprise SLBE = Small Local Business Enterprise Total LBE/SLBE = All Certified Local and Small Local Businesses NPLBE = NonProfit Local Business Enterprise NPSLBE = NonProfit Small Local Business Enterprise				UB = Uncertified Business CB = Certified Business MBE = Minority Business Enterprise WBE = Women Business Enterprise							

# LBE/SLBE Participation Rajappan & Meyer Consultanting

**Project Name:** Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier II

Project No.: NA							Under/Over Engineers Estimate:					
Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	VSLBE	Total LBE/SLBE %	Total Percentages	For Tracking Only			
									Ethnic	MBE	WBE	
<b>PRIME</b> Railroad & Structures Environmental Analysis ITS/Traffic Signal Design/Traffic Operations Traffic Surveys Public Outreach/Media Bicycle/Pedestrian	Rajappan & Meyer Consulting Engineers	Oakland	UB					30.00%	AI	30.00%		
	MGE Engineering	Oakland						30.00%	AP	30.00%		
	ICF	SF	UB					5.00%	C			
	CHS	SF	UB					10.00%	AP	10.00%		
	Qquality Counts, LLC	Concord	UB					10.00%	C			
	AIM Consulting	Sacramento	UB					5.00%	C		5.00%	
	Bicycle Solutions	SF	UB					10.00%	C			
<b>Project Totals</b>				0.00%	0.00%	0.00%	0.00%	100.00%		70.00%	5.00%	
<b>Requirements:</b> The 50% requirement can be satisfied by a combination of 25% LBE and 25% SLBE. The SLBE requirement is waived for Oakland certified prime consultants. An VSLBE's participation is double counted toward meeting the requirement.									<b>Ethnicity</b> AA = African American A = Asian C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed			
<b>Legend</b>		LBE = Local Business Enterprise SLBE = Small Local Business Enterprise Total LBE/SLBE = All Certified Local and Small Local Businesses NPLBE = NonProfit Local Business Enterprise NPSLBE = NonProfit Small Local Business Enterprise				UB = Uncertified Business CB = Certified Business MBE = Minority Business Enterprise WBE = Women Business Enterprise						



**CONTRACTS AND COMPLIANCE**



**Compliance Division**

**PROJECT COMPLIANCE EVALUATION FOR :**

**RE:** Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems 2018-Tier II

**CONSULTANT/CONTRACTOR: TJKM Transportation Consultants**

<u>Engineer's Estimate:</u>	<u>Contractors' Bid Amount</u>	<u>Over/Under Engineer's Estimate</u>
NA	NA	NA
<u>Bid discounted amount:</u>		<u>Preference Points</u>
N/A		0

1. Did the 50% local/small local requirement apply: **YES**
2. Did the contractor meet the 50% requirement **NO**
  - a) % of LBE **0.0%**
  - b) % of SLBE **0.00%**
  - c) % of **0.0%**
3. Did the contractor receive bid discount/preference points? **NO**  
(If yes, list the points receive **0** points

**4. Additional Comments.**

**Firm failed to meet the minimum 50% SLBE participation requirement. Therefore, they are non-responsive to the L/SLBE requirement.**

**5. Date evaluation completed and returned to Contract Admin./Initiating Dept.**  
8/16/2018  
Date

**Reviewing Officer:**

**Date:** 8/16/2018

**Approved By:**

Shelley Darenshing

**Date:** 8/16/2018

# LBE/SLBE Participation TJKM Transportation Consultants

**Project Name:** Request for Qualifications (RFQ) for Transportation Engineering & Intelligent Transportation Systems  
2018-Tier II

Project No.: NA							Under/Over Engineers Estimati					
Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	VSLBE	Total LBE/SLBE %	Total Percentages	For Tracking Only			
									Ethn	MBE	WBE	
PRIME Civil Engineering	TJKM Transportation Consultants	Pleasanton	UB					70.00%	A	70.00%		
	BKF Engineers	Oakland	CB	30.00%			30.00%	30.00%	C			
<b>Project Totals</b>				30.00%	0.00%	0.00%	30.00%	100.00%		70.00%	0.00%	
<b>Requirements:</b> The 50% requirement can be satisfied by a combination of 25% LBE and 25% SLBE. The SLBE requirement is waived for Oakland certified prime consultants. An VSLBE's participation is double counted toward meeting the requirement.									<b>Ethnicity</b> AA = African American A = Asian C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed			
<b>Legend</b>		LBE = Local Business Enterprise SLBE = Small Local Business Enterprise Total LBE/SLBE = All Certified Local and Small Local Businesses NPLBE = NonProfit Local Business Enterprise NPSLBE = NonProfit Small Local Business Enterprise			UB = Uncertified Business CB = Certified Business MBE = Minority Business Enterprise WBE = Women Business Enterprise							

# ATTACHMENT C

## CITY OF OAKLAND REQUEST FOR QUALIFICATIONS FOR VARIOUS ON-CALL TECHNICAL SERVICES 2018

June 2018

**DESCRIPTION:** The City seeks to establish multiple on-call professional services contracts to provide technical services under six disciplines: (1) Civil Engineering, (2) Geotechnical Engineering, (3) Transportation Engineering and Intelligent Transportation Systems, (4) Plan Review, Permitting and Field inspection, (5) Land Surveying, and (6) Construction Management. Prime consultants may submit a Statement of Qualifications (SOQ) on one or more disciplines. Firms offering unique or specialized services and resources are encouraged to apply as either prime consultant or as subconsultant.

The City is anticipating a record increase in funded projects over the next few years, through new means including Measure B, Measure BB, Measure KK and new grant funds. With these new opportunities, there will be a greater need for consulting support and new opportunities for local firms. It is a priority for the City that local business owners are able to benefit from these opportunities. The City encourages all interested firms to apply, and will assist firms to navigate the certification process to be eligible for these contracts. Certification typically takes 2 to 3 weeks, and staff are prepared to work directly with any firm that requests assistance.

**VOLUNTARY PRE-SUBMITTAL MEETING and Optional SUPPLIER & LBE/SLBE WORKSHOPS**  
Thursday, June 28, 2018

*(Exact schedule and location are announced at the [ciplist.com](http://ciplist.com) link below,  
contact staff below to make arrangements if unable to attend.)*

**SUBMITTAL DUE DATE: Friday, July 27, 2018**

### CONTRACT TERMS AND CONTACT INFORMATION:

Discipline	Term (year)	Tier	Amount	Name	E-mail
Civil Engineering	3	I	\$ 500,000	Mohammad Barati	mbarati@oaklandnet.com
Civil Engineering	3	II	\$ 2,500,000	Mohammad Barati	mbarati@oaklandnet.com
Geotechnical Engineering	3	I	\$ 250,000	Jeff Krohn	jkrohn@oaklandnet.com
Geotechnical Engineering	3	II	\$ 750,000	Jeff Krohn	jkrohn@oaklandnet.com
Transportation Eng. & ITS	3	I	\$ 500,000	Philip Ho	pho@oaklandnet.com
Transportation Eng. & ITS	3	II	\$ 1,000,000	Philip Ho	pho@oaklandnet.com
Plan Review, Permitting, Field Inspection	3	N/A	\$ 200,000	Kevin Kashi	kkashi@oaklandnet.com
Land Surveying	3	N/A	\$ 950,000	Raymond Hebert	rhebert@oaklandnet.com
Construction Management	3	N/A	\$ 750,000	Randy Mach	rmach@oaklandnet.com
Contract Services Contract				Calvin Hao	chao@oaklandnet.com
Compliance Contract				Vivian Inman	vinman@oaklandnet.com
Compliance				Sophany Hang	shang@oaklandnet.com

For further information visit  
CIPList.com: <http://ciplist.com/plans/?Oakland/city/9392>



City of  
Oakland

Department of  
Transportation

**ATTACHMENT D**

**Consultant Performance Evaluation**

**Schedule L-1**

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CITY OF OAKLAND



250 FRANK H. OGAWA PLAZA OAKLAND, CALIFORNIA 94612-2033

Oakland Public Works Department  
Bureau of Engineering & Construction  
Contract Services

(510) 238-7270  
FAX (510) 238-2346  
TDD (510) 238-3254

**SCHEDULE L1  
CONSULTANT PERFORMANCE EVALUATION FORM**

**Date of Evaluation: 10/22/2018**

**CONSULTANT: DKS Associates**

**Consultant Project Manager: Joshua Pilachowski**

**Type of Services/Work Provided: Project development**

**Final Value of Consultant Contract: \$42,600**

**Duration of Consultant Contract (Start to end dates): 7/19/2016 to 8/12/2016**

**Project Complexity: Standard**

**Consultant's Signature:**

  
Joshua Pilachowski

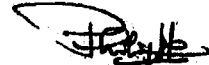
*The Consultant's Performance Evaluation has been communicated to the Consultant. Signature does not necessarily signify consent or agreement.*

**PROJECT NO. & NAME: C491140 – 2016 HSIP Cycle 8: Grant Application Technical Assistance**

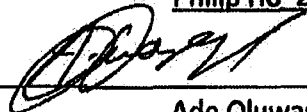
**Final Value of Construction Contract: N/A**

**City Construction Resident Engineer: N/A**

**City Project Manager/Evaluator Signature:**

  
Phillip Ho 238-6256

**Reviewed and Approved By:**

  
Ade Oluwasogo 238-6103

## Rating Guidelines

- Poor\*** Work required extensive revisions, included numerous & significant errors; Consultant was unable or unwilling to perform consistently, required an inordinate amount of supervision, and/or failed to meet professional standards/project objectives.
- Needs to Improve\*** Performance was marginal; work required more review and included more errors than would normally be anticipated; level of service or expertise below average.
- Average** Performance and work were satisfactory; services provided were at least of industry standard; no significant errors or problems; professional service objectives met.
- Excellent** Performance was clearly above standard; expectations exceeded; objectives were met with an added level of service and/or with a higher level of professional expertise.

Questions	Poor	Needs to Improve	Average	Excellent	Not Applicable
1. Quality of Design/Work	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
2. Ability to meet the Project Objectives	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
3. Knowledge, Expertise, and State-of-the Art Technologies	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4. Innovation of Design/Work	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
5. Ability to Promptly React and Respond to Problems/Issues	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
6. Ability to maintain the Project Schedule and adhere to Time Commitments	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
7. Ability to maintain the Project Budget	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
8. Accuracy of Cost Estimating	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. Constructibility of the Design/Work	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10. Quality of Construction Administration Services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
11. Accuracy and Timeliness of Billings and other Documents	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12. Ability to Manage and Coordinate Sub-Consultants	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
13. Knowledge and Expertise in Regulatory Requirements and in Procuring Required Permits	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
14. Ability to Communicate with the Community and to Make Presentations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<b>OVERALL RATING</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

\*All Questions rated at "Poor" or "Needs to Improve" must be supplemented with comments:

Comments: \_\_\_\_\_

CITY OF OAKLAND



250 FRANK H. OGAWA PLAZA OAKLAND, CALIFORNIA 94612-2033

Oakland Public Works Department  
Bureau of Engineering & Construction  
Contract Services

(510) 238-7270  
FAX (510) 238-2346  
TDD (510) 238-3254

**SCHEDULE L1**  
**CONSULTANT PERFORMANCE EVALUATION FORM**

Date of Evaluation: 10/22/2018

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**CONSULTANT:** Kimley-Horn & Associates

**Consultant Project Manager:** Elbert Chang

**Type of Services/Work Provided:** Traffic Signal Timing Support

**Final Value of Consultant Contract:** \$30,560

**Duration of Consultant Contract (Start to end dates):** 1/25/2017 to 2/15/2017

**Project Complexity:** Standard

**Consultant's Signature:** \_\_\_\_\_

Elbert Chang

*The Consultant's Performance Evaluation has been communicated to the Consultant. Signature does not necessarily signify consent or agreement.*

**PROJECT NO. & NAME:** C393910 – Lakeside Green Streets

**Final Value of Construction Contract:** N/A

**City Construction Resident Engineer:** N/A

---

**City Project Manager/Evaluator Signature:** \_\_\_\_\_

Ali Schwarz 238-7310

**Reviewed and Approved By:** \_\_\_\_\_

Lily Soo Hoo 238-6604

**Rating Guidelines**

- Poor\*** Work required extensive revisions, included numerous & significant errors; Consultant was unable or unwilling to perform consistently, required an inordinate amount of supervision, and/or failed to meet professional standards/project objectives.
- Needs to Improve\*** Performance was marginal; work required more review and included more errors than would normally be anticipated; level of service or expertise below average.
- Average** Performance and work were satisfactory; services provided were at least of industry standard; no significant errors or problems; professional service objectives met.
- Excellent** Performance was clearly above standard; expectations exceeded; objectives were met with an added level of service and/or with a higher level of professional expertise.

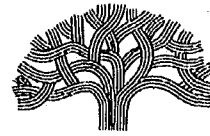
Criteria	Poor	Needs to Improve	Average	Excellent	Not Applicable
1. Quality of Design/Work	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Ability to meet the Project Objectives	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. Knowledge, Expertise, and State-of-the Art Technologies	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. Innovation of Design/Work	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. Ability to Promptly React and Respond to Problems/Issues	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. Ability to maintain the Project Schedule and adhere to Time Commitments	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. Ability to maintain the Project Budget	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. Accuracy of Cost Estimating	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. Constructibility of the Design/Work	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10. Quality of Construction Administration Services	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11. Accuracy and Timeliness of Billings and other Documents	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12. Ability to Manage and Coordinate Sub-Consultants	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13. Knowledge and Expertise in Regulatory Requirements and in Procuring Required Permits	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
14. Ability to Communicate with the Community and to Make Presentations	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>OVERALL RATING</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

\*All Questions rated at "Poor" or "Needs to Improve" must be supplemented with comments:

Comments: \_\_\_\_\_



CITY OF OAKLAND



250 FRANK H. OGAWA PLAZA OAKLAND, CALIFORNIA 94612-2033

Oakland Public Works Department  
Bureau of Engineering & Construction  
Contract Services

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FAX (510) 238-2346  
TDD (510) 238-3254

**SCHEDULE L1**  
**CONSULTANT PERFORMANCE EVALUATION FORM**

Date of Evaluation: 10/22/2018

CONSULTANT: Wood Rodgers

Consultant Project Manager: Mark Rayback

Type of Services/Work Provided: Design, Utility, Right of Way Mapping

Final Value of Consultant Contract: \$105,280

Duration of Consultant Contract (Start to end dates): 10/16/2016 to 2/28/2018

Project Complexity: Difficult

Consultant's Signature: \_\_\_\_\_

Mark Rayback

*The Consultant's Performance Evaluation has been communicated to the Consultant. Signature does not necessarily signify consent or agreement.*

PROJECT NO. & NAME: C98510 - 42<sup>nd</sup> Ave/High St: Design & Processing Support

Final Value of Construction Contract: N/A

City Construction Resident Engineer: N/A

City Project Manager/Evaluator Signature: \_\_\_\_\_

for Ferdinand Ciceron

Reviewed and Approved By: \_\_\_\_\_

Ade Oluwasogo 238-6103

## Rating Guidelines

**Poor\*** Work required extensive revisions, included numerous & significant errors; Consultant was unable or unwilling to perform consistently, required an inordinate amount of supervision, and/or failed to meet professional standards/project objectives.

**Needs to Improve\*** Performance was marginal; work required more review and included more errors than would normally be anticipated; level of service or expertise below average.

**Average** Performance and work were satisfactory; services provided were at least of industry standard; no significant errors or problems; professional service objectives met.

**Excellent** Performance was clearly above standard; expectations exceeded; objectives were met with an added level of service and/or with a higher level of professional expertise.

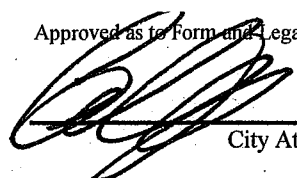
Criteria	Poor	Needs to Improve	Average	Excellent	Not Applicable
1. Quality of Design/Work	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
2. Ability to meet the Project Objectives	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. Knowledge, Expertise, and State-of-the Art Technologies	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
4. Innovation of Design/Work	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. Ability to Promptly React and Respond to Problems/Issues	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
6. Ability to maintain the Project Schedule and adhere to Time Commitments	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. Ability to maintain the Project Budget	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. Accuracy of Cost Estimating	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. Constructibility of the Design/Work	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
10. Quality of Construction Administration Services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
11. Accuracy and Timeliness of Billings and other Documents	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12. Ability to Manage and Coordinate Sub-Consultants	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
13. Knowledge and Expertise in Regulatory Requirements and in Procuring Required Permits	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
14. Ability to Communicate with the Community and to Make Presentations	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<b>OVERALL RATING</b>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**\*All Questions rated at "Poor" or "Needs to Improve" must be supplemented with comments:**

Comments: \_\_\_\_\_

FILED  
OFFICE OF THE CITY CLERK  
OAKLAND

# OAKLAND CITY COUNCIL

  
City Attorney

2019 MAR -7 AM 10: 18

RESOLUTION NO. \_\_\_\_\_ C.M.S.

Introduced by Councilmember \_\_\_\_\_

**RESOLUTION AUTHORIZING THE CITY ADMINISTRATOR OR DESIGNEE TO EXECUTE PROFESSIONAL SERVICES AGREEMENTS FOR ON-CALL TRANSPORTATION ENGINEERING AND INTELLIGENT TRANSPORTATION SYSTEMS (ITS) SERVICES IN THE AMOUNT OF FIVE HUNDRED THOUSAND DOLLARS (\$500,000) EACH TO TWO (2) TIER I FIRMS INCLUDING: 1) ALIQUOT ASSOCIATES AND 2) DIABLO ENGINEERING GROUP**

**WHEREAS**, professional on-call transportation engineering and intelligent transportation systems (ITS) services are needed to assist OakDOT and other City Departments with planning, engineering, design, operations, systems integration, construction support, data collection, research, staff training, and technical support for various transportation projects; and

**WHEREAS**, on-call transportation engineering and intelligent transportation systems (ITS) services allow OakDOT to respond and provide the necessary services in an efficient and timely manner; and

**WHEREAS**, on-call transportation engineering and intelligent transportation systems (ITS) services allow OakDOT to promote greater efficiency and safety within the transportation network; and

**WHEREAS**, OakDOT anticipates that several currently funded transportation projects, including the Highway Safety Improvement Program (HSIP) Cycle 8, and MacArthur Smart City Corridor Project, will utilize the on-call transportation engineering and intelligent transportation systems (ITS) services contracts; and

**WHEREAS**, mid-year projects will arise throughout the three-year period will likely require on-call transportation engineering and intelligent transportation systems (ITS) services; and

**WHEREAS**, on-call transportation engineering and intelligent transportation systems (ITS) services will be funded through the various individual projects and funds will be identified prior to the performance of the on-call services; and

**WHEREAS**, the City issued an Request for Qualification in accordance with Oakland Municipal Code Chapter 2.04.040 and 2.04.051; and

**WHEREAS**, through the City's Request for Qualifications process, the two (2) selected Tier I firms meet the requirements of OMC Chapter 2.04.040 and 2.04.051 for on-call transportation engineering and intelligent transportation systems (ITS) services; and

**WHEREAS**, the two (2) Tier I firms have met the City's 50% Local/Small Local Business Enterprises (LBE/SLBE) Program requirements and have been determined to be in compliance with the Equal Benefits Ordinance (EBO); and

**WHEREAS**, the City Council finds that the services provided pursuant to the contracts authorized hereunder are of a professional, scientific or technical nature and are temporary in nature; and

**WHEREAS**, the City Council finds that the contracts shall not result in the loss of employment or salary by any person having permanent status in the competitive service; now, therefore be it

**RESOLVED:** That the City Council authorize the City Administrator to execute a Professional Services Agreement with On-Call Transportation Engineering and Intelligent Transportation Systems (ITS) Services to each of two (2) Tier I firms including: 1) Aliquot Associates and 2) Diablo Engineering Group.

**FURTHER RESOLVED:** That the total amount of the Contract for each of two (2) Tier I firms shall be for a not-to-exceed amount of \$500,000; and be it

**FURTHER RESOLVED:** That the terms of each Contract shall be for three (3) years and is anticipated to cover the time period from May 2019 to May 2022; and be it

**FURTHER RESOLVED:** That the City Administrator, or designee, is hereby authorized to approve any subsequent amendments to or extensions of said Contracts with the exception of those related to an increase in total compensation or the allocation of funds, provided that such amendments or extensions shall be approved for form and legality by the City Attorney and a copy shall be kept on file with the City Clerk; and be it

**FURTHER RESOLVED:** That the Contracts shall be approved for form and legality by the City Attorney, and a copy shall be kept on file with the City Clerk

IN COUNCIL, OAKLAND, CALIFORNIA, \_\_\_\_\_, 2019

**PASSED BY THE FOLLOWING VOTE:**

AYES - FORTUNATO BAS, GALLO, GIBSON MCELHANEY, KALB, REID, TAYLOR, THAO and  
PRESIDENT KAPLAN

NOES -

ABSENT -

ABSTENTION -

ATTEST: \_\_\_\_\_

LaTonda Simmons  
City Clerk and Clerk of the Council  
of the City of Oakland, California