



FILED
OFFICE OF THE CITY CLERK
OAKLAND

2017 AUG 29 PM 3:08

AGENDA REPORT

TO: Sabrina B. Landreth
City Administrator

FROM: Jason Mitchell
Director, Public Works

SUBJECT: Rehabilitation of Sanitary Sewers

DATE: July 18, 2017

City Administrator Approval

Date:

[Signature]
8/28/17

RECOMMENDATION

Staff Recommends That The City Council Adopt A Resolution Awarding A Construction Contract To Pacific Trenchless, Inc., The Lowest Responsive, Responsible Bidder, For Sanitary Sewer Rehabilitation Phase II Sub-Basin 56-07 (Project No. 1000980) In Accordance With Plans And Specifications For The Project And With Contractor's Bid In The Amount Of Three Million Two Hundred Fifty-Four Thousand Eight Hundred Fifty-Three Dollars (\$3,254,853.00).

EXECUTIVE SUMMARY

Approval of this resolution will authorize the City Administrator or designee to execute a construction contract with Pacific Trenchless Inc., in the amount of \$3,254,853.00. The work to be completed under this project is part of the City's annual sanitary sewer rehabilitation program and is required under the 2014 Sewer Consent Decree. Funding for this project is available in the Fiscal Year 2017-18 budget. The work is located in Council District 4 as shown in **Attachment A**.

BACKGROUND / LEGISLATIVE HISTORY

On June 29, 2017, the City Clerk received two bids for this project in the amount of \$3,254,853.00 and \$3,707,751.00 as shown in **Attachment B**. Pacific Trenchless, Inc. was deemed the lowest responsive and responsible bidder and is recommended for the award. The proposed work under this contract consists of rehabilitating approximately 14,711 linear feet of existing 8-inch sewer pipes, rehabilitating sewer structures, reconnecting and rehabilitating building sewer connections, and other related work as indicated on the plans and specifications.

The Environmental Protection Agency (EPA) mandates the reduction of sanitary sewer overflows during storm events. This project is part of the City's annual sanitary sewer rehabilitation program intended to improve the pipe conditions and reduce wet weather peak flows in the sanitary sewer system, and is required under 2014 Sewer Consent Decree.

Item: _____
Public Works Committee
September 12, 2017

ANALYSIS AND POLICY ALTERNATIVES

Under the proposed contract with Pacific Trenchless, Inc., the Local Business Enterprise/Small Local Business Enterprise (LBE/SLBE) participation will be 80.79 percent, which exceeds the City's 50 percent LBE/SLBE requirement. Trucking participation is 100 percent and exceeds the 50 percent requirement. The contractor is required to have 50 percent of the work hours performed by Oakland residents, and 50 percent of all new hires are to be Oakland residents. The LBE/SLBE information has been verified by the Contracts and Compliance Division of the Department of Contracting and Purchasing and is shown in **Attachment C**.

Construction is scheduled to begin in January 2018 and should be completed by June 2018. The contract specifies liquidated damages of \$1,000 per calendar day. The project schedule is shown in **Attachment B**.

The Engineer's estimate for this work is \$2,718,810.00. Staff has reviewed the submitted bids for this work and has determined that the bids are reasonable for the current construction market conditions, which has seen a regional increase in costs for similar work. The economy has improved dramatically and contractors are in short supply regionally with lack of competition. Recently, there have only been two local bidders competing.

FISCAL IMPACT

Funding for this project is available in the Fiscal Year (FY) 2017-18 Budget in Fund 3100 Sewer Service Fund, Organization 92244 Sanitary Sewer Design Organization, Account 57417 Sewers, Project No. 1000980. Funding for operations and maintenance is also budgeted and available in the Sewer Fund 3100.

PAST PERFORMANCE, EVALUATION AND FOLLOW-UP

The Contractor Performance Evaluations for Pacific Trenchless Inc. from previously completed project is satisfactory and is included in **Attachment D**.

PUBLIC OUTREACH / INTEREST

The residents in the area have been notified in writing about these projects. Prior to starting work, residents who are affected by the work will be notified individually of the work schedule, planned activities, and contact information of the Contractor and Resident Engineer/Inspector in charge.

COORDINATION

The work to be done under this contract was coordinated with Oakland Public Works (OPW) Bureau of Infrastructure and Operations, Bureau of Facilities and Environment, and Contracts and Compliance Division. In addition, the Office of City Attorney and the Budget Bureau have reviewed this report and resolution.

SUSTAINABLE OPPORTUNITIES

Economic: The contractors are all verified for Local Business Enterprise and Small Local Business Enterprise (LBE/SLBE) participation by the Social Equity Division of the Department of Contracting and Purchasing. The contractors are required to have 50 percent of the work hours performed by Oakland residents, and 50 percent of all new hires are to be Oakland residents, which will result in funds being spent locally.

Environmental: Replacing sanitary sewers will minimize sewer leakage and overflows, thus preventing potential harm to property, groundwater resources and the bay. Best Management Practices for the protection of storm water runoff during construction will be required.

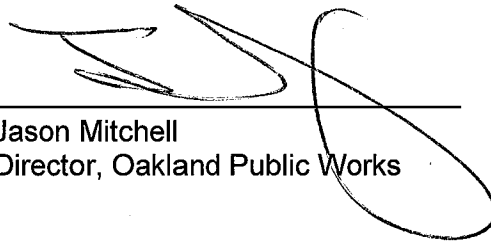
Social Equity: This project is part of the citywide program to eliminate wastewater discharges and overflows, thereby, benefiting all Oakland residents with decreased sewer overflows and improved infrastructure.

ACTION REQUESTED OF THE CITY COUNCIL

Staff recommends that the City Council adopt a resolution awarding a construction contract to Pacific Trenchless, Inc., the lowest responsive, responsible bidder, for Sanitary Sewer Rehabilitation Phase II Sub-Basin 56-07 (Project No. 1000980) in accordance with plans and specifications for the project and with contractor's bid in the amount of Three Million Two Hundred Fifty-Four Thousand Eight Hundred Fifty-Three Dollars (\$3,254,853.00).

For questions regarding this report, please contact Jimmy Mach, Wastewater Engineering Management Division Acting Division Manager at 510-238-3303.

Respectfully submitted,



Jason Mitchell
Director, Oakland Public Works

Reviewed by:
Danny Lau, P.E., Assistant Director
Bureau of Design & Construction

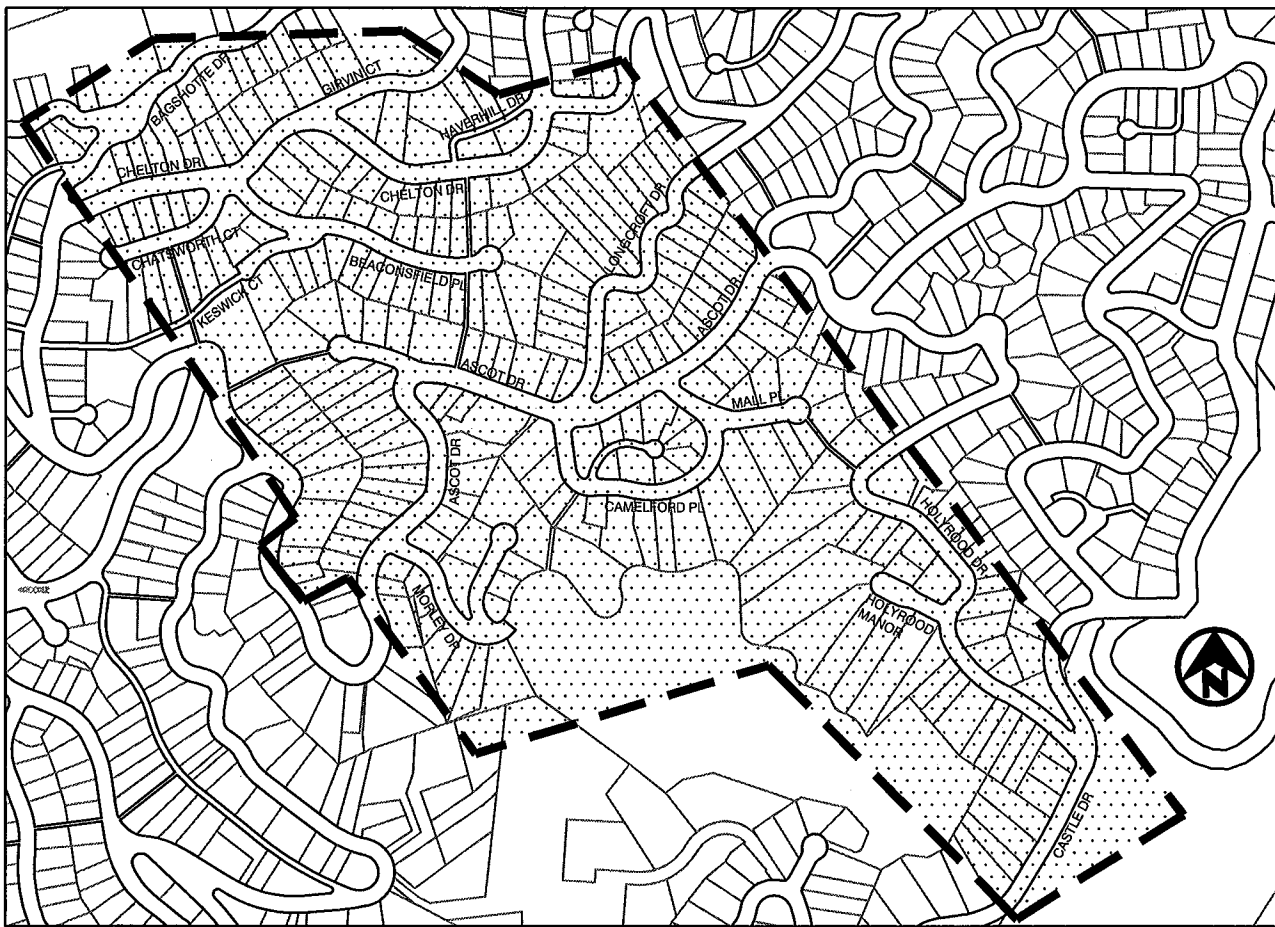
Prepared by:
Jimmy Mach, P.E., Acting Division Manager
Wastewater Engineering Management Division

Attachments (4):

- A: Project Location Map*
- B: List of Bidders and Project Construction Schedule*
- C: Contracts & Compliance Unit Compliance Evaluation*
- D: Contractors Performance Evaluation*

THE REHABILITATION OF SANITARY SEWERS (SUB-BASIN 56-07) PHASE II

CITY PROJECT NO. 1000980



LOCATION MAP

NOT TO SCALE

LIMIT OF WORK 

Attachment C



INTER OFFICE MEMORANDUM

TO: Johnny Liu

FROM: Deborah Barnes, *Deborah Barnes*
Director, Contracts and Compliance

SUBJECT: Compliance Analysis

DATE: July 25, 2017

Sanitary Sewer Rehabilitation Phase II (Sub-basin 56-07)
Project No. 1000980

The City Administrator's Office, Contracts and Compliance Unit, reviewed two (2) bids in response to the above referenced project. Below is the outcome of the compliance evaluation for the minimum 20% Local and Small Local Business Enterprise (L/SLBE) participation requirement, a preliminary review for compliance with the Equal Benefits Ordinance (EBO), and a brief overview of the lowest responsible bidder's compliance with the 50% Local Employment Program (LEP) and the 15% Oakland Apprenticeship Program on the bidder's most recently completed City of Oakland project.

The above referenced project contains Cured in Place Pipe (CIPP) specialty work. The Standard Specifications for Public Works Construction, "Greenbook", page 10 section 2-3.2 (Attachment A) describes how specialty work may be addressed. Based upon the Greenbook and per the specifications, the CIPP specialty items have been excluded from the contractor's bid price for purposes of determining compliance with the minimum 20% L/SLBE requirement.

The spreadsheet below is a revised format specifically for this analysis. The spreadsheet shows: Column A - Original Bid Amount; Column B - Specialty Dollar Amount submitted by the contractor; Column C - Non-Specialty Bid Amount (difference between column A and B); Column D - Total Credited Participation; Column E - Earned Bid Discounts as a result of the total credited participation and Column F - Adjusted Bid Amount calculated by applying the earned bid discount to the non-specialty work (column C) and then subtracting that difference from the original bid amount (column A).

Responsive		Proposed Participation							Earned Credits and Discounts			EBO Compliant? Y/N
Company Name	Original Bid Amount	Specialty Dollar Amount	Non-Specialty Dollar Amount	Total LBE/SLBE	LBE	SLBE	*LPG/VSLBE	L/SLBE Trucking	Total Credited participation	Earned Bid Discounts	Adjusted Bid Amount	
Pacific Trenchless	\$3,254,853	\$111,870	\$3,212,983	80.79%	0%	80.26%	.53% *1.06%	100%	81.32%	5%	\$3,094,203.85	Y
Andes Construction	\$3,707,751	\$65,766	\$3,641,985	94.18%	0%	93.68%	.49% *.98%	100%	94.67%	5%	\$3,459,885.75	Y

*Proposed VSLBE/LPG participation for Pacific Trenchless is valued at .49% and for Andes Construction it is valued at .53%. However, per the L/SLBE Program a VSLBE/LPG's participation is double counted towards meeting the requirement. Therefore, Pacific Trenchless and Andes Construction double values are .98% and 1.06% respectively.

Comments: As noted above, Pacific Trenchless and Andes Construction, Inc. exceeded the minimum 20% Local/Small Local Business Enterprise participation requirement. Both firms are EBO compliant.

For Informational Purposes

Listed below is the lowest responsible bidder's compliance with the 50% Local Employment Program (LEP) and the 15% Oakland Apprenticeship Program for the lowest bidders most recently completed City of Oakland project.

Contractor Name: Pacific Trenchless

Project Name: On-Call Sanitary Sewers Emergency projects FY 2014-2015

Project No: C455620

50% Local Employment Program (LEP)

Was the 50% LEP Goal achieved?	Yes	If no, shortfall hours?	
Were all shortfalls satisfied?	Yes	If no, penalty amount	

15% Oakland Apprenticeship Program

Was the 15% Apprenticeship Goal achieved?	Yes	If no, shortfall hours?	
Were shortfalls satisfied?	Yes	If no, penalty amount?	

The spreadsheet below provides details of the 50% LEP and 15% Apprenticeship Programs. Information provided includes the following data: A) total project hours, B) core workforce hours deducted, C) LEP project employment and work hour goal; D) LEP employment and work hours achieved; E) # resident new hires; F) shortfall hours; G) percent LEP compliance; H) total apprentice hours; I) apprenticeship goal and hours achieved; and J) Apprentice shortfall hours.

		50% Local Employment Program (LEP)						15% Apprenticeship Program				
Total Project Hours	Core Workforce Hours Deducted	LEP Project Employment and Work Hours Goal		LEP Employment and Work Hours Achieved		# Resident New Hires	Shortfall Hours	% LEP Compliance	Total Oakland Apprenticeship Hours Achieved	Apprenticeship Goal and Hours		Apprentice Shortfall Hours
		Goal	Hours	Goal	Hours					Goal	Hours	
A	B	C		D		E	F	G	H	I		J
8,089.5	0	50%	4,044.47	100%	5,873.5	0	0	100%	2,497	15%	1,213.4	0

Comments: Pacific Trenchless exceeded the Local Employment Program's 50% resident hiring goal with 100% resident employment and met the 15% Oakland Apprenticeship Program goals.

Should you have any questions, you may contact Vivian Inman, Contract Compliance Officer at (510) 238-6261.

CONTRACTS AND COMPLIANCE UNIT



Contract Compliance Division

PROJECT EVALUATION FORM

PROJECT NO.: 1000980

PROJECT NAME: Sanitary Sewer Rehabilitation Phase II (Sub-basin 56-07)

CONTRACTOR: Pacific Trenchless

<u>Engineer's Estimate:</u>	<u>Contractors' Original Bid</u>	<u>Over/Under Engineer's</u>
	<u>Amount</u>	<u>Estimate</u>
\$2,718,810.00	\$3,254,853.00	-\$536,043.00
	<u>Specialty Dollar Amount</u>	
	\$111,870.00	

<u>Discounted Bid Amount:</u>	<u>Amount of Bid Discount</u>	<u>Non-Specialty Bid Amt.</u>	<u>Discount Points:</u>
\$3,094,203.85	\$160,649.15	\$3,212,983.00	5%

1. Did the 50% requirements apply? **YES**

2. Did the contractor meet the 50% requirement? **YES**
 - b) % of LBE participation **0.00%**
 - c) % of SLBE participation **80.26%** Double counted
 - d) % of VSLBE/LPG participation **0.53%** value 1.06%

3. Did the contractor meet the L/SLBE Trucking requirement? **YES**
 - a) Total L/SLBE trucking participation **100%**

4. Did the contractor receive bid discounts? **YES**
 - (If yes, list the percentage received) **5%**

5. Additional Comments.

Bid Item #20 is considered specialty work and was excluded from the total bid price for the purposes of determining compliance with the 50% L/SLBE requirement. Proposed VSLBE/LPG participation is valued at .53%, however per the L/SLBE Program a VSLBE/LPG's participation is double counted towards meeting the requirement.

6. Date evaluation completed and returned to Contract Admin./Initiating Dept.

7/25/2017

Date

Reviewing Officer:

Vivian J. [Signature]

Date:

7/25/2017

Approved By:

Shelley Darenburg

Date:

7/25/2017

BIDDER 1

Project Name: Sanitary Sewer Rehabilitation Phase II (Sub-basin 56-07)

Project No. 1000980		Engineers Est: \$2,718,810.00					Under/Over Engineers Estimate: -\$536,043.00					For Tracking Only		
Discipline	Prime & Subs	Location	Cert.	LBE	SLBE	**VSLBE/LPG	Total	L/SLBE	Total	*Non-Specialty Bid Amount	TOTAL Original Bid Amount Dollars	Ethn.	MBE	WBE
							LBE/SLBE	Trucking	Trucking					
PRIME	Pacific Trenchless	Oakland	CB		2,569,662		2,569,662			2,992,983	3,034,853	C		
Trucking	All City Trucking	Oakland	CB		9,000		9,000	9,000	9,000	9,000	9,000	AI	9,000	
CIPP Lining	Christian Bros Lining	Fairfield	UB							50,000	50,000	C		
HDPE Pipe	P&F Distributors	Brisbane	UB							105,000	105,000	C		
Manhole Lining	Contech of California	Stockton	UB							16,000	16,000	C		
Class II AB	Argent Materials	Oakland	UB							11,000	11,000	C		
Drain Rock	Argent Materials	Oakland	UB							12,000	12,000	C		
Asphalt	Gallagher & Burk	Oakland	CB			17,000	17,000			17,000	17,000	C		
Project Totals				\$0	\$2,578,662	\$17,000.00	\$2,595,662	\$9,000	\$9,000	\$3,212,983	\$3,254,853		\$9,000	\$0
				0.00%	80.26%	0.53%	80.79%	100.00%	100.00%	100.00%	100.00%		0.28%	0.00%
Requirements: The 50% requirement is a combination of 25% LBE and 25% SLBE participation. An SLBE firm can be counted 100% towards achieving the 50% requirement. A VSLBE and LPG's participation is double counted toward meeting the requirement.												Ethnic AA = African American AI = Asian Indian AP = Asian Pacific C = Caucasian H = Hispanic NA = Native American O = Other NL = Not Listed MO = Multiple Ownership		
Legend LBE = Local Business Enterprise SLBE = Small Local Business Enterprise VSLBE = very Small Business Enterprise LPG = Locally Produced Goods Total LBE/SLBE = All Certified Local and Small Local Businesses NPLBE = NonProfit Local Business Enterprise NPSLBE = NonProfit Small Local Business Enterprise												UB = Uncertified Business CB = Certified Business MBE = Minority Business Enterprise WBE = Women Business Enterprise		

* The above project contains specialty work. The Non-Specialty Work Bid Dollars were used for the purposes of determining compliance with minimum 50% L/SLBE participation requirement.

** Proposed VSLBE/LPG participation is valued at .53%, however per the L/SLBE Program a VSLBE/LPG's participation is double counted towards meeting the requirement. Double counted percentage is reflected on the evaluation form and cover memo.

CONTRACTS AND COMPLIANCE UNIT



Contract Compliance Division

PROJECT EVALUATION FORM

PROJECT NO.: 1000980

PROJECT NAME: Sanitary Sewer Rehabilitation Phase II (Sub-basin 56-07)

CONTRACTOR: Andes Construction

<u>Engineer's Estimate:</u>	<u>Contractors' Original Bid</u>	<u>Over/Under Engineer's</u>
\$2,718,810.00	<u>Amount</u>	<u>Estimate</u>
	\$3,707,751.00	-\$988,941.00
	<u>Specialty Dollar Amount</u>	
	\$65,766.00	

<u>Discounted Bid Amount:</u>	<u>Amount of Bid Discount</u>	<u>Non-Specialty Bid Amt.</u>	<u>Discount Points:</u>
\$3,459,885.75	\$182,099	\$3,641,985.00	5%

1. Did the 50% L/SLBE requirement apply? **YES**
2. Did the contractor meet the 50% requirement? **YES**
- | | | |
|---------------------------------|----------------------|----------------------|
| b) % of LBE participation | <u>0.00%</u> | |
| c) % of SLBE participation | <u>93.68%</u> | Double counted value |
| d) % of VSLBE/LPG Participation | <u>0.49%</u> | .98% |
3. Did the contractor meet the L/SLBE Trucking requirement? **YES**
- | | |
|--|--------------------|
| a) Total L/SLBE trucking participation | <u>100%</u> |
|--|--------------------|
4. Did the contractor receive bid discounts? **YES**
- | | |
|--|------------------|
| (If yes, list the percentage received) | <u>0%</u> |
|--|------------------|
5. Additional Comments.

Bid item # 20 is considered specialty work and was excluded from the total bid price for the purposes of determining compliance with the 50% L/SLBE requirement. Proposed VSLBE/LPG participation is valued at .49% however per the L/SLBE Program a VSLBE/LPG's participation is double counted towards meeting the requirement.

6. Date evaluation completed and returned to Contract Admin./Initiating Dept.

7/25/2017
Date

Reviewing Officer:

Vivian Juma

Date:

7/25/2017

Approved By:

Shelley Dorensburg

Date:

7/25/2017

LBE/SLBE PARTICIPATION BIDDER 2

Project Name: Sanitary Sewer Rehabilitation Phase II (Sub-basin 56-07)

Project No.: 1000980		Engineers Est: \$2,718,810.00					Under/Over Engineers Estimate: -\$988,941.00					For Tracking Only		
Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	**VSLBE/LPG	Total LBE/SLBE	L/SLBE Trucking	Total Trucking	*Non-Specialty Bid Amount	TOTAL Original Bid Amount	Ethn.	MBE	WBE
Prime	Andes Construction	San Rafael	UB		3,404,985		3,404,985			3,404,985	3,470,751	H	3,404,985.00	
Trucking	Monroe's Trucking	Oakland	CB		7,000		7,000	7,000	7,000	7,000	7,000	AA	7,000	
Sawcutting	Bayline Cutting & Coring	SF	UB							5,000	5,000	H	5,000	
MH Precast	Old Castle	Pleasanton	UB							50,000	50,000	C		
MH Rehab	Contech	Stockton	UB							30,000	30,000	C		
Pipe	P&F Distributors	Brisbane	UB							80,000	80,000	C		
MH Survey	Sterling Consultant	San Ramon	UB							25,000	25,000	C		
AC	Gallagher & Burk	Oakland	CB			18,000	18,000			18,000	18,000	C		
AB/Drain Rock	Inner City	Oakland	UB							22,000	22,000	C		
Project Totals				\$0	\$3,411,985	\$18,000	\$3,429,985	\$7,000	\$7,000	\$3,641,985	\$3,707,751		\$3,416,985.00	\$0
				0.00%	93.68%	0.49%	94.18%	100%	100%	100%	100%		93.82%	0.00%
Requirements:												Ethnicity		
The 50% requirement is a combination of 25% LBE and 25% SLBE participation. An SLBE firm can be counted 100% towards achieving the 50% requirement. A VSLBE and LPG's participation is double counted toward meeting the requirement.												AA = African American		
												AI = Asian Indian		
												AP = Asian Pacific		
												C = Caucasian		
												H = Hispanic		
												NA = Native American		
												O = Other		
												NL = Not Listed		
												MO = Multiple Ownership		
Legend														
LBE = Local Business Enterprise				UB = Uncertified Business										
SLBE = Small Local Business Enterprise				CB = Certified Business										
VSLBE = Very Small Local Business Enterprise				MBE = Minority Business Enterprise										
LPG = Locally Produced Goods				WBE = Women Business Enterprise										
Total LBE/SLBE = All Certified Local and Small Local Businesses														
NPLBE = NonProfit Local Business Enterprise														
NPSLBE = NonProfit Small Local Business Enterprise														

* The above project contains specialty work. The Non-Specialty Work Bid Dollars were used for the purposes of determining compliance with minimum 50% L/SLBE participation requirement.

**Proposed VSLBE/LPG participation is valued at .49%, however per the L/SLBE Program a VSLBE/LPG's participation is double counted towards meeting the requirement. Double counted percentage is reflected on the evaluation and cover memo.

Attachment D

ATTACHMENT D

**Schedule L-2
City of Oakland
Public Works Agency
CONTRACTOR PERFORMANCE EVALUATION**

C329135: SS Rehab in the Easements of Clarendon Crescent Avenue & Sunny Hills Road

Project Number/Title: _____

Work Order Number (if applicable): _____

Contractor: Pacific Trenchless Inc.

Date of Notice to Proceed: 04-27-2015

Date of Notice of Completion: 07-20-2015

Date of Notice of Final Completion: 07-20-2015

Contract Amount: \$538, 978.00

Evaluator Name and Title: Sophea Sem, Resident Engineer

The City's Resident Engineer most familiar with the Contractor's performance must complete this evaluation and submit it to Manager, PWA Project Delivery Division, within 30 calendar days of the issuance of the Final Payment.

Whenever the Resident Engineer finds the Contractor is performing below Satisfactory for any category of the Evaluation, the Resident Engineer shall discuss the perceived performance shortfall at the periodic site meetings with the Contractor. An Interim Evaluation will be performed if at any time the Resident Engineer finds that the overall performance of a Contractor is Marginal or Unsatisfactory. An Interim Evaluation is required prior to issuance of a Final Evaluation Rating of Unsatisfactory. The Final Evaluation upon Final Completion of the project will supersede interim ratings.

The following list provides a basic set of evaluation criteria that will be applicable to all construction projects awarded by the City of Oakland that are greater than \$50,000. Narrative responses are required to support any evaluation criteria that are rated as Marginal or Unsatisfactory, and must be attached to this evaluation. If a narrative response is required, indicate before each narrative the number of the question for which the response is being provided. Any available supporting documentation to justify any Marginal or Unsatisfactory ratings must also be attached.

If a criterion is rated Marginal or Unsatisfactory and the rating is caused by the performance of a subcontractor, the narrative will note this. The narrative will also note the General Contractor's effort to improve the subcontractor's performance.

ASSESSMENT GUIDELINES:

Outstanding (3 points)	Performance among the best level of achievement the City has experienced.
Satisfactory (2 points)	Performance met contractual requirements.
Marginal (1 point)	Performance barely met the lower range of the contractual requirements or performance only met contractual requirements after extensive corrective action was taken.
Unsatisfactory (0 points)	Performance did not meet contractual requirements. The contractual performance being assessed reflected serious problems for which corrective actions were ineffective.

WORK PERFORMANCE

		Unsatisfactory	Marginal	Satisfactory	Outstanding	Not Applicable
1	Did the Contractor perform all of the work with acceptable Quality and Workmanship?	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
1a	If problems arose, did the Contractor provide solutions/coordinate with the designers and work proactively with the City to minimize impacts? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2	Was the work performed by the Contractor accurate and complete? If "Marginal or Unsatisfactory", explain on the attachment and provide documentation. Complete (2a) and (2b) below.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2a	Were corrections requested? If "Yes", specify the date(s) and reason(s) for the correction(s). Provide documentation.			Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	N/A <input type="checkbox"/>
2b	If corrections were requested, did the Contractor make the corrections requested? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
3	Was the Contractor responsive to City staff's comments and concerns regarding the work performed or the work product delivered? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4	Were there other significant issues related to "Work Performance"? If Yes, explain on the attachment. Provide documentation.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
5	Did the Contractor cooperate with on-site or adjacent tenants, business owners and residents and work in such a manner as to minimize disruptions to the public. If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6	Did the personnel assigned by the Contractor have the expertise and skills required to satisfactorily perform under the contract? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7	Overall, how did the Contractor rate on work performance? The score for this category must be consistent with the responses to the questions given above regarding work performance and the assessment guidelines. Check 0, 1, 2, or 3.	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	

Unsatisfactory
 Marginal
 Satisfactory
 Outstanding
 Not Applicable

TIMELINESS

8	Did the Contractor complete the work within the time required by the contract (including time extensions or amendments)? If "Marginal or Unsatisfactory", explain on the attachment why the work was not completed according to schedule. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9	Was the Contractor required to provide a service in accordance with an established schedule (such as for security, maintenance, custodial, etc.)? If "No", or "N/A", go to Question #10. If "Yes", complete (9a) below.			Yes <input type="checkbox"/>	No <input type="checkbox"/>	N/A <input checked="" type="checkbox"/>
9a	Were the services provided within the days and times scheduled? If "Marginal or Unsatisfactory", explain on the attachment and specify the dates the Contractor failed to comply with this requirement (such as tardiness, failure to report, etc.). Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
10	Did the Contractor provide timely baseline schedules and revisions to its construction schedule when changes occurred? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11	Did the Contractor furnish submittals in a timely manner to allow review by the City so as to not delay the work? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12	Were there other significant issues related to timeliness? If yes, explain on the attachment. Provide documentation.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
13	Overall, how did the Contractor rate on timeliness? The score for this category must be consistent with the responses to the questions given above regarding timeliness and the assessment guidelines. Check 0, 1, 2, or 3.	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	

FINANCIAL

Unsatisfactory
Marginal
Satisfactory
Outstanding
Not Applicable

14	Were the Contractor's billings accurate and reflective of the contract payment terms? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation of occurrences and amounts (such as corrected invoices).	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
15	Were there any claims to increase the contract amount? If "Yes", list the claim amount. Were the Contractor's claims resolved in a manner reasonable to the City? Number of Claims: _____ Claim amounts: \$ _____ Settlement amount: \$ _____				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
16	Were the Contractor's price quotes for changed or additional work reasonable? If "Marginal or Unsatisfactory", explain on the attachment. Provide documentation of occurrences and amounts (such as corrected price quotes).	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17	Were there any other significant issues related to financial issues? If Yes, explain on the attachment and provide documentation.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
18	Overall, how did the Contractor rate on financial issues? The score for this category must be consistent with the responses to the questions given above regarding financial issues and the assessment guidelines. Check 0, 1, 2, or 3.	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	

COMMUNICATION

		Unsatisfactory	Marginal	Satisfactory	Outstanding	Not Applicable
19	Was the Contractor responsive to the City's questions, requests for proposal, etc.? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20	Did the Contractor communicate with City staff clearly and in a timely manner regarding:					
20a	Notification of any significant issues that arose? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20b	Staffing issues (changes, replacements, additions, etc.)? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20c	Periodic progress reports as required by the contract (both verbal and written)? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20d	Were there any billing disputes? If "Yes", explain on the attachment.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
21	Were there any other significant issues related to communication issues? Explain on the attachment. Provide documentation.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
22	Overall, how did the Contractor rate on communication issues? The score for this category must be consistent with the responses to the questions given above regarding communication issues and the assessment guidelines. Check 0, 1, 2, or 3.	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	

SAFETY

Unsatisfactory
Marginal
Satisfactory
Outstanding
Not Applicable

23	Did the Contractor's staff consistently wear personal protective equipment as appropriate? If "No", explain on the attachment.				Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>
24	Did the Contractor follow City and OSHA safety standards? If "Marginal or Unsatisfactory", explain on the attachment.	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
25	Was the Contractor warned or cited by OSHA for violations? If Yes, explain on the attachment.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
26	Was there an inordinate number or severity of injuries? Explain on the attachment. If Yes, explain on the attachment.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
27	Was the Contractor officially warned or cited for breach of U.S. Transportation Security Administration's standards or regulations? If "Yes", explain on the attachment.				Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
28	Overall, how did the Contractor rate on safety issues? The score for this category must be consistent with the responses to the questions given above regarding safety issues and the assessment guidelines. Check 0, 1, 2, or 3.	0 <input type="checkbox"/>	1 <input type="checkbox"/>	2 <input checked="" type="checkbox"/>	3 <input type="checkbox"/>	

OVERALL RATING

Based on the weighting factors below, calculate the Contractor's overall score using the scores from the four categories above.

1. Enter Overall score from Question 7	<u>2</u>	X 0.25 =	<u>0.5</u>
2. Enter Overall score from Question 13	<u>2</u>	X 0.25 =	<u>0.5</u>
3. Enter Overall score from Question 18	<u>2</u>	X 0.20 =	<u>0.4</u>
4. Enter Overall score from Question 22	<u>2</u>	X 0.15 =	<u>0.3</u>
5. Enter Overall score from Question 28	<u>2</u>	X 0.15 =	<u>0.3</u>

TOTAL SCORE (Sum of 1 through 5): 2.0

OVERALL RATING: 2.0

Outstanding: Greater than 2.5
Satisfactory: Greater than 1.5 & less than or equal to 2.5
Marginal: Between 1.0 & 1.5
Unsatisfactory: Less than 1.0

PROCEDURE:

The Resident Engineer will prepare the Contractor Performance Evaluation and submit it to the Supervising Civil Engineer. The Supervising Civil Engineer will review the Contractor Performance Evaluation to ensure adequate documentation is included, the Resident Engineer has followed the process correctly, the Contractor Performance Evaluation has been prepared in a fair and unbiased manner, and the ratings assigned by the Resident Engineer are consistent with all other Resident Engineers using consistent performance expectations and similar rating scales.

The Resident Engineer will transmit a copy of the Contractor Performance Evaluation to the Contractor. Overall Ratings of Outstanding or Satisfactory are final and cannot be protested or appealed. If the Overall Rating is Marginal or Unsatisfactory, the Contractor will have 10 calendar days in which they may file a protest of the rating. The Public Works Agency Assistant Director, Design & Construction Services Department, will consider a Contractor's protest and render his/her determination of the validity of the Contractor's protest. If the Overall Rating is Marginal, the Assistant Director's determination will be final and not subject to further appeal. If the Overall Rating is Unsatisfactory and the protest is denied (in whole or in part) by the Assistant Director, the Contractor may appeal the Evaluation to the City Administrator, or his/her designee. The appeal must be filed within 14 calendar days of the Assistant Director's ruling on the protest. The City Administrator, or his/her designee, will hold a hearing with the Contractor within 21 calendar days of the filing of the appeal. The decision of the City Administrator regarding the appeal will be final.

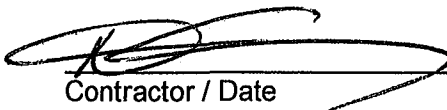
Contractors who receive an Unsatisfactory Overall Rating (i.e., Total Score less than 1.0) will be allowed the option of voluntarily refraining from bidding on any City of Oakland projects within one year from the date of the Unsatisfactory Overall Rating, or of being categorized as non-responsible for any projects the Contractor bids on for a period of one year from the date of the Unsatisfactory Overall Rating. Two Unsatisfactory Overall Ratings within any five year period will result in the Contractor being categorized by the City Administrator as non-


responsible for any bids they submit for future City of Oakland projects within three years of the date of the last Unsatisfactory overall rating.

Any Contractor that receives an Unsatisfactory Overall Rating is required to attend a meeting with the City Administrator, or his/her designee, prior to returning to bidding on City projects. The Contractor is required to demonstrate improvements made in areas deemed Unsatisfactory in prior City of Oakland contracts.

The Public Works Agency Contract Administration Section will retain the final evaluation and any response from the Contractor for a period of five years. The City shall treat the evaluation as confidential, to the extent permitted by law.

COMMUNICATING THE EVALUATION: *The Contractor's Performance Evaluation has been communicated to the Contractor. Signature does not signify consent or agreement.*

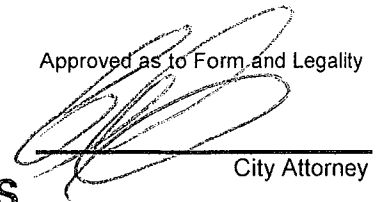
 10/5/14
Contractor / Date

 09/22/15
Resident Engineer / Date

 10/15/15
Supervising Civil Engineer / Date
TOR

ATTACHMENT TO CONTRACTOR PERFORMANCE EVALUATION:

Use this sheet to provide any substantiating comments to support the ratings in the Performance Evaluation. Indicate before each narrative the number of the question for which the response is being provided. Attach additional sheets if necessary.



City Attorney

2017 AUG 29 PM 3:00 RESOLUTION NO. _____ C.M.S.

Introduced by Councilmember _____

RESOLUTION AWARDING A CONSTRUCTION CONTRACT TO PACIFIC TRENCHLESS, INC., THE LOWEST RESPONSIVE, RESPONSIBLE BIDDER, FOR SANITARY SEWER REHABILITATION PHASE II SUB-BASIN 56-07 (PROJECT NO. 1000980) IN ACCORDANCE WITH PLANS AND SPECIFICATIONS FOR THE PROJECT AND WITH CONTRACTOR'S BID IN THE AMOUNT OF THREE MILLION TWO HUNDRED FIFTY-FOUR THOUSAND EIGHT HUNDRED FIFTY-THREE DOLLARS (\$3,254,853.00)

WHEREAS, on June 29, 2017, two bids were received by the Office of the City Clerk of the City of Oakland for Sanitary Sewer Rehabilitation Phase II Sub-Basin 56-07 (Project No. 1000980); and

WHEREAS, Pacific Trenchless, Inc., a certified SLBE bidding as a prime, is deemed the lowest responsive and responsible bidder for the project; and

WHEREAS, there are sufficient funds in the project budget for the work. Funding for this project is available in the following project account as part of FY 2017-18 CIP budget: Sewer Service Fund (3100); Capital Projects - Sanitary Sewer Design Organization (92244); Sewers Account (57417); Project No. 1000980; \$3,254,853.00; and these funds were specifically allocated for this project; and

WHEREAS, this project will help reduce the amount of sanitary sewer maintenance; and

WHEREAS, the City Council finds and determines based on the representations set forth in the City Administrator's report accompanying this Resolution that the construction contract approved hereunder is temporary in nature; and

WHEREAS, the City lacks the equipment and qualified personnel to perform the necessary work, that the performance of this contract is in the public interest because of economy or better performance; and

WHEREAS, the City Council finds and determines that the performance of this contract shall not result in the loss of employment or salary by any person having permanent status in the competitive service now; and

WHEREAS, Pacific Trenchless, Inc. complies with all LBE/SLBE and trucking requirements now; therefore, be it

RESOLVED: That the City Administrator is authorized to award a construction contract for Sanitary Sewer Rehabilitation Phase II Sub-Basin 56-07 (Project No. 1000980) to

Pacific Trenchless, Inc., the lowest responsive and responsible bidder, in the amount of \$3,254,853.00 in accord with plans and specifications for the project and with contractor's bid dated June 29, 2017; and be it

FURTHER RESOLVED: That the amount of the bond for faithful performance bond, \$3,254,853.00, and the bond to guarantee payment of all claims for labor and materials furnished and for the amount under the Unemployment Insurance Act, \$3,254,853.00, with respect to such work are hereby approved; and be it

FURTHER RESOLVED: That the City Administrator, or designee, is hereby authorized to enter into a contract with Pacific Trenchless, Inc., on behalf of the City of Oakland and to execute any amendments or modifications of the contract within the limitations of the project specifications; and be it

FURTHER RESOLVED: That the City Administrator, or designee, is hereby authorized to negotiate with the second lowest bidder and/or next lowest bidder for the same awarded amount, if Pacific Trenchless, Inc. fails to return the complete signed contract documents and supporting documents within the days specified in the Special Provision without going back to City Council; and be it

FURTHER RESOLVED: That the plans and specifications prepared for this project, including any subsequent changes during construction, that will be reviewed and adopted by the Director, or designee, are hereby approved; and be it

FURTHER RESOLVED: That all other bids are hereby rejected; and be it

FURTHER RESOLVED: That the contract shall be reviewed and approved by the City Attorney for form and legality prior to execution and placed on file in the Office of the City Clerk.

IN COUNCIL, OAKLAND, CALIFORNIA, _____

PASSED BY THE FOLLOWING VOTE:

AYES - BROOKS, CAMPBELL WASHINGTON, GALLO, GIBSON MCELHANEY, GUILLEN, KALB, KAPLAN, AND PRESIDENT REID

NOES -

ABSENT -

ABSTENTION -

ATTEST: _____

LaTonda Simmons
City Clerk and Clerk of the Council
of the City of Oakland, California