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AGENDA REPORT

TO: DEANNA J. SANTANA
CITY ADMINISTRATOR

FROM: SARA BEDFORD

SUBJECT: Amendment to 2011-2012 Consolidated
Annual Action Plan

DATE: April 6, 2012

City Administrator
Approval

Date

4/9/12

COUNCIL DISTRICT: City-Wide

RECOMMENDATION

Staff recommends that the City Council adopt the resolution amending the City of Oakland's fiscal year 2011-12 Consolidated Plan Annual Action Plan submitted to The U. S. Department of Housing & Urban Development, to include additional funds awarded to the City under The U. S. Department of Housing & Urban Development's 2011 Homeless Emergency and Rapid Transition to Housing (HEARTH) Emergency Solutions Grant (ESG), a formula grant (formerly the Emergency Shelter Grant), requiring a substantial amendment to the City's fiscal year 2011-2012 Annual Action Plan.

EXECUTIVE SUMMARY

The proposed Substantial Amendment to the Emergency Solution Grant portions of the City of Oakland's 2011-2012 Consolidated Plan Annual Action Plan is made mandatory by HUD in order to receive the second allocation to be awarded to the City under the HEARTH ESG for the 2011-2012 fiscal year.

Said amendment provides to HUD information regarding the City's proposed use of the additional \$207,596 in HEARTH ESG funds, summarizes the Continuum of Care consultation and citizen participation processes, the overall budget, and standards developed for the provision of assistance to be provided under ESG.

OUTCOME

Staff recommends to Council authorization of the attached Substantial Amendment to the 2011-2012 Consolidated Plan Annual Action Plan to receive a second allocation in the amount of \$207,596 from HUD under the 2011 HEARTH Formula Emergency Solutions Grant (ESG).

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Additional funds awarded under the 2011 Formula ESG will provide for increased assistance to the Oakland homeless population in rapid rehousing through financial assistance, housing relocation services and stabilization services over the next twelve to twenty four months.

Once authorized by City Council, the Substantial Amendment will be forwarded to HUD for Approval. Deadline for submission of the Substantial Amendment is May 15, 2012. Upon HUD's approval of the Substantial Amendment, HUD will, within forty five days of HUD review and approval, forward to the City an amended 2011 Emergency Solutions Grant Agreement awarding the \$207,596 to housing and services to the City's most chronically homeless and near homeless populations, with a focus on rapid re-housing through financial assistance, housing relocation and stabilization services.

Once the amended 2011 ESG agreement is fully executed, the City must obligate 2011 ESG funds within 180 days. The City of Oakland will have twenty four months following HUD signing the amended ESG agreement to expend the \$207,596 awarded in this second allocation of 2011 ESG funds.

As a result, approximately 125 persons will be rapidly re-housed or prevented from becoming homeless in Oakland over the next twelve to twenty-four months (starting July 1, 2012).

BACKGROUND/LEGISLATIVE HISTORY

The City of Oakland is a standing recipient of a formula grant awarded under the U.S. Department of Housing & Urban Development's (HUD) Emergency Solutions Grant (ESG), formerly named the Emergency Shelter Grant. Said ESG funds are purposed to address the needs of homeless populations of the awarded jurisdictions.

Under the former iteration of ESG (Emergency Shelter Grant), activities benefitting the homeless were approved for essential services, maintenance and operations, shelter rehabilitation and renovation, homeless prevention assistance, and administration.

Under the 2011-2012 City of Oakland Consolidated Plan Annual Action Plan, the City submitted its proposed use and administration of the first allocation of the 2011 Emergency Solution Grant funds awarded by HUD in the amount of \$369,059. Said funds under the prior administration of the 2011 Emergency Solutions Grant provided for street outreach, shelter, homeless assistance/prevention, and administration. Proposed use of 2011 ESG funds were approved by HUD, supporting housing and services to be provided to the City's homeless population under the City's Permanent Access To Housing (PATH) Strategy for fiscal year 2011-2012.

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On January 23, 2012, HUD released a second allocation of \$90 million under the 2011 (HEARTH) Emergency Solutions Grant. Under this second allocation of the 2011 ESG formula grant, the City of Oakland was awarded an additional \$207,596, for a total 2011 ESG allocation to the of \$576,655.

To receive the second allocation (\$207,596) awarded under the 2011 ESG, the City as a recipient of these funds must prepare and obtain HUD approval of a substantial amendment to the 2011-2012 Consolidated Plan Annual Action Plan. The deadline for submission of the substantial amendment to HUD is May 15, 2012.

There are changes to the ESG formula grant that allows for 7.5% administration costs, compared to the former 5% cap. Focus of the grant has shifted from emergency shelter to permanent housing, with priority given to rapid rehousing assistance and limited street outreach and emergency shelter activities. HUD strongly encourages the targeting of new ESG funds toward assisting individuals and families living on the streets or in emergency shelter through the provision of rapid rehousing financial assistance and services.

All changes to the new HEARTH ESG are in line with the services to be provided under the City of Oakland's PATH (Permanent Access To Housing) Strategy, a plan to end homelessness in Oakland, as approved and adopted by Oakland City Council on May 8, 2007

ANALYSIS

Oakland City Council authorization and HUD approval of the proposed Substantial Amendment to the ESG portion of the City's 2011-2012 Consolidated Plan Annual Action Plan supports objectives of the Oakland City Council adopted Oakland PATH Strategy to increase housing opportunities for homeless persons, prevent homelessness and other housing crisis, deliver flexible services to support stability and independence of the City homeless and near homeless populations.

The provision of services authorized under the ESG grant will assist in improving the Quality of life for direct recipients of PATH/ESG activities and other citizens of Oakland with hopes of seeing homeless persons housed and not living on the streets.

PUBLIC OUTREACH/INTEREST

As required by the Department of Housing & Urban Development Notice of FY 2011 Substantial Amendment Process & Other Related Information for Recipients of Emergency Solutions Grant funds (Docket No. FR-5594-N-01), the City entered into a consultation process from February through Mid-March of 2012. The City of Oakland entered into the mandatory consultation process with EveryOne Home (Alameda County Continuum of Care), current American Recovery & Reinvestment Act (ARRA) Homeless Prevention & Rapid Rehousing (HPRP) grantees and providers throughout Alameda County, other entitlement jurisdictions, and the

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community. In this process, the participating members met to review the 2011 Emergency Solutions Grant (ESG) Substantial Amendment requirements, determine how to allocate ESG assistance for eligible activities (in line with EveryOne Home Plan and local plans), discuss ESG performance standards and outcomes, and discuss HMIS development (funding, policies and procedures).

These discussions were held in a series of approximately eight meetings between February 1, 2012 – March 15, 2012 convened by the Alameda County EveryOne Home and Entitlement Jurisdictional Staff (Oakland included). In addition to the discussion items referenced above, HPRP providers and grantees provided feedback and evaluation of lessons learned from the administration of the soon-to-end HPRP, after which much of the proposed ESG activities are modeled.

Public hearings are held to receive input and respond to proposals and comments. At least one hearing or meeting must be held before the proposed substantial amendment is published for comment.

A Public Hearing will be held Tuesday, May 1, 2012 in the City Council Chambers. A notice was run in the Oakland Tribune April 9, 2012 announcing the availability of the draft ESG Substantial Amendment and the date, time and purpose of the Public Hearing.

COORDINATION

In preparation of this report, the Community Housing Services Division of the Department of Human Services has been in consultation with the Department of Housing and Community Development for the coordination of the Public Review of the 2011 ESG Substantial Amendment for the 2011-2012 Consolidated Plan Annual Action Plan, along with the 2012-2013 Consolidated Plan Annual Action Plan.

COST SUMMARY/IMPLICATIONS

The second allocation to the City of Oakland under the 2011 Emergency Solutions Grant, awards the City \$207,596, for which a dollar for dollar match is required. Matching requirements must follow 24 CFR 576.201, which establishes that the City of Oakland as a recipient of ESG funds must match funds equal to the ESG award amount. It further establishes that the City's matching contributions may be obtained from any source, including any Federal source other than the ESG program, as well as state, local, and private sources, as long as match source does not prohibit the use of funds to match ESG. Match sources can be met with cash contributions and non-cash contributions.

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Funds to support match requirement will come from a combination of staff cost and funds to be awarded by Oakland Housing Authority under the Oakland PATH Rehousing Initiative (OPRI). Said grant provides close to \$1 million to the City for housing subsidies to the hardest to serve homeless populations.

SUSTAINABLE OPPORTUNITIES

Economic: As noted in the report, these ESG funds are for the purpose of providing rapid rehousing assistance and homelessness prevention and to persons at eminent risk of homelessness or who are already homeless.

Environmental: The provision of housing for at risk and homeless persons is intended to address the environmental degradation caused by homeless families and individuals precariously housed or living on the streets.

Social Equity: The expenditure of these funds is targeted to the most vulnerable and at-risk population in this City and is providing essential and basic human services - housing and support.

For questions regarding this report, please contact Susan Shelton, Community Housing Services Manager, at 510.238.6186.

Respectfully submitted,



SARA BEDFORD
Interim Director, Dept of Human Services

Reviewed by:
Susan Shelton, Community Housing Services Manager

Prepared by:
Gregory D. Garrett,
Housing Development Coordinator III
Community Housing Services Unit

Attachment
Emergency Solutions Grant Substantial Amendment

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CITY OF OAKLAND EMERGENCY SOLUTIONS GRANT
DRAFT FY 2011 SUBSTANTIAL AMENDMENT

DECLARATION OF PY 2010 ESG GRANT FUND ASSISTANCE

Activity Type	Obligated Amount
Homeless Assistance	\$268,880.00
Homelessness Prevention	\$81,577.00
Administrative Activities	\$18,445.00
Total FY 2010 Award	\$368,902.00

STANDARD FORM SF-424

Attached

SUMMARY OF CONSULTATION PROCESS

In preparing this substantial amendment, the City of Oakland consulted with the Alameda County Continuum of Care ("EveryOne Home" Collaborative) and the other entitlement jurisdictions to review the 2011 Emergency Solutions Grant (ESG) Substantial Amendment requirements, determine how to allocate ESG assistance for eligible activities, discuss ESG performance standards and outcomes, and discuss HMIS development (funding, policies and procedures).

Meetings were held on the following dates for purposes indicated below:

Date	Group	Purpose
2/1/2012	EveryOne Home Director & Entitlement Jurisdictional Staff	Review of substantial amendment requirements
2/6/2012	Homeless Prevention &; Rapid Rehousing providers and grantees	Evaluate HPRP lessons learned for future programming
2/9/2012	EveryOne Home Director & Entitlement Jurisdictional Staff	Programming planning and coordination
2/14/12	EveryOne Home Director & Entidement Jurisdictional Staff	Programming planning and coordination
2/22/12	EveryOne Home Director & Entitlement Jurisdictional Staff	Review of draft proposed activities
2/29/12	EveryOne Home Director & Entitlement Jurisdictional Staff	Further refinement of program proposals
3/1/12	EveryOne Home Community Meeting	Community feedback on ESG proposed activities
3/15/12	EveryOne Home Leadership Board	Feedback on ESG proposed activities

Allocation of Emergency Solutions Grant Assistance For Eligible Activities:

The City of Oakland is part of a county-wide collaborative program called "Priority Home Partnership" (PHP) started in 2009 with the ARRA funded Homeless Prevention Rapid Rehousing Program (HPRP), out of the EveryOne Home (Alameda County Continuum of Care). This collaborative has helped build a housing crisis response system in Alameda County, described in more detail below.

The City of Oakland, in consultation with EveryOne Home, the EveryOne Home Executive Director, and representatives from the City of Berkeley, Alameda County Housing & Community Development Department and other Jurisdiction participants, began discussions starting in January of 2012 focused on how to implement the new Emergency Solutions Grant requirements in a way that would be consistent count-wide and would continue the collaboration launched in 2009 with the ARRA HPRP funds. The group agreed to hold weekly meetings to prepare for the submission of this Substantial Amendment and to coordinate around the use of future ESG funding through regularly scheduled meetings and discussions at Leadership Team meetings of EveryOne Home.

Given the much smaller amount of funding available with the HEARTH Emergency Solutions Grant (ESG) (compared to that of the HPRP grant), the great success achieved with Rapid Rehousing under HPRP and the existence of other prevention resources in the community, members of the partnership have determined to *prioritize* rehousing assistance with the second allocation of FY 2011 ESG funding. Approximately 60% of the supplemental 2011 ESG will be used for rapid rehousing. In order to also ensure that targeted shelter diversion can be also implemented, 40% is anticipated to be spent on prevention activities (housing & stabilization services and financial assistance, specifically targeted to household's seeking shelter) shelter, outreach and a portion for HMIS costs.

Performance Standards for Activities Funded Under ESG:

In collaboration with EveryOne Home, the City of Oakland has developed Outcome Measures and benchmarks for the various segments of services provided to the homeless population. City of Oakland continues to work with its partners/EveryOne Home participants to improve the quality of data collections to reduce the number of "unknown" outcomes system wide. For the upcoming program year, community agencies will be expected to meet benchmarks based on the actual outcomes of similar agencies countywide, or expected to show improvement of at least 10 percentage points. For more information on EveryOne Home efforts, go to: <http://www.everyonehome.org/media/measuring-success2010.pdf>

Funding Policies & Procedures For The Operation & Administration of the HMIS:

EveryOne Home and community stakeholders celebrate the improvements in performance and in capacity to collect and analyze data across the system. It will help in continuing the conversation on how to improve the services delivered in Alameda County and the outcomes achieved for persons facing homelessness. These efforts will include providing technical assistance, sharing of best practices, continued refinement of data collection and analysis, and retooing programs as appropriate.

With the new HEARTH regulations and implementation, an even greater data-focused understanding of the system of care is required. As the City of Oakland, along with EveryOne Home, its stakeholders and jurisdiction participants move forward in the implantation of the HEARTH ESG, the City and EveryOne Home participants will more nimbly refine and/or repurpose parts of the system of care to produce the greatest results in ending homelessness.

While EveryOne Home's 2010 InHouse (HMIS) focus was on improving data quality and producing reporting products to help the Alameda County community examine itself, 2011 emphasized achieving greater rates of obtaining permanent housing, reducing lengths of stay in residential programs, increasing income and jobs, and further improving reporting capabilities by generating length of time homeless data and monitoring return to homelessness data.

In 2012, EveryOne Home will continue in the 2011 HMIS effort with focus on achieving HMIS requirements attached to the implementation of HEART Emergency Solutions Grant.

EveryOne is supported by its stakeholders. Part of the cost covered is the HMIS cost. This cost is annually allocated as proportionally as possible to each participating jurisdiction in Alameda County, covering county-wide HMIS costs. Exact HMIS share of cost by jurisdiction will be released late 2012. Each jurisdiction awarded ESG funds may opt to use a portion ESG funds and/or other fund resources to support HMIS cost as established by Federal Register 24 CFR part 580, (<https://www.federalregister.gov/articles/2011/12/09/2011-31634/homeless-management-information-systems-requirements#p-3>) to ensure that data on all persons served and all activities assisted under ESG are entered into the applicable community-wide HMIS (Alameda County InHOUSE) in the area in which those persons and activities are located, or a comparable database, in accordance with HUD's standards on participation, data collection, and reporting under a local HMIS. Further resources will be used to satisfy separate HMIS requirements for domestic violence and legal service providers funded under ESG.

SUMMARY OF CITIZEN PARTICIPATION PROCESS

A draft of this Substantial Amendment was made available for public comment early April, prior to the HEARTH ESG Substantial Amendment being presented to Oakland City Council for authorization for the City Administrator to submit to the U.S. Department of Housing and Urban Development (HUD), the Substantial Amendment to the Emergency Solutions Grant portions of the 2011/12 Consolidated Annual Action Plan.

Public comments and views received will be summarized and made part of the May 1, 2012 Oakland Council Report submitted to City Council for review and authorization prior to forwarding the Substantial Amendment to the HUD.

MATCHING RESOURCES

2011 ESG AWARD AMOUNT- ALLOCATION 2	MATCH AMOUNT	COMMUNITY HOUSING SERVICES STAFF COST GENERAL PURPOSE FUND	HOUSING SUBSIDIES PROVIDED UNDER THE OAKLAND PATH REHOUSING INITIATIVE
\$207,596	\$207,596	\$79,464	\$128,132

PROPOSED ACTIVITIES & OVERALL BUDGET

ESG funds will be used to support the City of Oakland's Permanent Access To Housing (PATH) Strategy. Oakland's PATH Strategy is an Oakland-specific companion to Alameda County's EveryOne Home Plan, a countywide plan to be used as a roadmap for ending homelessness in the county. EveryOne Home is a comprehensive plan for providing housing and wrap around support services to homeless people in Alameda County and to those people living with serious mental health illness and HIV/AIDS. Both EveryOne Home and PATH are based on a "Housing First" program model that emphasizes rapid client access to permanent housing rather than prolonged stays in shelters and transitional housing.

Under PATH, ESG funded activities will provide financial assistance, housing relocation and stabilization services, and shelter services to assist clients in being rapidly rehoused or prevented from becoming homeless. Services will be targeted to those persons closest to living on the streets (those who are already homeless or most likely to become homeless without PATH/ESG assistance).

It is expected that 60-70% of those anticipated to be served with ESG funds allocated to the City of Oakland will be homeless upon entry. The remaining 30-40% is expected to likely occupy a shelter bed if not assisted.

ESG funded PATH Strategy priorities are as follows:

- 1) **Rapid Re-Housing Services:** Activities (financial assistance, housing relocation & stabilization services) that clearly lead to permanent housing outcomes and services that help people obtain and maintain permanent housing.
- 2) **Preventing Homelessness:** Activities (financial assistance, housing relocation & stabilization services) that assist households in maintaining current housing and preventing households from becoming homeless.
- 3) **Support Services to increase Housing Retention among the target population**
- 4) **Housing Resources:** Expand the inventory of appropriate housing opportunities as a direct exit from homelessness.

See table below for further detail.

ANNUAL ACTION PLAN PRIORITY NEED	DESCRIPTION OF ACTIVITY (number and type of persons served)	STANDARD OBJECTIVE CATEGORY/ OUTCOME CATEGORY	FUNDING (ESG & Other Funds)	PERFORMANCE INDICATORS	PROJECTED ACCOMPLISHMENTS (Year 1/Grant Life)
Prevention & Reduction of Homelessness/Elimination of Chronic Homelessness	PATH Strategy - Provide rapid rehousing through housing relocation stabilization services	Decent Housing/ Sustainability	Emergency Shelter Grant \$40,611 Oakland Staff Costs \$33,464	Persons Served	54
Prevention & Reduction of Homelessness/Elimination of Chronic Homelessness	PATH Strategy - Provide rapid rehousing through Rental Assistance	Decent Housing/ Sustainability	Emergency Shelter Grant \$100,000 Oakland OPRI Program \$89,465	Persons Served	35
Prevention & Reduction of Homelessness/Elimination of Chronic Homelessness	PATH Strategy - Provide homeless prevention services housing relocation stabilization services	Decent Housing/ Sustainability	Staff Costs \$6,000	Persons Served	69
Prevention & Reduction of Homelessness/Elimination of Chronic Homelessness	PATH Strategy - Provide homeless prevention services through rental assistance	Decent Housing/ Sustainability	Emergency Shelter Grant \$32,189 Oakland OPRI Program \$38,667	Persons Served	63
Prevention & Reduction of Homelessness/Elimination of Chronic Homelessness	PATH Strategy will continue to support shelter services at the Crossroads, Oakland shelter operated by the East Oakland Community Project (EOCP). Reduce episodes of homeless persons living on the	Decent Housing/ Sustainability	Emergency Shelter Grant \$40,000 Oakland Staff Costs \$40,000	Persons Served	100

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FY 2011 Detailed Budget Table

First Allocation		\$369,059.00		FY 2011 Emergency Shelter Grants/Emergency Solutions Grants Program Allocations	
Second Allocation		\$207,596.00			
Grant Amount		\$576,655.00			
Total Administration		\$43,249.13			
		First Allocation		Second Allocation	Total Fiscal Year 2011
	Eligible Activities	Activity Amount	Reprogrammed Amount	Activity Amount	Activity Amount
Emergency Shelter Grants Program	Homeless Assistance	\$259,360.00	\$0.00		\$259,360.00
	<i>Rehab/Conversion</i>	\$0.00	\$0.00		\$0.00
	<i>Operations</i>	\$52,107.00	\$0.00		\$52,107.00
	<i>Essential Services</i>	\$207,253.00	\$0.00		\$207,253.00
	Homelessness Prevention	\$91,246.00	\$0.00		\$91,246.00
	Administration	\$18,453.00	\$0.00		\$18,453.00
	Emergency Shelter Grants Subtotal	\$369,059.00	\$0.00		\$369,059.00
Emergency Solutions Grants Program	Emergency Shelter**			\$40,000.00	\$40,000.00
	<i>Renovation**</i>			\$0.00	\$0.00
	<i>Operation**</i>			\$20,000.00	\$20,000.00
	<i>Essential Service**</i>			\$20,000.00	\$20,000.00
	<i>URA Assistance**</i>			\$0.00	\$0.00
	Street Outreach - Essential Services**			\$0.00	\$0.00
	HMIS			\$10,000.00	\$10,000.00
	Rapid Re-housing		\$0.00	\$140,611.00	\$140,611.00
	<i>Housing Relocation and Stabilization Services</i>			\$40,611.00	\$40,611.00
	<i>Tenant-Based Rental Assistance</i>			\$26,000.00	\$26,000.00
	<i>Project-Based Rental Assistance</i>			\$74,000.00	\$74,000.00
	Homelessness Prevention		\$0.00	\$32,189.00	\$32,189.00
	<i>Housing Relocation and Stabilization Services</i>			0	0
	<i>Tenant-Based Rental Assistance</i>			\$27,189.00	\$27,189.00
	<i>Project-Based Rental Assistance</i>			\$5,000.00	\$5,000.00
	Administration			\$24,796.00	\$24,796.00
	Emergency Solutions Grants Subtotal		\$0.00	\$207,596.00	\$207,596.00
			Total Grant Amount:	\$207,596.00	\$576,655.00

**Allowable only if the amount obligated for homeless assistance activities using funds from the first allocation is less than the expenditure limit for emergency shelter and street outreach activities.

WRITTEN STANDARDS FOR PROVISION OF EMERGENCY SOLUTIONS GRANTS ASSISTANCE

The following program standards have been developed by a multi-jurisdictional working group, with community feedback, based on Priority Home Partnership (PHP) standards created for HPRP. The standards for the PHP have been modified to take into account the smaller resources (compared to that of HPRP) and even greater targeting needed for ESG. Over the coming months, PHP will continue to review and revise PHP policies, as well as seek additional funding from other sources to support both rapid rehousing and targeted prevention/diversion.

Standard Policies and Procedures for evaluating individuals and families eligibility for assistance under the HEARTH Emergency Solutions Grant

Under PHP, jurisdictions and service providers across Alameda County have established a network of Housing Resource Agencies that provide rapid rehousing and targeted prevention/diversion services in a coordinated manner, using shared standard policies for evaluating eligibility.

Individuals and families may access the program through one of three entry points:

- 1) By calling “211”, seeking shelter and receiving an initial eligibility screening and referral
- 2) By walking into a Homeless Resource Access (HRA) point seeking services
- 3) Through targeted outreach and screening in a partnering shelter

At whichever point, a household (single individual or family) contacts the program and the program has openings, they will receive an initial screening to determine potential eligibility and if preliminarily determined eligible, a more in-depth assessment to confirm eligibility and begin the development of a housing stabilization plan. The initial Screening will determine:

- 1) If the combined household income is below 30% AMI
- 2) If the household has assets that exceed the programs asset limit
- 3) If the household’s living situation qualifies as either literally homeless or at imminent risk of homelessness
- 4) For those reporting to be imminently at risk, if the household has one or more additional risk factors established which make shelter entry more likely, if not assisted. These factors include living currently in a place in which they do not hold a lease, such as doubled up with family or friends, in a hotel/motel or in an institutional setting. Persons holding a lease who have received “pay or quit” notices will be referred to other programs that offer more traditional prevention services. Person with eviction notices will be referred to legal services.

The initial screening also collects certain basic demographic information on the household (HMIS universal data elements) and is used to help qualify household for other services, where appropriate and gather information on those seeking assistance for analysis and program refinement.

Households determined initially eligible will receive a full assessment of housing barriers and household resources. Households may be screened out at this point if 1) the household appears to have other resources or housing opportunities that can be accessed to avoid homelessness or become rehoused without program assistance, or 2) the household has very high or multiple barriers to rehousing and other more appropriate referrals or placements can be arranged.

Procedures for Coordination Among Providers

Providers in the Priority Home Partnership (PHP) coordinate at several levels:

- 1) All jurisdictional partners and housing resource agencies coordinate through the Implementation and Learning Community (ILC), convened by EveryOne Home which meets at least every other month to review the program progress, use and modification of the common assessment tool and program policies and procedures. PHP case managers meeting quarterly to share information on case management and housing stabilization challenges and strategies.
- 2) Housing resource agencies/providers coordinate with the "211" referral line on a weekly basis regarding openings and referrals, 211 being linked to other services and shelters as well
- 3) Housing resource agencies/providers coordinate with participating shelters with whom referral relationships are established and other service agencies that offer support services including employment training & placement, food pantry, health care and other services
- 4) All participating homeless housing/service providers are connected through the Alameda County InHOUSE HMIS system, which allows providers to see the service connections for each client, and follow up on referrals.

Policies and Procedures for Households Receiving Rapid Rehousing and Homeless Prevention Services

Eligible households that are literally homeless at the time of program contact and living in shelter or in a place not meant for human habitation will receive rapid rehousing services.

Households that contact "211" or a housing resource agency/provider seeking shelter entry will qualify for prevention assistance if they are in a housing situation which local data indicates are most likely to lead to a shelter entry (those doubled-up with family or friends, in a hotel/motels using their own resources, or leaving an institutional setting). Persons holding a lease with "pay or quit" notices will be referred to programs that offer more traditional prevention services. Persons with eviction notices may also be referred to legal services.

Diversion households may receive support to maintain the current housing if the existing living situation is safe and sustainable. It is anticipated that in many cases, "diversion" households will need similar services to those receiving rapid rehousing, including housing search assistance, deposit assistance, and initial rental assistance.

Standards for Determining Share of Rent and Utilities

Utility assistance will be offered only to households with utility arrears that prevent them from establishing utilities in a new location. Any other utility payment needs will be referred to other programs, such as the Seasons of Sharing program or Low Income Emergency Assistance Program.

Rent assistance will be offered, "the least amount of assistance for the least amount of time" necessary to provide initial and necessary support that will assist the household in sustaining housing. Any household moving to new housing may receive:

- 1) Deposit Only Assistance, or
- 2) One Month's Rent Plus *Deposit*, or
- 3) Full or *Partial Deposit* (as needed) Plus Short Term Rental Subsidy not to exceed 50% of the monthly rent or 50% of household *income*

Exceptions to this minimum rent will be made for households with no or extremely low incomes that have a credible plan to gain employment and/or benefits that are expected to start within six months and can sustain the housing after subsidy period.

Standards for Determining How Long A Program Participant is Assisted

Length or duration of services provided will be based on a "progressive engagement" model, adopted by PHP, intended to provide just the support to divert or rehouse households quickly and reserve resources as much as possible for other households, while "leaving the door open" for increased assistance as needed. As described above, households entering the program will receive either:

- 1) Deposit Only Assistance, or
- 2) One Month's Rent Plus Deposit (intended for households with a source of income sufficient to cover rent after rehousing, but needing initial support to securely transition into housing), or
- 3) Full or *Partial Deposit* (as needed) Plus Short Term Rental Subsidy (to temporarily bridge the income gap, typically restricted to six months but with extensions permitted upon approval
- 4) Services-Only (to locate suitable housing with no or low rent)

Based on assessed needs, households entering the program at one level and requiring additional assistance can be transferred to level of services needed within the program or can be transferred out of the program to access more intensive supports.

Standards for Type, Amount, and Duration of Stabilization Services

Employing the "progressive engagement" model adopted by PHP, all households will receive an initial assessment and referrals to the appropriate community based services. Money management/budget training will be provided for any household receiving more than one-time assistance. Housing resource agencies and providers are expected to work with household to obtain benefits, including income and health coverage, or make referrals to agencies that can assist with this.

Households in need of housing search assistance will receive help identifying units and completing applications to be submitted to landlords. Households in the “gap filler” program (Short term rental subsidy program), with up to a six- month subsidy expectation, will receive housing and income-focused support services, with priority on providing the needed assistance to the household to support progress in their housing stabilization plan and to satisfy landlord that the necessary support is being provided for housing related needs. Gap filler services may be extended for and additional three months during which time they may transition off of the subsidy assistance, while continuing to receive services or if necessary, may continue to receive subsidy and services.

PROCESS FOR MAKING SUB-AWARDS

The City of intends to release a Request For Proposals(RFP)/Qualifications (RFQ) to Oakland-based homeless service agencies providing housing relocation, stabilization and financial assistance services to rapidly rehouse homeless households and prevent homelessness for those near homelessness in Oakland.

Agencies applying for ESG funds under the RFP process will submit proposals for rapid rehousing, homeless prevention, shelter and outreach. Each proposal will be ranked by a panel selected from persons associated with homeless services and permanent supportive housing in the bay area, and at least one recipient homeless service.

Upon completion of panel review and scoring, proposals will be ranked for each service category. Projects are compared with other projects of the same type, with the same points available in the ranking process. For example, Homeless Prevention projects are to be compared with other homeless prevention projects. Rapid rehousing projects are to be compared to other rapid rehousing projects, etc.

Based on ranking and panel considerations, agencies will be selected for ESG funding. Agencies selected by review panel for funding under the ESG program will be recommended to Oakland City Council for authorization. Selected agencies will be informed of award recommendations. Contract negotiations, guided by panel recommendations will convene solidifying service scope for each ESG funded agency.

Upon City Council approval of recommended ESG sub-awards, grant agreements are executed, establishing agreed upon services, terms, compensation, requirements and governing regulations.

Agencies applying for ESG funds under the RFQ process will follow a process similar to that of the RFP process referenced above. RFQ applicants will consist of currently funded agencies for currently funded homeless service activities.

HOMELESS PARTICIPATION REQUIREMENT

City of Oakland is planning, in conjunction with Everyone Home (Alameda County Continuum of Care), to host a forum each year for homeless clients in order to involve them in policy-making and decisions regarding ESG funding. The City of Oakland will make certain to contact and invite homeless or formerly homeless individuals to participate in Request For Proposal (RFP) processes or other application processes involving ESG funding for Oakland PATH Strategy services, assistance, and/or facilities.

PERFORMANCE STANDARDS

City of Oakland participates in the Everyone Home "Measuring Success and Reporting Outcomes" initiative which has established performance measures and benchmarks for all components of the homeless service system. All providers in the Priority Home Partnership will be contractually expected to meet or exceed performance standards.

- Targeting those who need the assistance most: In designing Priority Home, the community has developed targeting criteria to maximize the chances that assistance is given to those who are already homeless or most likely to become so. As all agencies must be in agreement with this targeting structure to participate, this performance measure will be monitored at a program-wide level through review of HMIS data and as a compliance measure during provider monitorings.

- Reducing the number of people living on streets and in emergency shelters: Approximately 60-70% of those anticipated to be served in the ESG funded portion of Priority Home will be homeless upon entry. The other 30-40% is expected to be very likely to occupy a shelter bed if not assisted. Through review of HMIS data, Priority Home Partnership will track where people enter the program from, and Everyone Home will compare this information to point in time count data collected annually. Because the demand for shelter currently far outstrips the resources, we do not anticipate immediate reductions in those sheltered but do anticipate increased turnover in shelter beds, allowing for more people to be sheltered instead of unsheltered.

- Shortening the time people spend homeless: a primary goal of the Everyone Home performance Standards is reducing lengths of stay in homelessness. Rapid rehousing is expected to occur within 45 days of homelessness, and prevention assistance within 30 days of contact.

- Ensuring assistance provided is effective at reducing barriers: The greatest barrier to housing for most clients is lack of income. Two performance standards for programs in Alameda County address this barrier; 1) percent of households leaving with employment income and 2) percent of households entering with no income that leave with an income. 50% of households exiting rapid rehousing are expected to have an income from employment. They are also expected to reduce by 10%, the number of households who both enter and exit without any income.

Another demonstration of effectively having reduced housing barriers is whether people assisted later return to the system as homeless. Alameda County has a performance goal for rapid rehousing that less than **10%** of households assisted return to the homeless system within **12** months, as measured by a re-entry in the **HMIS** system.

Coordinated Assessment System

As described above, EveryOne Home and its participating jurisdictions including the City of Oakland, implemented a coordinated assessment system for the Priority Home Partnership using HPRP which we are currently reviewing and modifying with the implementation of the Emergency Solutions Grant. The current system includes the **211** referral/ assessment line, a network of geographically- based Housing Resource Agencies offering similar housing crisis resolution services, and a set of shared criteria and assessment tools and procedures for delivering assistance. In the coming months, the community anticipates reviewing this design and planning for how to include other homeless services in a system of coordinated assessment.

CERTIFICATIONS

(Certifications To be provided)

(1) Affirmatively furthering fair housing. Each jurisdiction is required to submit a certification that it will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting the analysis and actions in this regard.

Said certification provided with the City of Oakland's 2012/13 Consolidated Plan, Annual Action Plan.

(2) Anti-displacement and relocation plan. Each jurisdiction is required to submit a certification that it has in effect and is following a residential anti-displacement and relocation assistance plan in connection with any activity assisted with funding under the CDBG or HOME programs.

Said certification provided with the City of Oakland's 2012/13 Consolidated Plan, Annual Action Plan.

(3) Anti-lobbying. The jurisdiction must submit a certification with regard to compliance with restrictions on lobbying required by 24 CFR part 87, together with disclosure forms, if required by that part.

Said certification provided with the City of Oakland's 2012/13 Consolidated Plan, Annual Action Plan.

(4) Authority of jurisdiction. The jurisdiction must submit a certification that the consolidated plan is authorized under State and local law (as applicable) and that the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Oakland City Council report to accept and authorize this Substantial Amendment to the 2011 Emergency Solutions Grant portion of the 2011/12 Consolidated Plan Annual Action Plan is attached to this final document.

(5) Consistency with plan. The jurisdiction must submit a certification that the housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

The City of Oakland certifies that the housing activities to be undertaken with Emergency Solutions Grant funds are consistent with the strategic plan, as amended.

(3) Following a plan. A certification that the jurisdiction is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

(4) Use of funds. A certification that the jurisdiction has complied with the following criteria:

(i) With respect to activities expected to be assisted with CDBG funds, the Action Plan has been developed so as to give the maximum feasible priority to activities that will benefit low- and moderate-income families or aid in the prevention or elimination of slums or blight. The plan may also include CDBG-assisted activities that are certified to be designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community where other financial resources are not available to meet such needs;

(ii) The aggregate use of CDBG funds, including section 108 guaranteed loans, during a period specified by the jurisdiction, consisting of one, two, or three specific consecutive program years, shall principally benefit low- and moderate-income families in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period (see 24 CFR 570.3 for definition of "CDBG funds"); and

(iii) The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG funds are used to pay the proportion of a fee or assessment attributable to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. In addition, with respect to properties owned and occupied by moderate-income (but not low-income) families, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

(5) Excessive force. A certification that the jurisdiction has adopted and is enforcing:

(i) A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and

(ii) A policy of enforcing applicable State and local laws against physically barring entrance to or exit from, a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

(6) Compliance with anti-discrimination laws. The jurisdiction must submit a certification that the grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d), the Fair Housing Act (42 U.S.C. 3601-3619), and implementing regulations.

(7) Compliance with lead-based paint procedures. The jurisdiction must submit a certification that its activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K, and R of this title.

(8) Compliance with laws. A certification that the jurisdiction will comply with applicable laws.

(c) Emergency Shelter Grant program. For jurisdictions that seek funding under the Emergency Shelter Grant program, the following certifications are required:

City of **Oakland** certifies that all certifications required under the **HEARTH** Emergency Solutions Grant (formerly **Emergency Shelter Grant**) are met and satisfied to **HUD** specifications.

(1) In the case of assistance involving major rehabilitation or conversion, the applicant will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for not less than a 10-year period;

(2) In the case of assistance involving rehabilitation less than that covered under paragraph (d)(1) of this section, the applicant will maintain any building for which assistance is used under the ESG program as a shelter for homeless individuals and families for not less than a three-year period;

(3) In the case of assistance involving essential services (including but not limited to employment, health, drug abuse, or education) or maintenance, operation, insurance, utilities and furnishings, the applicant will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure as long as the same general population is served;

(4) Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary;

(5) It will assist homeless individuals in obtaining appropriate supportive services, including permanent housing, medical and mental health treatment, counseling, supervision, and other services essential for achieving independent living, and other Federal, State, local, and private assistance available for such individuals;

(6) It will obtain matching amounts required under ? 576.71 of this title;

(7) It will develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project

assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project except with the written authorization of the person responsible for the operation of that shelter;

(8) To the maximum extent practicable, it will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under this program, in providing services assisted under the program, and in providing services for occupants of facilities assisted under the program; and

(9) It is following a current HUD-approved consolidated plan (or CHAS).

(10) A certification that the jurisdiction has established a policy for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons.

Alameda County EveryOne Home (continuum of care), with City of Oakland as a stake holder, is taking steps to fully implement a county-wide discharge policy that prevent homelessness for persons being discharged from publicly funded institutions or systems of care. The following strategy is being developed and implemented.

Oakland, Oakland Partners, and Alameda County are developing a comprehensive county-wide discharge policy and protocols to reduce or eliminate the release of people from public institutions to the streets or the homeless service system. Oakland Permanent Access to Housing strategy (PATH) identifies development of discharge planning policies and protocols as the lynchpin of a comprehensive homeless prevention strategy.

Strategies set for implementation under the PATH Strategy are as follows:

Strategy #1: Create Policies and Protocols to Prevent People from being Discharged into Homelessness from Mainstream Systems and their Institutions

Problem:

Many systems of care have responsibility for the discharge of people leaving their institutions. Publicly funded institutions such as hospitals, mental health facilities, prisons and jails are often a factor in creating and maintaining homelessness by discharging people to the streets or shelters.

Solution:

The lynchpin of a comprehensive homelessness prevention strategy is the development of discharge planning policies and protocols that reduce or eliminate the release of people from public institutions to the streets or the homeless service system.

Action Step:

The Alameda EveryOne Home Leadership Council is leading an effort to create systemic discharge planning policies and protocols to prevent people from being discharged into homelessness from mainstream systems and their institutions. Oakland's mainstream systems and their institutions will participate in this process.

Strategy #2: Link and Expand Current Efforts to Prevent Homelessness for People Being Discharged from Mainstream Systems of Care and their Institutions (EveryOne Home Objectives P-2 and P-3)

Problem:

Lack of coordination among the different systems of care has resulted in a fragmented approach to providing people who are leaving institutions with the support and access to resources they need to secure stable housing.

Solution:

Systems must work together to ensure continuity of care and linkages to appropriate housing and community treatment and supports to help people make successful transitions to the community when they are released from foster care, jails, prisons and health care, mental health or substance abuse treatment facilities.

Action Steps:

- Convene and create strategic linkages between current Oakland-based efforts to prevent homelessness and/or decrease recidivism for people reentering Oakland from mainstream systems of care and their institutions through pre-release and discharge planning, integrated and timely support services, case management, affordable/supportive housing, including: Project Choice, the MOMS Project, Project RESPECT, AB 1998, and PACT. (Descriptions of these projects may be found in Attachment D, Oakland and Alameda County Discharge Planning/ Homelessness Prevention Programs for People Leaving Mainstream Systems of Care.)
- Expand current efforts to incorporate additional priority target populations (e.g., single adults.)

Expand current efforts to refine current and future efforts to include comprehensive service strategies, such as early intervention and engagement when homeless people enter mainstream systems and institutions; a full array of wraparound services (i.e., behavioral health, health care, employment); and direct linkages and priority access to affordable and/or supportive housing (housing subsidy programs, HUD McKinney funded supportive housing, and Direct PATH

Application for Federal Assistance SF-424		Version 02
*1. Type of Submission: <input type="checkbox"/> Preapplication <input type="checkbox"/> Application <input checked="" type="checkbox"/> Changed/Corrected Application	*2. Type of Application <input type="checkbox"/> New <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Revision	* if Revision, select appropriate letter(s) A. Increase Award C. Increase Duration *Other (Specify) <u>SUBSTANTIAL AMENDMENT</u>
3. Date Received: N/A		4. Applicant Identifier:
5a. Federal Entity Identifier:		*5b. Federal Award Identifier: E-11-MC-06-0013
State Use Only:		
6. Date Received by State:		7. State Application Identifier:
8. APPLICANT INFORMATION:		
*a. Legal Name: City of Oakland, CA		
*b. Employer/Taxpayer Identification Number (EIN/TIN): 946000384		*c. Organizational DUNS: 137137977
d. Address:		
*Street 1: <u>250 Frank H. Ogawa Plaza</u> Street 2: <u>Suite 5313</u> *City: <u>Oakland</u> County: <u>Alameda County</u> *State: <u>California</u> Province: _____ *Country: <u>USA</u> *Zip / Postal Code <u>94612</u>		
e. Organizational Unit:		
Department Name: Department of Housing & Community Development		Division Name: Housing Policies & Programs (for Department of Human Services, Community Housing Services Division)
f. Name and contact information of person to be contacted on matters involving this application:		
Prefix: <u>Ms.</u> *First Name: <u>Michele</u> Middle Name: <u>A.</u> *Last Name: <u>Byrd</u> Suffix: _____		
Title: Deputy Director of Housing and Community Development		
Organizational Affiliation:		
*Telephone Number: 510-238-3716		Fax Number: 510-238-6956
*Email:		

Application for Federal Assistance SF-424	Version 02
*9. Type of Applicant 1: Select Applicant Type: C. City or Township Government Type of Applicant 2: Select Applicant Type: Type of Applicant 3: Select Applicant Type: *Other (Specify)	
*10 Name of Federal Agency: U. S. Department of Housing & Urban Development	
11. Catalog of Federal Domestic Assistance Number: 14.231 CFDA Title: Emergency Solutions Grants Program	
*12 Funding Opportunity Number: *Title: Funding Availability for the Emergency Solutions Grants (ESG) program	
13. Competition Identification Number: Title: Formula Grant/HEARTH Emergency Solutions Grant	
14. Areas Affected by Project (Cities, Counties, States, etc.): Oakland, CA	
*15. Descriptive Title of Applicant's Project: Emergency Solutions Grant/Permanent Access To Housing Strategy	

Application for Federal Assistance SF-424 Version 02

16. Congressional Districts Of:
*a. Applicant: 9th & 13th *b. Program/Project: 9th & 13th

17. Proposed Project:
*a. Start Date: July 1, 2012 *b. End Date: June 30, 2014

18. Estimated Funding (\$):

*a. Federal	\$207,596
*b. Applicant	_____
*c. State	_____
*d. Local	_____
*e. Other	\$207,596
*f. Program Income	_____
*g. TOTAL	\$415,192

*19. Is Application Subject to Review By State Under Executive Order 12372 Process?
 a. This application was made available to the State under the Executive Order 12372 Process for review on _____
 b. Program is subject to E.O. 12372 but has not been selected by the State for review.
 c. Program is not covered by E. O. 12372

*20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes", provide explanation.)
 Yes No

21. *By signing this application, I certify (1) to the statements contained in the list of certifications** and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)
 ** I AGREE
** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions

Authorized Representative:

Prefix: Ms. *First Name: Deanna
Middle Name: J.
*Last Name: Santanna
Suffix: _____

*Title: City Administrator

*Telephone Number: 510-238-3301 Fax Number: 510-238-2223

* Email: cityadministrator@oaklandnet.com

*Signature of Authorized Representative: _____ *Date Signed: _____

Application for Federal Assistance SF-424

Version 02

***Applicant Federal Debt Delinquency Explanation**

The following should contain an explanation if the Applicant organization is delinquent of any Federal Debt.

INSTRUCTIONS FOR THE 5F-424

Public reporting burden for this collection of information is estimated to average 60 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0043), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

This is a standard form (including the continuation sheet) required for use as a cover sheet for submission of preapplication and applications and related information under discretionary programs. Some of the items are required and some are optional at the discretion of the applicant or the Federal agency (agency). Required items are identified with an asterisk on the form and are specified in the instructions below. In addition to the instructions provided below, applicants must consult agency instructions to determine specific requirements.

Item	Entry:	Item	Entry:
1.	Type of Submission: (Required) Select one type of submission in accordance with agency instructions. <ul style="list-style-type: none"> • Preapplication • Application • Changed/Corrected Application – If requested by the agency, check if this submission is to change or correct a previously submitted application. Unless requested by the agency, applicants may not use this to submit changes after the closing date. 	10.	Name Of Federal Agency: (Required) Enter the name of the Federal agency from which assistance is being requested with this application.
		11.	Catalog Of Federal Domestic Assistance Number/Title: Enter the Catalog of Federal Domestic Assistance number and title of the program under which assistance is requested, as found in the program announcement if applicable.
2.	Type of Application: (Required) Select one type of application in accordance with agency instructions. <ul style="list-style-type: none"> • New – An application that is being submitted to an agency for the first time. • Continuation - An extension for an additional funding/budget period for a project with a projected completion date. This can include renewals. • Revision - Any change in the Federal Government's financial obligation or contingent liability from an existing obligation. If a revision, enter the appropriate letter(s). More than one may be selected. If "Other" is selected, please specify in text box provided. <ul style="list-style-type: none"> A. Increase Award S. Decrease Award C. Increase Duration D. Decrease Duration E. Other (specify) 	12.	Funding Opportunity Number/Title: (Required) Enter the Funding Opportunity Number and title of the opportunity under which assistance is requested, as found in the program announcement
		13.	Competition Identification Number/Title: Enter the Competition Identification Number and title of the competition under which assistance is requested, if applicable.
		14.	Areas Affected By Project List the areas or entities using the categories (e.g., cities, counties, states, etc.) specified in agency instructions. Use the continuation sheet to enter additional areas, if needed.
3.	Date Received: Leave this field blank. This date will be assigned by the Federal agency.	15.	Descriptive Title of Applicant's Project: (Required) Enter a brief descriptive title of the project. If appropriate, attach a map showing project location (e.g., construction or real property projects). For preapplications, attach a summary description of the project
4.	Applicant Identifier: Enter the entity identifier assigned by the Federal agency, if any, or applicant's control number, if applicable.		
5a.	Federal Entity Identifier: Enter the number assigned to your organization by the Federal Agency, if any.	18.	Congressional Districts Of: (Required) 18a. Enter the applicant's Congressional District and 18b. Enter all District(s) affected by the program or project. Enter in the format 2 characters State Abbreviation – 3 characters District Number, e.g., CA-005 for California 5 th district, CA-012 for California 12 th district NC-103 for North Carolina's 103 rd district <ul style="list-style-type: none"> • If all congressional districts in a state are affected, enter "all" for the district number, e.g., MD-all for all congressional districts in Maryland. • If nationwide, i.e. all districts within all states are affected, enter US-all. • If the program/project is outside the US, enter 00-000.
5b.	Federal Award Identifier: For new applications leave blank. For a continuation or revision to an existing award, enter the previously assigned Federal award identifier number. If a changed/corrected application, enter the Federal Identifier in accordance with agency instructions.		
6.	Date Received by State: Leave this field blank. This date will be assigned by the State, if applicable.		
7.	State Application Identifier: Leave this field blank. This identifier will be assigned by the State, if applicable.		
8.	Applicant Information: Enter the following in accordance with agency instructions: <ul style="list-style-type: none"> a. Legal Name: (Required) Enter the legal name of applicant that will undertake the assistance activity. This is the name that the organization has registered with the General Contractor Registry. Information on registering with CCR may be obtained by visiting the Grants.gov website. b. Employer/Taxpayer Number (EIN/TIN): (Required): Enter the Employer or Taxpayer Identification Number (EIN or TIN) as assigned by the Internal Revenue Service. If your organization is not in the US, enter 44-4444444. c. Organizational DUNS: (Required) Enter the organization's DUNS or OUNS+4 number received from Dun and Bradstreet. Information on obtaining a DUNS number may be obtained by visiting the Grants.gov website. d. Address: Enter the complete address as follows: Street address (Line 1 required), City (Required), County, State (Required, if country is US), Province, Country (Required), Zip/Postal Code (Required, if country is US). e. Organizational Unit: Enter the name of the primary organizational unit (and department or division, if applicable) that will undertake the 	17.	Proposed Project Start and End Dates: (Required) Enter the proposed start date and end date of the project.
		18.	Estimated Funding: (Required) Enter the amount requested or to be contributed during the first funding/budget period by each contributor. Value of in-kind contributions should be included on appropriate lines, as applicable. If the action will result in a dollar change to an existing award, indicate only the amount of the change. For decreases, enclose the amounts in parentheses.
		19.	Is Application Subject to Review by State Under Executive Order 12372 Process? Applicants should contact the State Single Point of Contact (SPOC) for Federal Executive Order 12372 to determine whether the application is subject to the

	<p>assistance activity, if applicable.</p> <p>f. Name and contact information of person to be contacted on matters involving this application: Enter the name (First and last name required), organizational affiliation (if affiliated with an organization other than the applicant organization), telephone number (Required), fax number, and email address (Required) of the person to contact on matters related to this application.</p>		<p>State intergovernmental review process. Select the appropriate box. If "a." is selected, enter the date the application was submitted to the State</p>		
		20.	<p>Is the Applicant Delinquent on any Federal Debt? (Required) Select the appropriate box. This question applies to the applicant organization, not the person who signs as the authorized representative. Categories of debt include delinquent audit disallowances, loans and taxes.</p> <p>If yes, include an explanation on the continuation sheet</p>		
9.	<p>Type of Applicant (Required) Select up to three applicant types in accordance with agency instructions.</p> <table border="0"> <tr> <td data-bbox="181 611 532 989"> <p>A. State Government</p> <p>B. County Government</p> <p>C. City or Township Government</p> <p>D. Special District Government</p> <p>E. Regional Organization</p> <p>F. U.S. Territory or Possession</p> <p>G. Independent School District</p> <p>H. Public/State Controlled Institution of Higher Education</p> <p>I. Indian/Native American Tribal Government: (Federally Recognized)</p> <p>J. Indian/Native American Tribal Government: (Other than Federally Recognized)</p> <p>K. Indian/Native American Tribally Designated Organization</p> <p>L. Public/Indian Housing Authority</p> </td> <td data-bbox="532 611 873 989"> <p>M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education)</p> <p>N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education)</p> <p>O. Private Institution of Higher Education</p> <p>P. Individual</p> <p>Q. For-Profit Organization (Other than Small Business)</p> <p>R. Small Business</p> <p>S. Hispanic-serving Institution</p> <p>T. Historically Black Colleges and Universities (HBCUs)</p> <p>U. Tribally Controlled Colleges and Universities (TCCUs)</p> <p>V. Alaska Native and Native Hawaiian Serving Institutions</p> <p>W. Non-domestic (non-US) Entity</p> <p>X. Other (specify)</p> </td> </tr> </table>	<p>A. State Government</p> <p>B. County Government</p> <p>C. City or Township Government</p> <p>D. Special District Government</p> <p>E. Regional Organization</p> <p>F. U.S. Territory or Possession</p> <p>G. Independent School District</p> <p>H. Public/State Controlled Institution of Higher Education</p> <p>I. Indian/Native American Tribal Government: (Federally Recognized)</p> <p>J. Indian/Native American Tribal Government: (Other than Federally Recognized)</p> <p>K. Indian/Native American Tribally Designated Organization</p> <p>L. Public/Indian Housing Authority</p>	<p>M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education)</p> <p>N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education)</p> <p>O. Private Institution of Higher Education</p> <p>P. Individual</p> <p>Q. For-Profit Organization (Other than Small Business)</p> <p>R. Small Business</p> <p>S. Hispanic-serving Institution</p> <p>T. Historically Black Colleges and Universities (HBCUs)</p> <p>U. Tribally Controlled Colleges and Universities (TCCUs)</p> <p>V. Alaska Native and Native Hawaiian Serving Institutions</p> <p>W. Non-domestic (non-US) Entity</p> <p>X. Other (specify)</p>	21.	<p>Authorized Representative: (Required) To be signed and dated by the authorized representative of the applicant organization. Enter the name (First and last name required) title (Required), telephone number (Required), fax number, and email address (Required) of the person authorized to sign for the applicant</p> <p>A copy of the governing body's authorization for you to sign this application as the official representative must be on file in the applicant's office. (Certain Federal agencies may require that this authorization be submitted as part of the application.)</p>
<p>A. State Government</p> <p>B. County Government</p> <p>C. City or Township Government</p> <p>D. Special District Government</p> <p>E. Regional Organization</p> <p>F. U.S. Territory or Possession</p> <p>G. Independent School District</p> <p>H. Public/State Controlled Institution of Higher Education</p> <p>I. Indian/Native American Tribal Government: (Federally Recognized)</p> <p>J. Indian/Native American Tribal Government: (Other than Federally Recognized)</p> <p>K. Indian/Native American Tribally Designated Organization</p> <p>L. Public/Indian Housing Authority</p>	<p>M. Nonprofit with 501C3 IRS Status (Other than Institution of Higher Education)</p> <p>N. Nonprofit without 501C3 IRS Status (Other than Institution of Higher Education)</p> <p>O. Private Institution of Higher Education</p> <p>P. Individual</p> <p>Q. For-Profit Organization (Other than Small Business)</p> <p>R. Small Business</p> <p>S. Hispanic-serving Institution</p> <p>T. Historically Black Colleges and Universities (HBCUs)</p> <p>U. Tribally Controlled Colleges and Universities (TCCUs)</p> <p>V. Alaska Native and Native Hawaiian Serving Institutions</p> <p>W. Non-domestic (non-US) Entity</p> <p>X. Other (specify)</p>				

FILED
OFFICE OF THE CITY CLERK
OAKLAND

OAKLAND CITY COUNCIL

Approved as to Form and Legality

M. Morsano
City Attorney

2012 APR 17 AM 10:58 RESOLUTION NO. _____ C.M.S.

Introduced by Councilmember _____

RESOLUTION AMENDING THE CITY OF OAKLAND'S FISCAL YEAR 2011-12 CONSOLIDATED PLAN ANNUAL ACTION PLAN SUBMITTED TO THE U. S. DEPARTMENT OF HOUSING & URBAN DEVELOPMENT, TO INCLUDE ADDITIONAL FUNDS AWARDED TO THE CITY UNDER THE U. S. DEPARTMENT OF HOUSING & URBAN DEVELOPMENT'S 2011 HOMELESS EMERGENCY AND RAPID TRANSITION TO HOUSING (HEARTH) EMERGENCY SOLUTIONS GRANT (ESG), A FORMULA GRANT (FORMERLY THE EMERGENCY SHELTER GRANT), REQUIRING A SUBSTANTIAL AMENDMENT TO THE CITY'S FISCAL YEAR 2011-2012 ANNUAL ACTION PLAN

WHEREAS, the U.S. Department of Housing and Urban Development (HUD) requires that the City of Oakland prepare and submit a Substantial Amendment to the Consolidated Plan Annual Action Plan for Fiscal Year 2011-2012 (ESG Substantial Amendment) as a condition for receiving a second allocation of Federal funds under the 2011 Homeless Emergency and Rapid Transition to Housing (HEARTH) Emergency Solutions Grant (ESG) for the provision of rapid rehousing and services to the homeless; and

WHEREAS, the ESG Substantial Amendment is required to be submitted to HUD by May 15, 2011 in order for second 2011 ESG allocation to be awarded to the City; and

WHEREAS, the City has published drafts of the ESG Substantial Amendment and has provided for a public comment period of 30 days according to HUD requirements; and

WHEREAS, the City desires to accept the 2011 HEARTH ESG award from HUD in the amount of \$207,596 as identified in the ESG Substantial Amendment; and

WHEREAS, the grant funds will be appropriated into HUD-ESG Fund (2103), Community Housing Services Organization (78411); and

WHEREAS, a public hearing will be held May 1, 2012, to solicit public comment on the proposed ESG Substantial Amendment for the 2011 ESG second allocation to the City; now, therefore, be it

RESOLVED: That the City Council hereby authorizes and directs the City Administrator, and/or her designee, to prepare the final Substantial Amendment to the City's 2011-2012 Consolidated Plan Annual Action Plan for the ESG portion, consistent with the draft ESG Substantial Amendment, and related documents, and consider public comments received; and be it

FURTHER RESOLVED: That the City Council hereby authorizes and directs the City Administrator, and/or her designee, to take any actions necessary to execute and submit the documents mentioned above to HUD and any other materials that may be required to secure HUD approval of the Plan; and be it

FURTHER RESOLVED: That the City Council hereby accepts and appropriates the HUD funds totaling \$207,596; and be it

FURTHER RESOLVED: That ESG funds shall be appropriated to the HUD-ESG Fund (2103), Organization (78411), Project (to be determined); and be h

FURTHER RESOLVED: That the City Administrator or her designee is hereby authorized to approve any amendments to the ESG Substantial Amendment, and any amendments, modifications or extensions of FY 2011-2012 PATH agreements and take any other action with respect to the agreements consistent with this Resolution and its basic purpose; and be it

FURTHER RESOLVED: That prior to execution, agreements shall be reviewed and approved as to form and legality by the City Attorney, and copies filed with the City Clerk, and be it

FURTHER RESOLVED: That the City Council hereby authorizes the City Administrator and/or his designee to conduct negotiations, execute documents, and take any other action with respect to the projects and the allocation of ESG funds consistent with this Resolution and its basic purpose.

IN COUNCIL, OAKLAND, CALIFORNIA, _____

PASSED BY THE FOLLOWING VOTE:

AYES - BROOKS, BRUNNER, DE LA FUENTE, KAPLAN, KERNIGHAN, NADEL, SCHAFF, and
PRESIDENT REID

NOES -

ABSENT -

ABSTENTION -

ATTEST: _____
LaTonda Simmons
City Clerk and Clerk of the Council
of the City of Oakland, California