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# AGENDA REPORT

**TO:** John A. Flores  
INTERIM CITY ADMINISTRATOR

**FROM:** Brooke A. Levin

**SUBJECT:** Municipal Code Amendments Enabling  
Implementation of Zero Waste System

**DATE:** May 20, 2015

City Administrator  
Approval

Date

5/21/15

**COUNCIL DISTRICT:** City-Wide

## RECOMMENDATION

Staff recommends that the City Council conduct a public hearing and upon conclusion adopt the following legislation:

1. **An Ordinance Amending Oakland Municipal Code Chapter 8.28 (“Solid Waste Collection And Disposal And Recycling”), To Implement The Zero Waste System Design And Zero Waste Collection Services Franchise Contracts, By: 1) Establishing a Nuisance Abatement Process to Address Failures to Ensure Proper Handling of Solid Waste; 2) Restricting Collection Of Commercial Organic Materials To Authorized Collectors; 3) Changing Definitions And Other Provisions To Allow For Regulation Of Commercial Recyclers And Non-Exclusive Franchise Haulers Of Construction And Demolition Debris, And 4) Conforming the new Zero Waste System to The Zero Waste Collection Services Franchises Awarded By City Council, Services To Begin July 1, 2015.**
2. **An Ordinance Amending Oakland Municipal Code Chapter 15.34 (“Construction And Demolition Debris Waste Reduction And Recycling Requirements”) To Establish A Non-Exclusive Franchise Agreement System For The Hauling Of Such Debris To Become Effective July 1, 2015; And Authorizing The City Administrator To Execute Such Non-Exclusive Franchise Agreements.**

## OUTCOME

The City Council’s adoption of the proposed ordinances would ensure that the OMC fully conforms to the three franchise contracts approved by the City Council and executed by the City Administrator, when the franchise services begin, on July 1, 2015.

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In addition, the ordinances would enable establishment of a permit system for commercial recycling collection services and a non-exclusive franchise system for the hauling of C&D debris.

Together, approval of the amended ordinances and the non-exclusive franchise agreement for the hauling of C&D debris would enable full implementation of the Zero Waste System as previously approved by the City Council, effective July 1, 2015.

### **EXECUTIVE SUMMARY**

The proposed Oakland Municipal Code (OMC) amendments fall into the following categories:

1. Changes, as contained in Chapter 8.28 of the OMC, needed to implement the Zero Waste System and the new franchise contracts for Mixed Materials and Organics (MM&O) and Residential Recycling (RR) collection services, including changes that:
  - A. Establish a new special assessment system to address to address nuisance conditions created by failure to properly handle solid waste .
  - B. Restrict commercial organic materials collection to MM&O contractor
  - C. Allow regulation of commercial recycling collection services
  - D. Conform to the definitions, terms and provisions of the MM&O and RR franchise contracts
2. Changes needed to enable a non-exclusive franchise system for the collection of construction and demolition (C&D) debris, as contained in Chapter 15.34 of the OMC.

### **BACKGROUND/LEGISLATIVE HISTORY**

On January 17, 2012, the City Council approved Resolution No. 83689 C.M.S. (*Attachment A*), adopting a Zero Waste System design that incorporates:

- Three exclusive franchises
  - Mixed Material and Organics Collection Services
  - Residential Recycling Collection Services
  - Disposal Services
- A commercial recycling permit system for hauling of recyclables
- A non-exclusive franchise system to regulate C&D debris hauling activities

Following a Request for Proposals process, the City Council adopted the following ordinances to award the three exclusive franchises described in the Zero Waste System:

- 1) Ordinance No. 13258 C.M.S., adopted on September 29, 2014, awarded the Mixed Materials and Organics (MM&O) Contract to Waste Management of Alameda County (WMAC), by amending Ordinance No. 13253 C.M.S., which had previously awarded the

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MM&O Contract to California Waste Solutions (CWS). This ordinance was amended on December 9, 2014, by Ordinance No. 13273 C.M.S., to add contamination rates to the maximum service rates.

- 2) Ordinance No. 13254 C.M.S., adopted on August 13, 2014, awarded the Residential Recycling (RR) Contract to CWS. This ordinance was amended on December 9, 2014, by Ordinance No. 13274 C.M.S., which changed the term of the RR contract from 10 years with two five-year extensions, to 20 years
- 3) Ordinance No. 13259 C.M.S., adopted on September 29, 2014, awarded the Disposal Contract to WMAC, by amending Ordinance No. 13255, which had previously awarded the Disposal Contract to CWS.

This report and adoption of the attached Ordinances would establish

- A commercial recycling permit system (Chapter 8.28), and
- A non-exclusive franchise system to regulate C&D debris hauling activities (Chapter 15.34).

## **ANALYSIS**

OMC Chapter 8.28 addresses the requirements for handling of solid waste, organics, and recyclables, and was last amended in 1995 to conform its provisions to the then newly executed solid waste franchise agreement. This Chapter now requires amending to conform to the Zero Waste System and the new franchise contracts prior to service commencement on July 1, 2015, and to implement a permit system that will regulate commercial recycling collection services as part of the Zero Waste System. The proposed changes fall into the categories listed below.

- A. Establish a new special assessment system to address delinquent accounts
- B. Restrict commercial organic materials collection to MM&O contractor
- C. Allow regulation of commercial recycling collection services
- D. Conform to terms and provisions of the MM&O and RR franchise contracts

OMC Chapter 15.34 was adopted in 2000 to establish recycling requirements for C&D debris. Chapter 15.34 now requires amending to create a non-exclusive franchise system for C&D debris hauling, as part of the Zero Waste System adopted in 2012.

The content of these proposed changes is summarized below.

- Chapter 8.28 – Solid Waste Collection and Disposal and Recycling Discussion
  - A. ***Establish a New Special Assessment System to Address Nuisance Conditions Created by Failure to Properly Handle Solid Waste***

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The MM&O contract contains extensive language describing a new system for handling residential garbage bills that become delinquent, created in order to protect the City from ongoing liabilities related to collection of these delinquencies. Under the current system the City pays delinquent amounts to WMAC following the close of each quarterly billing period, and the City then collects the delinquent amounts from property owners pursuant to a lien process. The Zero Waste System shifts this responsibility from the City to the MM&O collector, which is now authorized to terminate service for non-payment.

The potential for service termination creates the need for the newly developed nuisance abatement special assessment process. The new system requires several technical changes to OMC Sections 8.28.170 through 8.28.260. These changes are expected to have little impact on the experience of a customer whose bill becomes delinquent. The ordinance would authorize the City to subscribe to collection service on behalf of the property owner if the property owner fails to ensure that solid waste is properly handled, either by maintaining collection service or self-hauling waste pursuant to a permit. This process is designed to abate the nuisance conditions on the property and protect against the public health and safety risks posed by the accumulation of solid waste. The ordinance would authorize the City to recover the costs of subscribing to service, including administrative costs, as a special assessment against the property. Under the new system, WMAC has an increased incentive to quickly resolve billing errors and disputes directly with customers, since WMAC must collect the initial delinquent bill from customers.

*Old (Lien) System Description*

Under the current lien system, billing is in advance of service and bills become delinquent if the customer does not pay WMAC within 90 days. Following the close of each quarterly billing period, the City pays delinquent amounts to WMAC and pursues collection of delinquent amounts from customers through property liens. Prior to the City placing a property lien through the County Assessor, customers may pay the delinquent amounts plus fees to the City. However, once the City places a lien, the customer must clear the lien by paying the County Assessor the delinquent amount plus County and City fees. Approximately 9,000 residential accounts in Oakland are delinquent every billing quarter.

*New (Special Assessment) System Description*

Under the new special assessment system, billing is still done in advance, however, bills become delinquent if not paid within 45 days. WMAC will notify the City of its intent to stop service to delinquent customers, and the City will initiate a process to (a) subscribe to services on behalf of any such customer in the next billing period, and (b) place a special assessment on the property, which includes the cost of services for which the City has subscribed, plus fees. Prior to the City placing a special assessment with the County Assessor, customers may pay the City-subscribed amounts plus fees to the City. Once the City places a special assessment, the City-subscribed amounts plus fees will be payable to the County Assessor on the next annual property tax bill. The City will remit payment to WMAC monthly, for payments for assessments that are received from the County Assessor.

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A customer whose bill becomes delinquent will still owe the initial delinquent amount plus late fees to WMAC, and will separately owe the City-subscribed amounts plus fees as described above. City-subscribed service through this special assessment system continues until the customer settles the original delinquent payment with WMAC and reinstates service. Additionally, under the new system WMAC is paid for City-subscribed service only after the City receives payment rather than at the close of each quarter, which provides an additional incentive to WMAC to quickly resolve billing errors and disputes directly with customers.

***B. Restrict Commercial Organic Materials Collection to MM&O Contractor***

The design of the Zero Waste System included commercial organic materials to be part of the exclusive franchise (MM&O contract), because organic materials were identified as the top material the City needed to divert from landfill disposal to meet the Zero Waste goal.

The MM&O contract is an exclusive agreement for collection and processing of all mixed materials (i.e., garbage) and organic materials generated in Oakland. However, under current OMC Chapter 8.28 provisions, organic material is listed in the definition of “Recyclable Materials.” Therefore, to make collection of commercial organic materials an exclusive service of the MM&O contract, revisions are required in several sections of OMC Chapter 8.28, to decouple organic material from recyclable material and to subject organic materials to many of the same regulations that apply to mixed materials, solid waste, or garbage, since improperly stored or transported organic material can pose a risk to public health and safety.

***C. Allow Regulation of Commercial Recycling Collection Services***

The Zero Waste System included a recommended permit system that would regulate commercial recycling collection services, enabling the City to establish and enforce waste diversion, reporting, and other performance standards. The permit system does not change the access to customers or operation methods of the long-established independent recycling collection companies.

The permit system will be developed, per OMC Sections 8.28.030.C, and regulations governing commercial recycling collection services will be presented to City Council for consideration by resolution after community stakeholder meetings are conducted. It is anticipated that this resolution will be brought to City Council in fall 2015, and include administrative costs and expenses.

***D. Conform to Terms and Provisions of the MM&O and RR Franchise Contracts***

In addition to the proposed amendments discussed above, many changes are proposed to the text of OMC Chapter 8.28 to ensure consistent usage of terms, and to fully reflect the provisions of the Zero Waste System and the related contracts that have been approved by City Council.

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In particular, the change of the “garbage” contract from one that addresses collection and disposal of “Solid Waste and Yard Waste” to one that addresses collection and processing of “Mixed Materials and Organic Materials” requires a multitude of changes that must fit together, providing cohesive language for the reader. All of the changes made for conformity have been anticipated throughout the Zero Waste System design and Request for Proposals process.

- Chapter 15.34 – Construction and Demolition Debris Waste Reduction and Recycling

The Zero Waste System design includes a non-exclusive franchise system that is intended to cover collection of all C&D debris, including both solid waste/ mixed materials and recyclable materials. Currently, collection of source separated recyclable materials from construction sites is not regulated by the City. With certain exceptions, collection of solid waste from construction sites is a service exclusive to the current solid waste franchisee.

The new system will allow independent service providers to collect all non-hazardous C&D debris, provided they obtain non-exclusive franchise from the City. The new system will allow the City to set performance standards and collect data on disposal and diversion, and should facilitate greater compliance with the City’s existing C&D Debris Waste Reduction and Recycling Ordinance (OMC Chapter 15.34). It will also provide builders and developers more options for competitively priced hauling and recycling services, and allow the non-exclusive franchised service providers improved access to materials they can recycle.

The non-exclusive franchise system would permit and regulate collection and hauling of all C&D debris, with exceptions for materials hauled by property owners or their employees, recyclable materials that are segregated by material type for collection (e.g., scrap metals), and materials hauled by general and trades (e.g., electrical, plumbing) contractors as an incidental part of their work. All demolition contractors and trucking companies that collect and haul C&D debris would be required to obtain non-exclusive franchise. The City requirements for non-exclusive franchisees would include:

- complying with all applicable laws and regulations;
- reporting to both the City and customers on all materials collected by weight or volume;
- maintaining insurance and a City business tax license;
- payment of an application fee plus administrative fees based on number of loads collected; and
- standards for cleanliness and maintaining markings on collection vehicles and collection containers to clearly identify the collector.

Staff is proposing to implement this system as an 18-month pilot program, beginning July 1, 2015. In June 2015, staff will begin notifying known C&D debris hauling or collection service providers of the new system, including making non-exclusive C&D franchise applications

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available once the ordinance receives final approval. Beginning in July, when the non-exclusive C&D franchise system is in effect, staff will receive and process applications, execute agreements, and continue to notify and recruit C&D debris collection service providers.

During the pilot period, staff will collect data to assess the effectiveness of the program, survey stakeholders, and determine what changes should be made to ensure long-term viability of the program for the C&D debris collection service providers, their customers, and the City. It is expected that the ongoing evaluation during the pilot period will inform needed changes to the non-exclusive franchise agreement language, municipal code, and administrative processes used to manage the system. Fees during the pilot will include an application fee of \$500, an administrative fee of \$10/load of C&D debris hauled, and a franchise fee of 1% of total billed C&D collection services in Oakland.

Collection of administrative and franchise fees would begin on January 1, 2016 to allow time to bring service providers into compliance and provide a level playing field for those that have an agreement in place earlier than others.

To establish the non-exclusive franchise system for collecting C&D debris, OMC Chapters 8.28 and 15.34 must be amended to define C&D debris as distinct from mixed materials and organic materials, and therefore not subject to the exclusive MM&O contract. In addition, several definitions were refined to distinguish between collection of recyclable C&D debris that would be regulated through this non-exclusive franchise system, and recyclables that are source-separated by material type, which would be regulated through the commercial recycling permit system, which is discussed in item C of this Analysis section. The proposed OMC amendments describe the parameters of the system, and clarify and establish appropriate exceptions.

In addition, per City Charter Article X, the procedure for granting franchises requires City Council approval by ordinance. To implement the pilot program staff proposes that the City Council authorize the City Administrator to grant the non-exclusive franchises for collection of C&D Debris and to execute the individual agreements based on the terms and conditions of the model agreement (*Exhibit A*) attached to the draft ordinance that amends OMC Chapter 15.34. Essentially, the City Council would be delegating a blanket authority for the issuance of the non-exclusive franchises to the City Administrator. All franchisees would be held to the same terms and conditions.

Next steps for implementing the proposed pilot and developing a permanent system are:

- June 2015 – Begin notification/recruitment of C&D debris hauling or collection service providers
- July 1, 2015 – Non-Exclusive Franchise (NEF) system in effect: receive & process applications, execute NEFs
- July – December 2015: Continue notification/recruitment of collectors, executing NEFs
- January 2015 – Begin collection of administrative and franchise fees

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- July 2015 – August 2016: Evaluate pilot data, conduct stakeholder input
- Fall 2016 – Report to City Council: pilot findings & recommend permanent system

### **PUBLIC OUTREACH/INTEREST**

The proposed OMC amendments would implement City Council policies and programs that were adopted through a series of resolutions and ordinances, which were each properly noticed. No additional public outreach was conducted regarding these proposed OMC amendments.

### **COORDINATION**

This report, including attachments and exhibits, was developed with the participation and review of staff from the Finance Department Revenue Division and Human Resources Department Risk Management Division, the Planning and Building Department Building Services Division, and the Office of the City Attorney.

### **COST SUMMARY/IMPLICATIONS**

Administration of the C&D non-exclusive franchise system pilot initially will be through use of existing Recycling Program staff, although it is expected to require up to an additional 0.5 FTE to manage at full implementation. Additional staffing needs will be evaluated during the pilot period, including the adequacy for cost recovery from the application fee, which is effective July 1, 2015, and for the administrative fee, which will become effective January 1, 2016. The franchise fee of 1% of total billed C&D collection services in Oakland will also be evaluated during the pilot period.

Administration of the regulation of commercial recycling collection services initially will be through use of existing Recycling Program staff, although it is expected to require up to an additional 0.25 FTE to manage at full implementation.

Administration of the new special assessment system to address delinquent garbage accounts by the Revenue Division will be funded through cost recovery administrative fees included in the Master Fee Schedule, as they are in the existing lien system.

### **SUSTAINABLE OPPORTUNITIES**

***Economic:*** Expanding and actively supporting use of discarded materials drives local economic and workforce development with ‘green collar’ jobs and value added production.

***Environmental:*** Waste reduction and recycling conserves natural resources, reduces air and water pollution, protects habitat, and reduces greenhouse gas (GHG) emissions.

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***Social Equity:*** Increased oversight of recycling and C&D debris hauling companies can improve the impacts on the community.

### **CEQA**

City staff from Public Works and Planning & Building determined that the City Council's actions are exempt from the California Environmental Quality Act (CEQA) because continuation of existing programs, but with greater environmental benefits may be achieved through: 1) award of an exclusive franchise agreement for mixed materials and organics collection; 2) award of non-exclusive franchises for collection of construction and demolition debris; and 3) regulation of commercial recyclers through a permit system. These added environmental benefits are created by diverting greater amounts of recyclables, organics, and construction and demolition debris from landfill disposal, and reducing illegal dumping.

The City has independently reviewed, considered and confirmed the environmental analyses conducted for Ordinance No. 13258 C.M.S. (see September 22, 2014 Agenda Report Attachment D); this analysis concludes that there would not be the potential for significant environmental impacts, therefore no further environmental review is required. Specifically, the projects are exempt from CEQA pursuant to the following CEQA Guidelines, each of which provides a separate and independent basis for CEQA compliance and when viewed collectively provide an overall basis for CEQA compliance:

- Section 15301: Ongoing operation of existing facilities;
- Section 15307: Action for the protection of natural resources;
- Section 15308: Action for the protection of the environment;
- Section 15183: Approvals consistent with Community Plans; and/or
- Section 15061(b)(3): Common sense exemption because project does not have potential to cause significant effect on the environment

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For questions regarding this report, please contact Becky Dowdakin, Environmental Services Manager, 510-238-6981.

Respectfully submitted



BROOKE A. LEVIN  
Director, Public Works Department

Reviewed by:  
Susan Kattchee, Assistant Director

Prepared by:  
Becky Dowdakin, Environmental Services Manager

Prepared by:  
Mark Gagliardi, Senior Recycling Specialist

*Attachment A* – Resolution No. 83689 C.M.S.

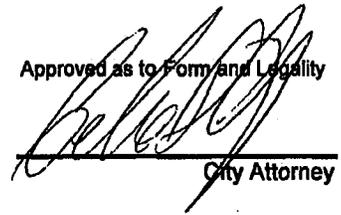
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June 2, 2015

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2011 DEC 20 PM 12:46 **OAKLAND CITY COUNCIL**

Approved as to Form and Legality



City Attorney

**RESOLUTION No. 83689 - C.M.S.**

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**RESOLUTION ADOPTING THE RECOMMENDED SYSTEM DESIGN TO MEET THE ADOPTED ZERO WASTE STRATEGY - DEVELOP A NEW WASTE MANAGEMENT SYSTEM DESIGN IN PREPARATION OF OAKLAND'S NEXT COLLECTION AND DISPOSAL CONTRACTS**

**WHEREAS**, the City of Oakland's Franchise Agreement for Solid Waste and Yard Waste Collection and Disposal Services with Waste Management of Alameda County, and the Agreement for Residential Recycling Service with California Waste Solutions expire on June 30, 2015; and

**WHEREAS**, in 2006 through Resolution No. 80286 C.M.S. the City Council adopted a Zero Waste Strategic Plan that included Strategy 2, Develop and Adopt New Rules and Incentives to Reduce Waste Disposal, which states: "Development and adoption of a new waste management system design in preparation for Oakland's next collection and disposal contract is key to the goal of reducing waste;" and

**WHEREAS**, the City will use a request for proposals procurement process to secure future solid waste, organic material, and recycling collection, processing and disposal services; and

**WHEREAS**, in 2009 through Resolution No. 81870 C.M.S. the City Council adopted Evaluative Criteria for assessing Zero Waste system models to replace the expiring franchise and recycling agreements, and directed staff to use these criteria in assessing Zero Waste system models and present a preferred model to Council for consideration; and

**WHEREAS**, in 2000 the City of Oakland disposed of 421,000 tons in landfills, and 291,000 tons in 2010, a reduction of 130,000 tons per year; and

**WHEREAS**, Alameda County 2008 Waste Characterization Study identifies organic material as the largest remaining recoverable material type, representing 49% of Oakland's total landfill disposal, or approximately 100,000 tons landfilled in 2010; and

**WHEREAS**, solid waste generated by multifamily dwellings and commercial businesses is rich in organic material that is primarily food and food-soiled paper; and

**WHEREAS**, diversion of organics from landfill represents the greatest opportunity for waste diversion and material recovery; and

**WHEREAS**, mandates on multi-family dwelling building owners and businesses to ensure recycling at their buildings and businesses are likely to be implemented by the state and/or

Alameda County in the near future, and greater access to recycling and organics collection services will be needed to comply with these mandates; and

**WHEREAS**, multifamily dwellings provide a significant challenge to the provision and use of recycling services because the building owner must allow the recycling containers to be placed on the property, overcome space constraints, promote the service to tenants, and address improper use; and

**WHEREAS**, the tenant turnover rates in multifamily dwellings requires constant renewal of public education and information on recycling programs, and

**WHEREAS**, other communities have used processing of mixed materials for multifamily dwellings to significantly improve waste diversion from this sector; and

**WHEREAS**, according to analysis of landfill tonnage data from the State and Oakland franchise tonnage reports, 26% of Oakland's total annual landfill tonnage, or 74,000 tons in 2010, is hauled by parties other than the solid waste franchisee, and consists largely of construction and demolition (C&D) debris; and

**WHEREAS**, the current system for C&D debris hauling pre-dates Oakland's Construction and Demolition Debris Waste Reduction and Recycling Ordinance, and allows large amounts of C&D debris to be hauled to landfills without any recycling; and

**WHEREAS**, independent recyclers in Oakland make a significant contribution to Oakland's waste diversion and recycling performance by providing valuable recycling collection services to Oakland businesses; and

**WHEREAS**, there are businesses in Oakland that generate organic by-products that are used on farms and destinations other than solid waste or composting facilities, providing environmental and community benefits; and

**WHEREAS**, continued voluntary efforts alone are unlikely to result in satisfactory progress toward Oakland's Zero Waste Goal; now therefore be it

**RESOLVED:** that the City Council adopts the Zero Waste System Design described in the report dated November 29, 2011 to be used in a Request for Proposals process, that will reduce landfill disposal to 120,000 tons per year by 2030, a reduction of 170,000 tons per year, that has these elements:

1. A single franchise for citywide garbage and organics collection services capable of maximizing diversion of organics and minimizing landfill disposal of garbage, and provides recycling services to Oakland businesses on a non-exclusive basis.
2. A single franchise for citywide residential recycling focused on maximizing recycling, particularly in the challenging multifamily sector.
3. Landfill capacity procured separately from collection and processing services to attract the broadest pool of proposers on the garbage and organics franchise, by eliminating landfill ownership as a barrier.

4. A permit system to regulate commercial recycling services to continue operation of the long-established independent recyclers, and allow the City to establish and enforce waste diversion and other performance standards.
5. A non-exclusive franchise system to regulate construction and demolition (C&D) debris hauling activities, allow the City to establish and enforce waste diversion and other performance standards, and to stimulate broader use of mixed debris processing facilities in the region.

IN COUNCIL, OAKLAND, CALIFORNIA, JAN 17 2012, 20    

**PASSED BY THE FOLLOWING VOTE:**

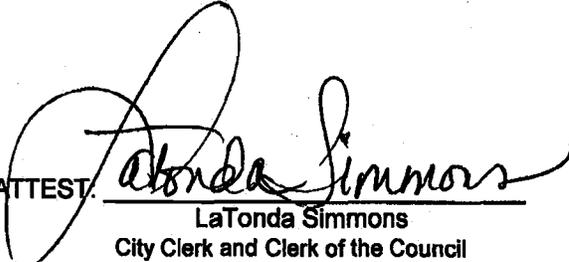
AYES - BROOKS, BRUNNER, DE LA FUENTE, KAPLAN, KERNIGHAN, NADEL, SCHAAF and PRESIDENT REID - 8

NOES - 0

ABSENT - 0

ABSTENTION - 0

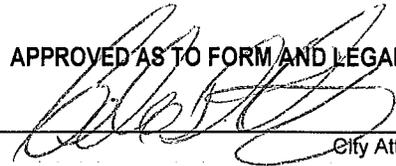
ATTEST.

  
LaTonda Simmons  
City Clerk and Clerk of the Council  
of the City of Oakland, California

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APPROVED AS TO FORM AND LEGALITY

  
City Attorney

## OAKLAND CITY COUNCIL

ORDINANCE No. \_\_\_\_\_ C.M.S.

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AN ORDINANCE AMENDING OAKLAND MUNICIPAL CODE CHAPTER 8.28 (“SOLID WASTE COLLECTION AND DISPOSAL AND RECYCLING”), TO IMPLEMENT THE ZERO WASTE SYSTEM DESIGN AND ZERO WASTE COLLECTION SERVICES FRANCHISE CONTRACTS, BY: 1) ESTABLISHING A NUISANCE ABATEMENT PROCESS TO ADDRESS FAILURES TO ENSURE PROPER HANDLING OF SOLID WASTE; 2) RESTRICTING COLLECTION OF COMMERCIAL ORGANIC MATERIALS TO AUTHORIZED COLLECTORS; 3) CHANGING DEFINITIONS AND OTHER PROVISIONS TO ALLOW FOR REGULATION OF COMMERCIAL RECYCLERS AND NON-EXCLUSIVE FRANCHISE HAULERS OF CONSTRUCTION AND DEMOLITION DEBRIS, AND 4) CONFORMING THE NEW ZERO WASTE SYSTEM TO THE ZERO WASTE COLLECTION SERVICES FRANCHISES AWARDED BY CITY COUNCIL, SERVICES TO BEGIN JULY 1, 2015.

**WHEREAS**, the Legislature of the State of California, by enactment of the California Integrated Waste Management Act of 1989 (“Act”) and subsequent additions and amendments (codified at California Public Resources Code Section 40000 et seq.), has declared that it is in the public interest to authorize and require local agencies to make adequate provisions for Solid Waste Collection within their jurisdiction; and,

**WHEREAS**, in 1990 the Alameda County Waste Reduction and Recycling Initiative Charter Amendment established a county-wide solid waste diversion goal of seventy five (75) percent by 2010; and

**WHEREAS**, in 2002 the City Council of the City of Oakland approved Resolution No. 77500 C.M.S., adopting a goal of 75% reduction of waste going to landfills by 2010 in support of the Measure D goal, and the implementation date established by the Alameda County Source Reduction and Recycling Board; and

**WHEREAS**, in 2006 the City Council of the City of Oakland approved Resolution No. 79774 C.M.S. adopting a Zero Waste Goal by 2020; and

**WHEREAS**, in 2006 the City Council of the City of Oakland approved Resolution No. 80286 C.M.S., adopting a Zero Waste Strategic Plan; and

**WHEREAS**, in 2012 the City Council of the City of Oakland approved Resolution No. 83689 C.M.S., establishing Zero Waste System Design; and

**WHEREAS**, pursuant to California Public Resources Code Section 40059(a), the Oakland City Charter Article X, and Oakland Municipal Code Chapter 8.28, the City determined that the public health, safety, and well-being require that an exclusive right be awarded to qualified contractors to provide for the collection of Mixed Materials and Organics and for the collection of Residential Recycling and that commercial recyclers and collection of construction and demolition debris shall be provided pursuant to a non-exclusive system, all in order to meet the requirements of the Act and the City's Zero Waste Goal by 2020, and to implement the Zero Waste Strategic Plan and the Zero Waste System Design; and

**WHEREAS**, the new Zero Waste System makes various changes to the manner in which solid waste is regulated handled and solid waste collection services are made available to the public, which therefore requires that Chapter 8.28 of the Oakland Municipal Code, entitled "Solid Waste Collection and Disposal and Recycling," be amended to implement the new system.

**WHEREAS**, the City has independently reviewed, considered and confirmed the environmental analysis conducted for these services; and

**WHEREAS**, this environmental analysis concludes that there would not be the potential for significant environmental impacts, and therefore no further environmental review is required; and

**WHEREAS**, the City Council finds and determines that the requirements of the California Environmental Quality Act ("CEQA") have been satisfied, and this action on the part of the City Council is exempt from CEQA pursuant, CEQA Guidelines section 15301, CEQA Guidelines section 15307, CEQA Guidelines section 15308, CEQA Guidelines section 15273, CEQA Guidelines section 15183, and/or CEQA Guidelines section 15061 (b) (3); and

**WHEREAS**, each of the foregoing provides a separate and independent basis for an exemption and when viewed collectively provides an overall basis for an exemption, as further described and explained in the accompanying environmental analysis dated July 8, 2014 attached to the September 19, 2014 City Administrator report to the City Council (Attachment D), incorporated herein by the reference as if fully set forth herein; and

**NOW, THEREFORE, THE COUNCIL OF THE CITY OF OAKLAND DOES ORDAIN AS FOLLOWS:**

**Section 1.** Chapter 8.28 ("Solid Waste Collection and Disposal and Recycling") of the Oakland Municipal Code is herein provided, with additions underscored and deletions ~~stricken through~~.

Chapter 8.28 - SOLID WASTE COLLECTION AND DISPOSAL AND RECYCLING

8.28.010 - Definitions.

For the purpose of this chapter, certain words and phrases are defined and certain provisions shall be construed as herein set out, unless it shall be apparent from the context that they have a different meaning:

"Bulky goods" means materials such as, but not limited to, stoves, refrigerators, water heaters, washing machines, clothes dryers, small air conditioning units, other large and small household

appliances, including appliances containing freon, furniture, carpets, tires, wood, household items, tires with or without rims, mattresses, clothing, large plant debris, and corrugated cardboard.

~~"Business" means of or pertaining to a commercial establishment and/or industrial facility including, but not limited to, governmental, religious, and educational facilities.~~

"City" means the city of Oakland, and such territory outside the city over which the city has jurisdiction or control by virtue of any constitutional or statutory provision.

"City Administrator" means the City Manager of the city of Oakland; further provided, that the use of the title of any officer or to any office shall refer to such officer or office of the city of Oakland.

"Collect" or "Collection" means to pick up discarded material.

~~"Collector" means the solid waste and yard waste collector franchised by the city.~~

"Commercial" means of or pertaining to a business establishment and/or industrial facility including, but not limited to, governmental, religious, and educational facilities.

"Commercial recycler" means a person who or entity that has complied with the requirements of the city to collect and transport recyclable materials from commercial entities in the city.

"Construction and demolition debris" means ~~waste building materials~~ resulting from construction, remodeling, repair or demolition operations on any house, residential property, commercial building, pavement or other structure for which the city requires a building or demolition permit, or from a non-permitted municipal project. Construction and demolition debris includes but is not limited to rocks, soils, tree remains and other plant debris which results from land clearing or land development operations in preparation for construction.

~~"Director" means the Director of Public Works of the city, or his or her authorized representatives.~~

"Container" means a bin, cart, roll-off box, compactor or other vessel approved by the city for use in containing materials set out for collection.

"Customer" means the person subscribing to collection services.

"Director" means the person or persons designated by the City Administrator to administer this chapter. The City Administrator may designate multiple persons to administer individual provisions of this chapter.

"Discarded material" means garbage, mixed materials, recyclable materials, organics or bulky goods generated at a premises and placed in a manner and location that is designated for collection.

"Disposal" or "Dispose" means the disposition of mixed materials, solid waste, and residue at the disposal facility, or (a) the placement of any materials collected in landfills, including as "beneficial reuse" as defined by California Code of Regulations Title 27, Chapter 3, Article 1, section 20686 (as it may be amended from time to time); or (b) disposition to "incinerators" as defined by Alameda County Waste Reduction and Recycling Initiative Charter Amendment (Measure D) SUBSECTION 64.150 T (as it may be amended from time to time).

"Disposal facility" means the sanitary landfill, or other solid waste disposal facility, utilized for the receipt and final disposition of some or all of the ~~solid waste mixed materials, garbage and residue~~ collected or accepted.

~~"Dwelling" means a residence, flat, apartment, or other facility used for housing one or more persons in the city.~~

~~"Finance Officer" means the Director of the Office of Budget and Finance of the city, or his or her authorized representatives.~~

"Divert", "diverted," or "diversion" means the avoidance of (a) disposition in a landfill including as "beneficial reuse" as defined by California Code of Regulations Title 27, Chapter 3, Article 1, section 20686 (as it may be amended from time to time); (b) "transformation" as defined by Public Resources Code section 40201 (as it may be amended from time to time); or (c) disposition to "incinerators" as defined by Alameda County Waste Reduction and Recycling Initiative Charter Amendment (Measure D) SUBSECTION 64.150 T (as it may be amended from time to time).

"Dwelling unit" means any individual living unit that includes a kitchen, and a room or suite of rooms, and is designed or occupied as separate living quarters for an individual or group of individuals. Dwelling units include live/work units, as defined by Oakland Planning Code section 17.65.160 (as it may be amended from time to time). Dwelling units do not include work/live units, as defined by Oakland Planning Code Section 17.65.150, which are considered commercial.

"E-waste" means waste that is powered by batteries or electricity, such as computers, telephones, answering machines, radios, stereo equipment, tape players/recorders, phonographs, videocassette players/recorders, compact disc players/recorders, calculators and other items that the California Department of Toxic Substances Control has determined, or determines in the future, to be a covered electronic device under Public Resources Code section 42463 (as it may be amended from time to time).

"Finance Officer" means the city revenue representative designated by the City Administrator.

"Fixed body vehicle" means any wheeled motor vehicle that does not rely on a roll-off box or other detachable container to collect, contain and transport material.

"Generator" means a person, commercial business or any other entity that produces solid waste, mixed materials, organic materials, bulky goods or recyclable materials.

#### Hazardous Waste.

1. "Hazardous waste" means any hazardous waste, material, substance or combination of materials which because of its quantity, concentration, or physical, chemical, or infectious characteristics may cause, or significantly contribute to an increase in mortality or an increase in serious irreversible, or incapacitating reversible illness; or may pose a substantial present or potential risk to human health or the environment when improperly treated, stored, transported, disposed or otherwise managed; and which requires special handling under any present or future federal, state or local law, excluding de minimis quantities of waste of a type and amount normally found in residential ~~solid waste discarded material~~ after implementation of programs for the safe collection, recycling, treatment and disposal of household hazardous waste in compliance with Sections 41500 and 41802 of the California Public Resources Code (as they may be amended from time to time).

2. "Hazardous waste" shall include, ~~but not be limited to:~~ (a) substances that are toxic, corrosive, inflammable or ignitable; (b) petroleum products, crude oil (or any fraction thereof) and their derivatives; (c) explosives, asbestos, radioactive materials, toxic substances or related hazardous materials; and (d) substances defined, ~~regulated or listed (directly or by reference)~~ by applicable local, state or federal law as "hazardous substances," "hazardous materials," "hazardous wastes," "pollutant," "reproductive toxins," "toxic waste" or "toxic substances," ~~or similarly identified as hazardous to human health or the environment.~~ including those so defined in or

pursuant to any of the following statutes: 15 U.S. Code Section 2601, et seq. (the Toxic Substances Control Act); 33 U.S. Code Section 1251, et seq. (the Federal Water Pollution Control Act); 42 U.S. Code Section 6901, et seq. (the Resource Conservation and Recovery Act); 42 U.S. Code Section 7401, et seq. (the Clean Air Act); 42 U.S. Code Section 9601, et seq. (i) the Comprehensive Environmental Response, Compensation and Liability Act); 49 U.S. Code Section 1801 (“CERCLA”) of 1980, 42 USC section 9601 et seq. (CERCLA); (ii) the Hazardous Materials Transportation Act); California Health & Safety Code Section 25100, et seq. (Hazardous Waste Control); Section 25300, et seq. (the Hazardous Substance Account Act); California Water Code Section 13000, et seq. (the Porter-Cologne Water Quality Control Act); the 49 USC section 1802, et seq.; (iii) the Resource Conservation and Recovery Act, 42 USC section 6901 et seq.; (iv) the Clean Water Act, 33 USC section 1251 et seq.; (v) California Health and Safety Code section 25115-25117, 25249.8, 25281 and 25316; (vi) the Clean Air Act, 42 USC section 7901 et seq.; (vii) California Water Code section 13050; and (viii) all rules and regulations adopted and promulgated pursuant to such statutes, and subsequently enacted amendments to or recodifications of such statutes and any regulations subsequently adopted or amended pursuant to these statutes, as well as any subsequently enacted or amended federal or California statute relating to the use, release or disposal of toxic or hazardous substances, or to the remediation of air, surface waters, groundwater, soil or other media contaminated with such substances or any other hazardous or toxic substance, material, chemical, waste or pollutant identified as hazardous or toxic or regulated under any other applicable federal, state or local environmental laws currently existing or hereinafter enacted, including, without limitation, friable asbestos, polychlorinated biphenyl’s (“PCBs”), petroleum, natural gas and synthetic fuel products and by-products.

“Material recovery facility” means any plant or site used facility approved by the city that is designed, operated and legally permitted for the purpose of sorting, cleansing, treating or reconstituting recyclables and returning them to the economy receiving and processing recyclable materials, organic materials or mixed materials.

“Medical waste” means all materials defined as medical waste in the California Health & Safety Code Section 25023.2, not including waste identified as not being medical wastes in Sections 25023.5 and 25023.8, or the regulations promulgated thereunder, as amended from time to time.

“~~Multi-family~~ Mixed materials” means all materials that are set out by the service recipient for collection by the MM&O collector excluding items that are source separated. Mixed materials do not include items defined herein as construction and demolition debris or unacceptable waste.

“Mixed materials and organics collector” or “MM&O collector” means the collector that has entered into a MM&O contract with the city to be the exclusive provider of MM&O collection services.

“MM&O contract” means the agreement between the city and the MM&O collector authorizing the MM&O collector to provide MM&O collection services.

“Multi-family dwelling” or “MFD” means any residential structure with five or more living units and/or any residential structure which uses bin service for solid waste collection, (5) or more living units including any flat, apartment, condominium, town home, service-enriched housing or other residence and other dwelling units in detached buildings and excluding a hotel, motel, dormitory, sheltered nursing facility, rooming house or other such similar facility as determined by the city.

“Organic materials” or “Organics” means plant debris, food scraps, compostable food ware, compostable food containers, compostable paper, horse stable matter and other material that are

source separated for collection or for self haul pursuant to Section 8.28.115. Organic materials do not include items herein defined as construction and demolition debris or unacceptable waste.

~~"Organic recyclable material" means organic materials such as vegetable, fruit, grain, dairy, meat, fish, yard, tree, wood, and nonrecyclable paper discards which are set aside, handled, packaged, or offered for collection separate from solid waste for the purpose of being processed and then returned to the economic mainstream in the form of commodities such as, but not limited to, compost, soil amendments, mulch, animal feed, and fertilizer.~~

"Owner," when used in reference to a dwelling, "Owner" means the person or persons holding legal title to the dwelling premises.

"Person" means an individual, association, partnership, corporation, joint venture, the United States, the state of California, any municipality or other political subdivision thereof, or any other entity whatsoever.

"Premises" means any land or building in the city where solid waste, yard waste or recyclables organic materials, and/or recyclable materials are generated or accumulated.

"Processing" means an operation or series of operations, whether involving equipment, manual labor, or mechanical or biological processes that sorts, enhances, upgrades, concentrates, decontaminates, packages or otherwise prepares recyclable materials, organic materials, mixed materials or bulky goods and returns marketable elements thereof to the economic mainstream in the form of raw material for new, reused or reconstituted products.

~~"Processing facility" means a facility which has adequate capacity for the receipt, sorting, storage and processing (including without limitation, grinding, chipping, screening, preparation for and performance of composting of yard waste materials) of recyclables so that they may be further processed or sold to end use markets, approved by the city which is designed, operated and legally permitted for the purpose of receiving and processing collected materials.~~

"Recyclable materials" means those materials that are source separated for the purposes of being reused or processed into other usable forms, whether the recyclable materials are discarded, donated or sold.

"Residential recycling collector" or "RR collector" means the collector that has entered into a RR contract with the city to be the exclusive provider of residential recycling collection services.

"Residue" or "residual" means materials remaining after the processing of mixed materials, recyclable materials, organic materials or bulky goods which cannot reasonably be diverted.

"RR contract" means the agreement between the city and the RR collector authorizing the RR collector to provide RR collection services.

"Service recipient" means a person receiving collection services.

"Single-family dwelling" or "SFD" means a detached or attached residence containing four (4) or fewer dwelling units when each dwelling unit is designed or used for occupancy by one (1) or more individuals.

#### Recyclables.

- ~~1. "Recyclables" means nonhazardous residential, commercial, or industrial materials or by-products which are set aside, handled, packaged, or offered for collection in a manner different than solid waste for the purpose of being reused or processed and then returned to the economic mainstream in the form of commodities.~~

2. ~~Recyclables include but are not limited to paper (newspaper, magazines, corrugated cardboard, kraft paper, ledger paper, computer print out, box board, and other paper grades); glass; ferrous and nonferrous metal materials; plastic containers, films, packaging materials and scrap; and construction and demolition materials. Recyclables shall include source separated materials and organic recyclable materials.~~

~~"Recycler" means a person or entity which is permitted by the city to collect and transport recyclables or organic recyclable material.~~

~~"Residual" means contaminant material, separated from recyclable material or yard waste, which cannot be recycled, composted, marketed or otherwise utilized, and which shall be disposed of as solid waste, hazardous waste, or medical waste, as appropriate.~~

~~"Single family dwelling" means any dwelling which has four or fewer living units within it and/or these dwellings which use cur service for solid waste collection.~~

**"Solid waste" means and includes all putrescible and nonputrescible solid, semisolid, and liquid wastes, including garbage, trash, refuse, paper, rubbish, ashes, industrial wastes, demolition and construction wastes, discarded home and industrial appliances, dewatered, treated or chemically fixed sewage sludge which is not hazardous waste, manure, vegetable or animal solid and semisolid wastes, and other discarded solid and semisolid wastes as defined in California Public Resources Code Section 40191, as that section may be amended from time to time, but does not include and includes recyclable materials and organics that have not been source separated-recyclables which comply with the residual content limits in Section 8.28.030. Solid waste does not include abandoned vehicles and parts thereof, hazardous waste or low-level radioactive waste, medical waste, or unacceptable waste or yard waste which is source separated at single family dwellings.**

**"Source separated" means recyclables materials that have been segregated from mixed materials or solid waste by or for the generator thereof on at the premises at which they were generated for handling different from that of solid waste diversion. This does not require that different types of recyclable commodities be separated from each other, except from organic recyclable materialmaterials.**

~~"Tenant," when used in reference to a dwelling, means any person or persons, other than the owner, occupying or in possession of the dwelling.~~

**"Transfer station" means a facility with all appropriate permits utilized to receive solid waste collected materials, to temporarily store, separate, recover, convert or otherwise process the the collected materials comprising the solid waste, and to transfer the solid waste to vehicles for transport to a collected materials to a processing or disposal facility as appropriate.**

**"Unacceptable waste" means any and all waste, including but not limited to hazardous waste, household hazardous waste and medical waste, the acceptance or handling of which by collector would cause a violation of any permit condition or legal or regulatory requirement, damage or substantial damage to collector's equipment or facilities, or present a substantial endangerment to the health or safety of the public or collector's employees; provided, that de minimis quantities or waste of a type and amount normally found in solid waste or mixed materials after implementation of programs for the safe collection of household hazardous waste shall not constitute unacceptable waste.**

**"Universal waste" or "u-waste" means waste that the California Department of Toxic Substances Control ("DTSC") considers universal waste (California Code of Regulations Title 22, Div 4.5, Ch 23), including materials such as batteries, thermostats, lamps, cathode ray tubes.**

computers, telephones, answering machines, radios, stereo equipment, tape players/recorders, phonographs, video cassette players/recorders, compact disc players/recorders, calculators, some appliances, aerosol cans, fluorescent lamps and certain mercury-containing devices.

~~"Yard waste" means single-family dwelling prunings, brush, leaves, grass clippings and such other similar types of organic waste that may be specified by the city in its reasonable discretion for collection by the collector pursuant to the franchise agreement between the city and the collector. Untreated and unpainted wood which fits within the yard waste container provided by collector is also yard waste.~~

#### 8.28.020 - Procedures for determining maximum rates of compensation.

~~The charge for the collection of solid waste by the collector within the city shall be those A.~~

The charges for the collection of mixed materials, organic materials, bulky goods and recyclable materials by the MM&O collector and the RR collector shall be as determined by, respectively, the MM&O collector and the RR collector although such rates shall not exceed the maximum rates established and adjusted according to procedures established by the City Council. A copy of the adjusted maximum rates shall be filed in the Office of the City Clerk by June 30th of each year by the Finance Officer/Director.

~~A. The current rates are set forth in Section 8.28.290~~

~~B. The current rates shall be adjusted to reflect changes in the Consumer Price Index ("CPI"), as set forth in the franchise agreement between collector and city, as determined by the Finance Officer.~~

~~C. Non-CPI related rate adjustments may be granted by the B.~~ The City Council, in the exercise of its legislative discretion, may authorize the MM&O collector and/or RR collector to impose other related adjustments to the maximum rates, consistent with the terms of the franchise agreement/contracts between the city and the RR collector and the city and the MM&O collector.

#### 8.28.030 - Collection of recyclable or organic recyclable materials.

A. Residential Recyclable Materials. It is unlawful for any person other than the RR collector or those persons employed by the RR collector to collect or transport any recyclable materials from SFD or MFD premises within the city except:

1. Recyclable materials that are removed from any SFD or MFD premises and transported to a transfer station, recycling center, or material recovery facility by the occupant;
2. Recyclable materials that are collected and transported by city crews to a transfer facility, a material recovery facility, or such other appropriate processing facility;
3. Recyclable materials that are source separated at any premises by the generator and donated or sold. Recyclable materials are considered "donated or sold" so long as the person collecting the recyclable materials does not receive a net payment from the generator (including but not limited to any payment for consulting and/or management fees related to the collection of any waste and/or recyclable materials);
4. Beverage containers, other than those set out for collection by the RR collector, that are delivered for recyclable materials under the California Beverage Container

Recyclable Materials Litter Reduction Act, section 14500, et seq. (as it may be amended from time to time);

5. Recyclable materials that are removed from a premises in a fixed body vehicle by a property management, maintenance or cleanup service company as an incidental part of the total on-property cleanup or maintenance service offered by the company rather than as a hauling service;
6. Recyclable materials that are removed from a premises by the MM&O collector as part of the provision of MM&O collection services under the terms of the MM&O contract.
7. Recyclable materials that are removed from a premises by a company through the performance of a service that the collector has elected not to provide;
8. Recyclable materials removed from a premises for no more than a nominal charge by a retailer as an incidental part of a sale of merchandise; and
9. Recyclable materials removed from a premises for no more than a nominal charge by a reuse facility or reuse business.

B. Commercial Recyclable Materials. It is unlawful for any person or entity other than the following to collect or haul any recyclable material from commercial premises within the city: (a) a commercial recycler or those persons employed by a commercial recycler, or (b) the MM&O and RR collectors or those persons employed by the MM&O or RR collectors while collecting and transporting commercial recyclable materials for which they have the exclusive rights to collect and transport under the MM&O and RR Contracts, respectively.

~~A. Permits. All persons collecting and transporting recyclables or organic recyclable material within the city must hold a valid city business license. Recyclers~~ C. Commercial Recycler Permits. All commercial recyclers collecting and transporting commercial recyclable materials within the city, other than the MM&O and RR collectors or those persons employed by the MM&O or RR collectors while collecting and transporting commercial recyclable materials for which they have the exclusive rights to collect and transport under the MM&O and RR contracts respectively, must hold a commercial recycling permit. A person desiring to obtain a commercial recycling permit shall apply to the Director. The Director shall grant the permit if he or she finds that the applicant has satisfied the applicable requirements specified in the regulations adopted by the City Council governing commercial recyclers and paid the applicable permit fee. The Director may revoke a commercial recycling permit if he or she finds, after providing the permit holder notice and opportunity to be heard, that the permittee has failed to meet the requirements of the permit or has violated any provision of this chapter. Commercial recyclers shall be required to maintain records, in a prescribed format and schedule, documenting that all ~~recyclables or organic recyclable material~~ materials that they collect and transport, less allowable residual, is recycled. Such records shall be maintained in an auditable form for at least three (3) years, and the city shall have the right promptly to examine said records upon written request. Notwithstanding anything to the contrary in this subsection, a commercial recycling permit shall not be required until such time as the City Council has adopted regulations governing commercial recyclers.

~~BD. Limits on Residual Content. Recyclables or organic recyclable material~~ Recyclable materials collected by commercial recyclers shall be source-separated and recycled at a recycling

facility, that holds all applicable permits, and ~~(1) if mixed paper, may contain no more than ten percent by weight of residual per load; or (2) if commingled recyclables other than mixed paper may contain no more than five percent by weight of residual per load.~~ Any load of ~~recyclables and/or organic recyclable material which materials that~~ contains more than the aforementioned residual, shall be disposed of in accordance with all applicable laws and regulations. Disputes over whether material should be classified as ~~recyclables or organic recyclable material or solid waste materials or mixed materials~~ will be resolved by the Director. The Director's decision may be appealed to the ~~City Manager-Administrator~~ in writing, explaining the basis of the appeal, within ten ~~(10)~~ days of such decision and the payment of a five hundred dollar (\$500.00) appeal fee. The burden of proof shall be on the person challenging the Director's decision. The ~~City Manager-Administrator~~ or his or her ~~designee~~ designated hearing officer shall hear said dispute and render a written decision ~~which shall be final. It is unlawful for any person except for the collector to collect organic recyclable material, other than wood, mixed with recyclables. However, simultaneous collection of recyclables and organic recyclable material shall be permitted if said organic recyclable material is placed in a separate watertight compartment of the collection vehicle, which shall be final.~~

~~C. Service and Permit Fees. Nothing herein shall prevent recycler from charging fees for collection, transporting, and/or processing services rendered for recyclables or organic recyclable materials.~~

#### 8.28.040 - Ownership of ~~recyclable materials.~~

A. Mixed Materials & Organics Collector. Title to materials collected by the MM&O collector under the terms of the MM&O contract shall pass to the MM&O collector at such time as said materials are placed in a container and/or set out for collection provided, however, title to unacceptable waste shall remain with the generator unless expressly accepted by the MM&O collector.

B. Residential Recyclables Collector. Title to materials collected by the RR collector under the terms of the RR contract shall pass to the RR collector at such time as said materials are placed in a container and/or set out for collection provided, however, title to unacceptable waste shall remain with the generator unless expressly accepted by the RR collector.

~~Recyclables or organic~~ C. Commercial Recyclers. Title to commercial recyclable materials collected by a commercial recycler shall become the property of the authorized commercial recycler when placed at designated recycling locations for collection by the recycler unless otherwise provided by contract between the authorized commercial recycler and the generator of the materials or his or her agent. A recycling recyclable materials collection container shall constitute a designated recycling location.

#### 8.28.050 - ~~Right of persons to dispose of recyclables or organic control the handling of recyclable material. materials.~~

A. Residential Recyclable Materials. Nothing in this chapter shall limit the right of any person to donate, sell, or transport residential recyclable materials that they generate to a facility that holds all applicable permits provided that any such activity is in accordance with the provisions of this chapter.

~~Nothing in this chapter shall limit the right of any person to donate, sell, transport.~~ B.

Commercial Recyclable Materials. Nothing in this chapter shall limit the right of any person to donate, sell, transport, or pay a commercial recycler for the removal of, or otherwise dispose of their own recyclables or organic recyclable material materials they generate on commercial premises the person occupies, provided that any such activity is in accordance with the provisions of this chapter.

**8.28.060 - Collection of ~~solid waste~~ mixed materials and organic materials restricted to MM&O collector.**

It is unlawful for any person other than the MM&O collector or those persons employed by the MM&O collector to collect or haul any solid waste, and source separated yard waste from single family dwellings mixed materials, organic materials, or bulky goods from premises within the city except:

- ~~A. Source separated recyclables, including but not limited to those collected by a person under contract to the city and those collected through private arrangements between the generator and the collector. Loads which consist of mixed paper and which contain more than ten percent by weight of residual shall not be considered source separated recyclables. Loads which consist of recyclables other than mixed paper and which contain more than five percent by weight of residual shall not be considered source separated recyclables;~~
- A. Persons who haul mixed materials and organics pursuant to a self-haul permit as set forth in Section 8.28.115 herein.
- ~~B. Construction debris (1) removed from a premises by a licensed contractor as an incidental part of a total construction, remodeling or demolition service offered by that contractor, rather than as a separately contracted or subcontracted hauling service using debris boxes or similar apparatus, or (2) directly loaded onto a fixed body vehicle and hauled directly to a transfer station or disposal facility;~~
- B. Service providers transporting organic materials removed from a premises as an incidental part of a total landscaping or gardening service offered by that contractor, service provider rather than as a separately contracted or subcontracted hauling service using debris boxes or similar apparatus; or (2) directly loaded onto a fixed body vehicle and hauled directly to a transfer station or disposal facility;.
- ~~D. Animal~~ C. Persons transporting animal waste and remains from slaughterhouses and butcher shops, or grease waste for use as tallow;.
- ~~E. By-products~~ D. Persons transporting by-products of sewage treatment, including sludge, grit and screenings;.
- ~~F. Solid waste or yard waste collected and transported by city crews~~ E. City crews collecting mixed materials, bulky goods, or organic materials and transporting that material to a disposal facility, transfer station, processing facility, or material recovery facility;.
- ~~G. Solid waste hauled~~ F. A person who generates mixed materials, organic materials, or bulky goods and hauls those materials directly to a transfer station or disposal facility by a person who is also the generator of the solid waste; and.
- H. A person transporting homogeneous organic by-products such as spent hops or coffee bean chaff which are generated by food product manufacturers and processors delivered to destinations other than solid waste or compost facilities and used as livestock feed

I. A retailer removing bulky goods from a premises for no more than a nominal charge as an incidental part of a sale of merchandise

J. A facility or reuse business removing bulky goods from a premises for no more than a nominal charge.

~~H. Recyclables which are donated to a youth, civic or charitable organization.~~

#### **8.28.070 - Transportation of ~~solid waste~~ mixed materials, organics, and recyclable materials on city streets restricted to collector.**

~~It is unlawful for any person other than the A.~~ It is unlawful for any person other than the MM&O collector or those persons employed by the MM&O collector to transport over or upon the streets of the city any solid waste, or source separated yard waste from single-family dwellings, mixed materials, organic materials, or bulky goods from any premises, produced in the city, except in those cases described in Section 8.28.060.

B. It is unlawful for any person other than the RR collector or those persons employed by the RR collector to transport over or upon the streets of the city any recyclable materials from single-family or multi-family dwellings, produced in the city, except in those cases described in Sections 8.28.030 and 8.28.050.

C. It is unlawful for any person other than an authorized commercial recycler or those persons employed by an authorized commercial recycler or the MM&O and RR collectors or those persons employed by the MM&O or RR collectors while collecting and transporting commercial recyclable materials for which they have the exclusive rights to collect and transport under the MM&O and RR Contracts, respectively, to transport over or upon the streets of the city any recyclable materials from commercial premises, produced in the city, except in those cases described in Sections 8.28.030 and 8.28.050.

#### **8.28.080 - City Franchise fees.**

~~The city may attach a surcharge on solid waste fees collected by the collector to compensate the city for some or all of the cost of programs to clean up litter and illegal dumping on public streets and rights-of-way. Said surcharges shall be collected by the collector and remitted to the Finance Officer on a scheduled basis and shall be in the amounts established by the City Council.~~

In consideration of the special franchise right granted by the city to the MM&O collector and the RR collector, to transact business, provide services, use the public street and/or other public places, and to operate a public utility for MM&O and RR collection services, the city may negotiate and collect a franchise fee from the MM&O collector and from the RR collector. The amount and terms of payment of the franchise fee shall be as specified in the award of the franchise, the contract, or both.

#### **8.28.090 - Recycling surcharge fee.**

~~The city may attach a surcharge on Solid Waste fees collected by the collector to compensate the city for some or all costs incurred in achieving the waste reduction mandates set by the state of California in the Integrated Waste Management Act of 1989 or any other applicable state or local statute. Said surcharge shall be collected by the collector and remitted to the Finance Officer on a scheduled basis and shall be in the amounts established by the City Council.~~

The city may, pursuant to Public Resources Code section 41901 (as it may be amended from time to time), impose a fee on MM&O customers, to be collected by the MM&O collector, in amounts sufficient to pay the costs of preparing, adopting, and implementing the Alameda

County Integrated Waste Management Plan. The fee shall be enacted by Ordinance.

**8.28.100 – Required – Proper solid waste collection and disposal required.**

Every owner of any premises in the city in, upon, or from which shall ensure that arrangements are made to properly dispose of the solid waste is created, produced, or accumulated shall dispose of on the solid waste premises through the either maintaining a subscription for regular solid waste collection service of the collector, and shall pay therefor the rate or rates set by the city from the MM&O collector or self-hauling pursuant to a permit issued under Section 8.28.115. Arrangements with the collector shall be made by each such owner for the required collection of solid waste, and such arrangements shall specify the location of the premises, solid waste container types and sizes, and the frequency of collection. Exemptions from required solid waste collection may be granted by the Director. The Director may grant exemptions from the solid waste disposal requirement. The Director may determine that the solid waste created, produced, or accumulated on the premises requires additional containers, and, upon such determination, the owner shall provide them upon written notification from the Director. The additional containers shall meet the requirements set forth in Section 8.28.140. The failure to comply with the requirements of this section is a threat to the public health, safety and welfare, and is declared and deemed a nuisance.

The owner of a single family dwelling or multifamily dwelling must by prior agreement with the collector and with the occupants of such dwellings arrange for the individual, joint, or communal use of solid waste containers thereon and for the payment of solid waste collection rates, and such owner shall be responsible for the payment of the solid waste collection rate or rates to the collector.

**8.28.110 - Failure to initiate service or to provide sufficient solid waste containers Exception – Initial occupancy.**

The owner of any dwelling shall start service comply with Section 8.28.100 within fifteen (15) days of occupancy of such dwelling the premises. In the absence of service start up by owner If the owner fails to do so within such time period (or such service is terminated or suspended), the Director may give the owner written notification that such service compliance with Section 8.28.100 is required. If service is not initiated the owner fails to either subscribe to solid waste collection service or obtain a self-haul permit within fifteen (15) days from the date of mailing of the notice, then the Director may require the collector to initiate and continue solid waste service for said dwelling. When in the judgment of the Director additional solid waste containers and/or collection services are required, they shall be provided by the owner upon written notification from the Director. The additional solid waste containers shall meet the requirements set forth in Section 8.28.140. the owner shall be deemed to be in violation of Section 8.28.100.

**8.28.115 – Self-Haul Permit**

An owner or an occupant of any premises may elect to self-haul solid waste and organics generated at the premises directly to a disposal or processing facility holding all applicable permits to accept the material. Any such owner or occupant desiring to do so as a means of satisfying the owner's obligation under Section 8.28.100 shall obtain a permit to do so from the Director. The permit shall authorize the permit holder to self-haul solid waste for a period not to exceed twelve (12) months; require the permit holder to deliver the solid waste to an approved transfer facility or disposal facility and to deliver any organics to a transfer facility, a material recovery facility, or a processing facility for processing; require the permit holder to maintain records indicating such waste was removed from the premises and disposed of and processed consistent with this section or was composted onsite; authorize city officials to inspect the

premises at reasonable periods of time; require the payment of an annual fee, as established in the city's master fee schedule, for the administrative costs to the city associated with issuing the permit and monitoring the self-hauler's operations, including components associated with periodic inspection of the premises; and include such reasonable terms and conditions as the Director may require. The Director may, after providing the permit holder notice and an opportunity to be heard, revoke the self-haul permit if he or she finds that the permit holder has not complied with the terms of the permit. Upon revocation of the self-haul permit, the Director shall order the owner to comply with Section 8.28.100. The Director shall not, for a period of twelve (12) months following the revocation, grant a self-haul permit to a person from whom a self-haul permit was revoked, and, thereafter, the Director is authorized in his or her discretion to deny, or impose additional conditions on, the issuance of a self-haul permit to a person from whom a self-haul permit was previously revoked.

#### **8.28.120 - Frequency of solid waste collection or removal.**

Collection of - The owner shall ensure that solid waste created, produced, or accumulated on the premises is either collected by the collector from each solid waste container shall be made or properly removed pursuant to a self-haul permit at least once a week or more often as may be required to adequately serve the premises. Exemptions from weekly service may be granted by the Director to those dwellings which produce minimal solid waste and whenever less frequent service will not produce a public health and safety concern. The failure to comply with the requirements of this section is a threat to the public health, safety and welfare, and is declared and deemed a nuisance.

#### **8.28.130 - Materials prohibited from solid waste disposal in containers.**

Hazardous waste, medical waste, unacceptable waste, earth, rocks, batteries, waste, u-waste, human waste and other potentially infectious material, and liquid wastes shall not be deposited or placed in solid waste containers. Organic waste of the type produced in the preparation of food shall be drained of all moisture and completely wrapped before it is placed or put in solid waste mixed material, organic material, or recyclable material containers.

#### **8.28.140 - Required provision of approved solid waste containers and minimum service and container capacity; container placement; residential occupants' access to services.-**

All solid waste A. All mixed material, and organic material created or produced in the city shall be deposited in a container or containers approved by the Director, equipped with suitable handles and a tight-fitting cover, and watertight. Every person in possession, charge, or control of any single-family dwelling, multi-family dwelling or commercial premises shall provide a sufficient number of such containers of sufficient capacity to hold all solid waste which is mixed materials, recyclable materials, and organic materials which are created, produced, or accumulated on such premises between the time of successive collections by the collector. Such solid waste or removal under self-haul permit, to meet the minimum SFD and MFD service and container capacity requirements of this section, and to meet county and/or state requirements for organic materials capacity and/or recyclable materials capacity.

B. The minimum service and container capacity requirements are as follows:

1. Single-family dwellings: for each residential unit, mixed material container capacity of at least twenty (20) gallons.
2. Multi-family dwelling: mixed material container and collection frequency such

that the weekly capacity is equal to the number of dwelling units in the multi-family dwelling multiplied by twenty (20) gallons.

C. Such containers shall be kept in a suitable location upon such premises, readily accessible to the collector, if backyard service is provided. Every person subscribing to curbside collection of solid waste shall place the container(s) at curbside or streetside on their collection day in a manner that does not block any driveway, sidewalk or street. Containers placed at curbside or streetside shall be timely returned to their normal storage area after the collector has emptied the container(s). By written permission of the Director, a location for such container or containers upon public property may be arranged.

~~Solid waste containers for joint or multiple use may be provided for multifamily dwellings, provided that each container is clearly marked so as to designate the dwelling which it serves. Each solid waste container for individual, joint, or multiple use shall have a capacity of not more than thirty two (32) gallons if collected manually by the collector and shall be kept in a clean, neat, and sanitary condition at all times. The combined weight of each thirty two (32) gallon container and its contents shall not exceed seventy five (75) pounds.~~

D. Owners of SFD and MFD premises shall ensure that the occupants of such premises have reasonable access to the services provided by the MM&O and RR collectors, including the collection of mixed materials, organic materials, recyclable materials, and bulky goods.

#### 8.28.150 - Prohibition on disposal/improper placement of solid waste.

It is unlawful to ~~dispose of~~ place solid waste anywhere in the city except as provided for in this chapter.

#### 8.28.155 - Prohibition on accumulation of solid waste on unoccupied property.

It is unlawful for an owner to allow solid waste to accumulate on a premises.

#### 8.28.160 - Use of litter receptacles.

It is unlawful for any person to deposit any material from any building or yard in, on top of, or alongside the street litter receptacles placed in the sidewalk area; provided, that pedestrians and other persons using said streets shall be permitted to deposit in said receptacles miscellaneous small articles of refuse carried by them.

#### 8.28.170 - MM&O Collector entitled to payment for services rendered/right to terminate service for non-payment.

~~Pursuant to the provisions of this chapter, the collector shall be entitled to payment from owner for any services rendered. City is not responsible for any payment due collector by reason of either entering into a franchise agreement, setting rates, adjusting rates, or failing to adjust rates, except if, and to the extent it is explicitly stated in such franchise agreement. Should there be a failure by an owner to make payment for any services rendered by the collector, the means for effecting payment shall be in accordance with the procedure set forth in Sections 8.28.180 to 8.28.240, inclusive.~~

Pursuant to the provisions of this chapter, the MM&O collector shall be entitled to terminate service to a customer for non-payment for any services rendered, provided that it has first complied with all applicable provisions of this chapter and the contract. At least thirty (30) days prior to terminating the service to a premises for non-payment, the MM&O Collector shall notify the owner

in writing of its intention to do so if the account remains delinquent. The Finance Officer may specify the form and manner in which such notification shall be given.

8.28.180 - ~~Complaint of nonpayment- Summary~~ abatement of nuisance.

The Director may, as authorized by Government Code section 38773 (as it may be amended from time to time), summarily abate nuisance conditions created by the failure of an owner of an SFD or MFD premises to comply with Section 8.28.100 or Section 8.28.120 by subscribing to solid waste collection service for the property on which the nuisance conditions are maintained. The city may do so when such nuisance conditions are created as a result of contractor's termination of service for non-payment, due to failure of occupant to initiate service, or otherwise.

8.28.190 - Collection of costs—Special assessment.

In accordance with Government Code section 38773.5 (as it may be amended from time to time), the city may make the costs of subscribing to service on behalf of the owner pursuant to Section 8.28.180, including the administrative costs associated therewith, a special assessment against the property upon which the nuisance conditions are maintained. The Finance Officer shall give the owner notice of its intention to impose of the special assessment under Section 8.28.180. Such notice shall also be provided to the premises if it is different than the address identified pursuant to Section 8.28.220. A separate special assessment shall be imposed for each billing period for which the city subscribes to service on behalf of the owner, and the city may continue imposing special assessments for subsequent billing periods until the owner has complied with Section 8.28.100 or Section 8.28.120, as the case may be. The notice shall include the information required by Section 8.28.210 and shall specify that the property may be sold after three (3) years by the tax collector for unpaid delinquent assessments. In all other respects, the Finance Officer may specify the form and manner in which such notice is given.

Upon the expiration of the period during which the collector has rendered service and has presented the owner with a bill for such service, if the bill has not been paid in full, the collector shall send to the owner a second request for payment. The form and content of the second request for payment sent by the collector shall be approved by the Finance Officer.

Upon the expiration of not less than ten days following the mailing of the second request for payment by the collector, if the bill remains unpaid, the collector may file with the Finance Officer a verified written complaint which shall contain the specific allegations setting forth the name or names of said owner(s), the address of the dwelling served, the period of such service, the amount due, the steps taken to secure payment, and such other information as the Finance Officer may reasonably require. Such verified written complaints shall be submitted by the collector to the Finance Officer not more than ninety (90) days following the end of the service period.

8.28.190 - ~~Payment for services rendered and the assessment of administrative charges.~~

Upon receipt of the complaint filed in accordance with Section 8.28.180, the Finance Officer shall pay the amount due the collector from a revolving fund provided herein under Section 8.28.260 and owner shall be liable to the city for service charges paid, plus an administrative charge as established by the city's master fee schedule.

8.28.200 - ~~Administrative hearing~~ Collector to provide opportunity to protest on delinquent charges.

The MM&O collector shall, within fifteen (15) days of declaring the charges delinquent, provide customer(s) and owner(s) of premises an opportunity to appeal, at an administrative conference conducted by the MM&O Collector, the delinquent charges asserted by the MM&O Collector.

8.28.210 - ~~Administrative hearing on proposed special assessment.~~

~~After making payment to the collector for services rendered, the Finance Officer will~~ The notice of the city's intention to impose a special assessment provided under section 8.28.190 shall establish a time and place for an administrative hearing to allow the customer(s) and/or owner(s) of premises against which delinquent charges are assessed an opportunity to protest the charges in position of the special assessment. The Finance Officer shall cause notice of this hearing to be mailed to the premises and each person to whom such described property is assessed in the most recent property ownership records provided to the city by the County Assessor on the date that the Finance Officer causes notice to be mailed. Notice of this hearing may be combined with notice of the hearing before the City Council as provided herein under Section 8.28.220.

At the administrative hearing, ~~the City Manager and the collector or their designated representative(s) Finance Officer will hear any protests regarding delinquent charges for services rendered. The City Manager and the collector or their designated representative(s) the proposed imposition of the special assessment. The Finance Officer and the MM&O collector shall investigate the protest as may be required and report their findings to the owner prior to the hearing before the City Council provided herein under Section 8.28.220. The City Manager or his or her designated representative may waive the service fee. The Finance Officer may require the MM&O collector to reinstate service and/or may waive the administrative costs in those cases where he or she has found that the delinquent charges have been that led to the termination of services were made improperly; or where he or she has found that the owner was improperly notified of the delinquent service fee, he or she may waive the administrative charges established under Section 8.28.190; or he or she may recommend to the City Council that the owner receive no waiver of payment of service fees and/or administrative charges. charges.~~

~~8.28.210-8.28.220 - Report of delinquent charges proposed special assessments transmitted to City Council.~~

~~Upon receipt of verified written complaints from the collector~~ Following the administrative hearing, the Finance Officer shall transmit to the City Council a report of delinquent charges the special assessments proposed to be imposed. Upon receipt by of the report, the City Council of the report, it shall fix a time, date and place for hearing the report and any protests or objections thereto.

~~8.28.220-8.28.230 - Notice of hearing on proposed special assessments.~~

The City Council shall cause written notice of the hearing to be mailed to the owner of the premises to which the service was rendered not less than ten (10) days prior to the date of hearing. The said written notice shall be mailed to each person to whom such premises is assessed in the most recent property ownership records provided to the city by the County Assessor on the date the City Council causes notice to be mailed.

~~8.28.230 - Recordation of lien for delinquent charges.~~

~~Upon confirmation of the report of delinquent charges by the City Council, a lien on the premises to which the service was rendered will be recorded with the Recorder of the county of Alameda.~~

~~8.28.240 - Payment of special assessment prior to placement on tax roll.~~

At any point prior to the placement of the special assessment on the tax roll, the owner may avoid the collection of the special assessment on the tax roll by paying the city the service charge for the period during which the city subscribed to service on behalf of the owner plus the city's administrative costs.

~~8.28.240-8.28.250 - Collection of delinquent charges by imposition of special assessment.~~

Upon the confirmation of the report pursuant to Section 8.28.210 by the City Council, the ~~delinquent charges contained therein which remain unpaid by the owner shall constitute a special assessment shall be imposed, pursuant to Government Code section 38773.5 (as it may be amended from time to time), against the premises property to which service was rendered and is or will be rendered as a result of the city subscribing on behalf of the owner for a specified billing period as a means of abating the nuisance conditions. The special assessment shall be collected at such time as established by the County Assessor for inclusion in the next property tax assessment.~~

The Finance Officer shall turn over to the County Assessor for inclusion in the next property tax assessment the total sum of ~~unpaid delinquent charges special assessments consisting of the delinquent solid waste collection service charges and the administrative charges, plus an assessment costs. The administrative costs shall be as established by in the city's master fee schedule as a special assessment against the premises to which said service was rendered.~~

~~Thereafter, said assessment may be collected at the same time and in the same manner as ordinary municipal taxes are collected and shall be subject to the same penalties and the same procedures of sale as provided for delinquent ordinary municipal taxes. The assessment shall be subordinate to all existing special assessment liens previously imposed upon the premises and paramount to all other liens except for those of state, county and municipal taxes, with which it shall be upon parity. The lien shall continue until the assessment and all interest and charges due and payable thereon are paid. All laws applicable to the levy, collection and enforcement of municipal taxes shall be applicable to said special assessments.~~

#### ~~8.28.250 - Release of lien assessment.~~

~~In addition to the assessments as provided by Section 8.28.240, there is assessed a procedural fee in an amount equal to the amount charged by the Alameda County Recorder's Office as and for a county service charge and a release of lien filing fee.~~

#### ~~8.28.260 - Mandatory refuse~~ 8.28.260 - Special assessment collection fund.

The ~~mandatory solid waste special assessment~~ collection fund shall be credited with such sums as may be appropriated by the City Council, ~~delinquencies payments collected by the Finance Officer prior to the recordation of, and in lieu of, the special assessment, special assessments collected by the Tax Collector, and sums received in consideration of release of special assessment liens. Expenditures~~ The expenditures from said fund shall include payments to the MM&O collector for owner delinquent accounts service to which the city has subscribed on behalf of the owner, and those operating expenses incurred by the city for the administration of the mandatory solid waste program. The sum of one hundred forty thousand dollars (\$140,000.00) shall be maintained in the mandatory solid waste collection fund; and excess over this sum shall be transferred to the unappropriated balance of the general fund. Transfers from the unappropriated balance of the general fund shall also be authorized, as necessary, to maintain the integrity of the mandatory solid waste collection fund ~~nuisance abatement special assessment process.~~

#### 8.28.270 - Manner of giving notices.

Any notice required to be given hereunder by the city, the Director, the Finance Officer or ~~any~~ the MM&O collector to an owner shall be sufficiently given for all purposes hereunder if personally served upon the owner or if deposited, postage prepaid, addressed to the "Owner" at the official address ~~if of~~ of the owner maintained by the Tax Collector for the mailing of tax bills, or, if no such address is available, to the owner at the address of the dwelling.

#### 8.28.280 - Violations, enforcement and remedies.

- A. **Penalty for Violation.** Any person convicted of an infraction under the provision of this chapter shall be punished upon a first conviction by a fine of not more than one hundred dollars (\$100.00) and, for a second conviction within a period of one (1) year, by a fine of not more than two hundred dollars (\$200.00) and, for a third or any subsequent conviction within a one-year period, by a fine of not more than five hundred dollars (\$500.00). Any violation beyond the third conviction within a one-year period may be charged by the City Attorney or the District Attorney as a misdemeanor and the penalty for conviction of the same shall be punishable by a fine of not more than one thousand dollars (\$1,000.00) or by imprisonment in the county jail for a period of not more than six (6) months or by both.
- B. **Continuing Violation.** Unless otherwise provided, a person shall be deemed guilty of a separate offense for each and every day during any portion of which a violation of this chapter is committed, continued or permitted by the person and shall be punishable accordingly as herein provided.
- C. **Violations Deemed a Public Nuisance.** In addition to the penalties herein provided, any condition caused or permitted to exist in violation of any of the provisions of this chapter is a threat to the public health, safety and welfare, and is declared and deemed a nuisance.
- D. **Civil Actions.** In addition to any other remedies provided in this chapter, any violation of this chapter may be enforced by civil action brought by the city. In any such action, the city may seek, and the court shall grant, as appropriate, any or all of the following remedies:
1. A temporary and/or permanent injunction;
  2. Assessment of the violator for the costs of any investigation, inspection, or monitoring survey which led to the establishment of the violation, and for the reasonable costs of preparing and bringing legal action under this subsection (including attorneys' fees);
  3. Costs incurred in removing, correcting, or terminating the adverse effects resulting from the violation (including attorneys' fees).
- E. **Authority to Issue Citations.** Authorized enforcement officials or employees may issue a citation and notice to appear in the manner prescribed by Chapter 5c of Title 3, Part 2 of the Penal Code, including Section 853.6 (or as the same may hereafter be amended). It is the intent of the City Council that the immunities prescribed in Section 836.5 of the Penal Code (as it may be amended from time to time) be applicable to public officers or employees or employees acting in the course and scope of employment pursuant to this chapter.
- F. **Administrative Enforcement Option.** Chapters 1.08, 1.12 and 1.16 of this code, which provide for alternative code enforcement mechanisms, including but not limited to a civil penalty program and an administrative citation program, are incorporated by reference as if fully set forth herein.
- G. **Remedies Not Exclusive.** Remedies under this chapter are in addition to and do not supersede or limit any and all other remedies, civil or criminal. The remedies provided for herein shall be cumulative and not exclusive.

~~§ 28.290 Rates of compensation for solid waste collection.\*~~

~~\* Editor's note: Ordinance 12499 § 4 authorizes annual rate increases for solid waste collection without presentation of rate changes to the City Council.~~

The current rates to be charged by collector for the collection and disposal of solid waste are set forth in this section, as adjusted pursuant to Section 9.28.020. These rates shall become effective as of July 1, 1996.

City of Oakland  
Single Family Residential Rates (Monthly)  
July 1, 2014 — June 30, 2015

	Contractor Supplied Carts	Customer Supplied Cans
<del>Curbside Service<sup>#</sup></del>		
<del>Mini Can Rate — 20 Gallon</del>	<del>\$22.21</del>	
<del>—35 Cart</del>	<del>\$29.80</del>	
<del>—64 Cart</del>	<del>\$64.98</del>	
<del>—96 Cart</del>	<del>\$100.11</del>	
<del>Premium Backyard Service<sup>#</sup></del>		
<del>Mini Can Rate — 20 Gallon</del>	<del>\$39.83</del>	<del>\$35.92</del>
<del>—35 Cart</del>	<del>\$47.42</del>	<del>\$43.51</del>
<del>—64 Cart</del>	<del>\$82.60</del>	<del>\$78.69</del>
<del>—96 Cart</del>	<del>\$117.73</del>	<del>\$113.82</del>
<del>Physically disabled customers receive Backyard Service at Curbside rates.</del>		
<del>Low Income Senior Rate Discount: 12.5%</del>		

~~Bag It Rate .....\$6.24~~

~~BAGSTER Rate .....\$181.92~~

~~Additional 64-gallon Yard Trimmings/Food Scraps Cart(s) .....\$9.77~~

~~Residential Special P/U Rate — Other Than Regular Day .....\$29.82~~

~~Residential Special P/U Rate — Regular Day — Scheduled .....\$7.93~~

~~Residential Special P/U Rate — Regular Day — Unscheduled .....\$11.35~~

~~One 32-gallon Can Service — Commercial Rate<sup>#</sup> .....\$29.96~~

~~Additional 32-gallon can .....\$29.58~~

~~Monthly Excess/Overage Charge — Can Service, per gallon .....\$0.93~~

~~Bin Service — Monthly Excess/Overage Charge:~~

~~Residential, per gallon .....\$1.11~~

~~Commercial, per gallon .....\$0.93~~

\*Rates do not include Stop Waste Benchmark Fee of \$0.15 - \$0.62 per month depending on service level (Alameda County Waste Management Authority Resolution #WMA-2012-6).

City of Oakland

Multi-Family Residential Rates (Monthly)

Rates Discounted to Account for Tenant Vacancy

July 1, 2014 - June 30, 2015

These rates DO NOT INCLUDE bulky pick-up service. <sup>(2)</sup>

# of units	Rates for apartment complexes with 5 or more units <sup>(1)</sup>				
	1/week	2/week	3/week	4/week	5/week
	\$23.71 Discounted rate <sup>(2), (3)</sup>	\$47.38 Discounted rate <sup>(2), (3)</sup>	\$71.10 Discounted rate <sup>(2), (3)</sup>	\$94.76 Discounted rate <sup>(2), (3)</sup>	\$118.48 Discounted rate <sup>(2), (3)</sup>
5	\$118.55	\$236.90	\$355.50	\$473.80	\$592.40
6	\$142.26	\$284.28	\$426.60	\$568.56	\$710.88
7	\$165.97	\$331.66	\$497.70	\$663.32	\$829.36
8	\$189.68	\$379.04	\$568.80	\$758.08	\$947.84
9	\$213.39	\$426.42	\$639.90	\$852.84	\$1,066.32
10	\$237.10	\$473.80	\$711.00	\$947.60	\$1,184.80
11	\$260.81	\$521.18	\$782.10	\$1,042.36	\$1,303.28
12	\$284.52	\$568.56	\$853.20	\$1,137.12	\$1,421.76
13	\$308.23	\$615.94	\$924.30	\$1,231.88	\$1,540.24
14	\$331.94	\$663.32	\$995.40	\$1,326.64	\$1,658.72
15	\$355.65	\$710.70	\$1,066.50	\$1,421.40	\$1,777.20
16	\$379.36	\$758.08	\$1,137.60	\$1,516.16	\$1,895.68
17	\$403.07	\$805.46	\$1,208.70	\$1,610.92	\$2,014.16
18	\$426.78	\$852.84	\$1,279.80	\$1,705.68	\$2,132.64
19	\$450.49	\$900.22	\$1,350.90	\$1,800.44	\$2,251.12
20	\$474.20	\$947.60	\$1,422.00	\$1,895.20	\$2,369.60
21	\$497.91	\$994.98	\$1,493.10	\$1,989.96	\$2,488.08
22	\$521.62	\$1,042.36	\$1,564.20	\$2,084.72	\$2,606.56
23	\$545.33	\$1,089.74	\$1,635.30	\$2,179.48	\$2,725.04
24	\$569.04	\$1,137.12	\$1,706.40	\$2,274.24	\$2,843.52
25	\$592.75	\$1,184.50	\$1,777.50	\$2,369.00	\$2,962.00
26	\$616.46	\$1,231.88	\$1,848.60	\$2,463.76	\$3,080.48

27	\$640.17	\$1,279.26	\$1,919.70	\$2,558.52	\$3,198.96
28	\$663.88	\$1,326.64	\$1,990.80	\$2,652.28	\$3,317.44
29	\$687.59	\$1,374.02	\$2,061.90	\$2,748.04	\$3,435.92
30	\$711.30	\$1,421.40	\$2,133.00	\$2,842.80	\$3,554.40

<sup>(1)</sup> Rates do not include Stop Waste Benchmark Fee of \$0.62 - \$1.85 per month depending on service level (Alameda County Waste Management Authority Resolution #WMA 2012-6).

<sup>(2)</sup> Discounted rates shown in this table are based on 32 gallons of weekly service per unit and include the following discounts:

\* Assumed vacancy discount: 8%

\* Single payer discount (bill paid by one person/Mgmt. Co.): 8%

<sup>(3)</sup> If bill is paid by more than one party, an additional charge of 8% may be applied to above rates.

City of Oakland

Commercial Rates for Bins (Monthly)

July 1, 2014 - June 30, 2015

Container Size	Frequency Per Week						Special Pick-Up
	1	2	3	4	5	6	
1 Yard	\$139.88	\$283.42	\$445.19	\$620.13	\$754.49	\$888.98	\$46.54
1.5 Yard	\$195.75	\$358.40	\$591.92	\$847.56	\$1,052.23	\$1,258.87	\$59.50
2 Yard	\$255.92	\$472.84	\$783.71	\$1,123.74	\$1,397.32	\$1,671.04	\$72.52
3 Yard	\$366.75	\$692.15	\$1,156.02	\$1,664.34	\$2,072.75	\$2,481.13	\$97.31
4 Yard	\$472.63	\$896.27	\$1,451.79	\$2,092.19	\$2,606.38	\$3,120.66	\$115.73
6 Yard	\$655.50	\$1,259.23	\$1,862.80	\$2,466.49	\$3,070.11	\$3,672.75	\$155.02
7 Yard	\$766.70	\$1,471.03	\$2,175.21	\$2,879.54	\$3,583.74	\$4,288.07	\$177.71

Rates do not include Stop Waste Benchmark Fee of \$0.62 - \$1.85 per month depending on service level (Alameda County Waste Management Authority Resolution #WMA 2012-6).

City of Oakland  
Roll-Off Rates (Per Service)

~~July 1, 2014 – June 30, 2015~~

~~Box Size – Rates~~

~~20 Yard Box or Less .....\$583.00~~

~~30 Yard Box .....\$874.53~~

~~40 Yard Box .....\$1,166.03~~

~~50 Yard Box .....\$1,457.53~~

~~–Relocation Charge .....\$116.86~~

~~Stand-by Time .....\$134.71~~

~~Placement Charge .....\$78.34~~

~~Demurrage Charge Per Week .....\$37.67~~

~~Demurrage Charge Per Day Thereafter .....\$5.40~~

~~Flasher Charge per Pull .....\$39.09~~

~~Per Additional Yard if Overloaded 6 Yards or More .....\$29.12~~

~~Note: – Compactor – Rates – twice – the – regular – rate.  
Demurrage charge to permanent customers when frequency falls below minimum level of service of 1 time per week.~~

~~Rates do not include Stop Waste Benchmark Fee of \$0.62 – \$1.85 per month depending on service level (Alameda County Waste Management Authority Resolution #WMA 2012-6).~~

**Section 2.** This Ordinance shall become effective immediately on final adoption if it receives six or more affirmative votes; otherwise it shall become effective upon the seventh day after final adoption.

**Section 3.** The City Council has independently reviewed, considered, and confirmed this environmental determination and finds and determines that the action complies with the CEQA for the reasons stated in the June 2, 2015 Council Agenda Report, hereby incorporated by reference, and directs the City's Environmental Review Officer to file a Notice of Exemption.

**Section 4.** The City Council does hereby find and declare that the above recitals are true and correct and hereby makes them a part of this Ordinance.

**Section 5.** The previously created “mandatory solid waste collection fund” shall remain in existence until such time as all existing liens and special assessments payable to said fund are paid or otherwise discharged. Any funds remaining thereafter shall be transferred to the general fund and the fund’s existence shall be terminated.

**Section 6.** If any section, subsection, sentence, clause, or phrase of this Ordinance is for any reason held to be invalid or unconstitutional by decision of any court of competent jurisdiction, such decision shall not affect the validity of the remaining portions of this Ordinance. The City Council hereby declares that it would have passed this Ordinance and each section, subsection, clause or phrase thereof irrespective of the fact that one or more other sections, subsections, clauses, or phrases may be declared invalid or unconstitutional.

**Section 7.** Nothing in this Ordinance shall be interpreted or applied so as to create any requirement, power, or duty in conflict with any federal or state law.

**Section 8.** This Ordinance is enacted pursuant to the City of Oakland's general police powers, specified in Section 106 of the Charter of the City of Oakland and Article XI of the California Constitution.

IN COUNCIL, OAKLAND, CALIFORNIA, \_\_\_\_\_

**PASSED BY THE FOLLOWING VOTE:**

AYES- BROOKS, CAMPBELL WASHINGTON, GALLO, GUILLEN, KALB, KAPLAN, REID, and PRESIDENT GIBSON MCELHANEY

NOES-

ABSENT-

ABSTENTION-

ATTEST: \_\_\_\_\_

LaTonda Simmons  
City Clerk and Clerk of the Council  
of the City of Oakland, California

DATE OF ATTESTATION: \_\_\_\_\_

**Exhibit A**

**NON-EXCLUSIVE FRANCHISE AGREEMENT**

**BETWEEN**

**THE CITY OF OAKLAND**

**AND**

---

**FOR**

**CONSTRUCTION AND DEMOLITION DEBRIS**

**COLLECTION SERVICES**

---

 , 2015

## **Exhibit A**

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**Exhibit A**

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### List of Exhibits

- A. Standard Reporting Template
- B. Schedule for Liquidated Damages
- C. Secretary's Certification
- D. Statement of Applicant's Understanding and Representations
- E. Business Tax Certificate
- F. Insurance Documents (as required by Section 10.2)

1                                   **NON-EXCLUSIVE FRANCHISE AGREEMENT**

2   **BETWEEN**

3   **THE CITY OF OAKLAND**

4   **AND**

5   \_\_\_\_\_

6   **FOR CONSTRUCTION AND DEMOLITION DEBRIS**

7   **COLLECTION SERVICES**

8                   This non-exclusive franchise agreement (Agreement) is made and entered into this \_\_\_\_\_ day of  
9                   \_\_\_\_\_, 20\_\_\_\_, by and between the City of Oakland, (CITY) and  
10                  \_\_\_\_\_ (hereinafter referred to as the  
11                  FRANCHISEE).

12   **RECITALS**

13                  This Agreement is entered into with reference to the following facts and circumstances:

14                  **WHEREAS**, the legislature of the state of California ("State"), by enactment of the California Integrated  
15                  Waste Management Act of 1989 ("AB 939") and subsequent additions and amendments (codified at  
16                  California Public Resources Code section 40000 et seq.), has declared that it is in the public interest to  
17                  authorize and require local agencies to make adequate provisions for solid waste Collection within their  
18                  jurisdiction;

19                  **WHEREAS**, the State, through enactment of the California Integrated Waste Management Act of 1989  
20                  (California Public Resources Code section 40000, et seq.) also recognizes the important health and safety  
21                  consideration to long-term planning for local government's adequate Disposal needs. The California  
22                  Integrated Waste Management Act of 1989 declares that the responsibility for management of solid  
23                  waste is a shared responsibility between the State and local governments. The State requires local  
24                  governments to make adequate provision for at least fifteen (15) years of garbage Disposal capacity to  
25                  preserve the health, safety and well- being of the public. The California Integrated Waste Management  
26                  Act of 1989, Oakland City Charter Article X and Oakland Municipal Code Chapter 8.28 also authorize  
27                  local governments to enter into exclusive franchise contracts to provide garbage handling services for  
28                  the health, safety and well-being of its citizens (California Public Resources Code section 40059);

29                  **WHEREAS**, in 1990 the Alameda County Waste Reduction and Recycling Initiative Charter Amendment  
30                  established a county-wide solid waste diversion rate goal of seventy-five (75) percent by 2010;

31                  **WHEREAS**, in 2002 the City Council of the City of Oakland passed Resolution No. 77500 C.M.S., to adopt  
32                  a goal of seventy-five (75) percent reduction of waste going to landfills by 2010 in support of the

33 Measure D goal, and the implementation date established by the Alameda County Source Reduction and  
34 Recycling Board;

35 **WHEREAS**, in 2006 the City Council of the City of Oakland approved Resolution No. 79774 C.M.S. which  
36 adopted a Zero Waste Goal by 2020;

37 **WHEREAS**, in 2006 the City Council of the City of Oakland passed Resolution No. 80286 C.M.S., adopting  
38 a Zero Waste Strategic Plan;

39 **WHEREAS**, in 2012 the City Council of the City of Oakland passed Resolution No. 83689 C.M.S., adopting  
40 a Zero Waste System Design;

41 **WHEREAS**, it is the intent of CITY to provide for the Collection and Processing of Construction and  
42 Demolition Debris through this Agreement;

43 **WHEREAS**, CITY has entered into separate exclusive contracts to provide residential and commercial  
44 mixed materials collection and processing, residential recycling collection and processing, and disposal  
45 services within the Service Area;

46 **WHEREAS**, Customers may voluntarily subscribe to and cancel Construction and Demolition Debris  
47 Collection Services from FRANCHISEE, and re-subscribe to Construction and Demolition Debris Services  
48 with any other company holding a similar non-exclusive franchise agreement with the City for such  
49 service, in accordance with Chapter 8.28.100 of the City of Oakland Municipal Code;

50 **WHEREAS**, the City Council has determined through an application and review process for Construction  
51 and Demolition Debris Collection Services that FRANCHISEE is qualified to provide for the Collection of  
52 Construction and Demolition Debris within the corporate limits of CITY, the transportation of such  
53 material to appropriate places for Processing, Recycling, and/or Disposal; and City Council desires that  
54 FRANCHISEE be engaged to perform such services on the basis set forth in this Agreement;

55 **WHEREAS**, FRANCHISEE, through its application to CITY, has proposed and represented that it has the  
56 ability and capacity to provide for the Collection of Construction and Demolition Debris within the  
57 corporate limits of CITY; and the transportation of such material to appropriate places for Processing,  
58 Recycling, and/or Disposal;

59 **WHEREAS**, CITY wishes to engage FRANCHISEE to provide the services specified within this Agreement,  
60 in accordance with the terms and conditions of this Agreement; and

61 **WHEREAS**, this Agreement has been developed by and is satisfactory to CITY and FRANCHISEE.

62 **NOW THEREFORE**, in consideration of the mutual covenants, conditions and consideration contained  
63 herein, CITY and FRANCHISEE hereby agree as hereinafter set forth:

64 **ARTICLE 1**  
65 **DEFINITIONS**

---

66 For purposes of this Agreement, unless a different meaning is clearly required, the following words and  
67 phrases shall have the following meanings respectively ascribed to them by this Article and shall be  
68 capitalized throughout this Agreement. It is the CITY's intent that the terms as defined by this Article

69 will, wherever possible, align and correspond with terms defined and used in Sections 8.28 and 15.34 of  
70 the OMC. In the event of a conflict between the definition of a term in the OMC, as it may be amended  
71 from time to time, and in this Agreement, the definition in the OMC shall prevail.

72 **"AB 341"** means the California legislation (Stats. 2006, Ch. 476), as it may be amended from time to  
73 time, that, among other things, added Chapter 12.8 of Part 3 of Division 30 of the Public Resources Code  
74 (commencing with section 42649) imposing mandatory commercial recycling requirements and  
75 requirements that each jurisdiction implement an outreach and education program and monitor  
76 compliance with the mandatory commercial Recycling requirements.

77 **"AB 939"** means the California Integrated Waste Management Act (Public Resources Code section 40000  
78 et seq.), as amended from time to time.

79 **"Agreement"** means this written document and all amendments thereto, between CITY and  
80 FRANCHISEE, governing the provision of the services provided herein, including all exhibits hereto, as it  
81 may be amended from time to time.

82 **"Applicable Law"** means all federal, State, and local laws, regulations, rules, orders, judgments, degrees,  
83 permits, approvals, or other requirements of any governmental agency having jurisdiction over the  
84 Collection, Transportation, Recycling, Processing, and Disposal of Construction and Demolition Debris  
85 that are in force on the Effective Date and as they may be enacted, issued, or amended during the Term  
86 of this Agreement.

87 **"Bin"** means a watertight metal or plastic Container with a hinged plastic lid and a capacity of between  
88 one (1) and seven (7) cubic yards, designed or intended to be mechanically dumped into a packer type  
89 truck, which is approved by CITY and labeled as specified by CITY. Bins may also include Compactors  
90 that are owned or leased by the Customer, contingent upon confirmation of compatibility from  
91 FRANCHISEE.

92 **"Cart"** means a watertight heavy plastic receptacle with a rated capacity of approximately twenty (20),  
93 thirty-two (32), sixty-four (64) or ninety-six (96) gallons, having a hinged tight-fitting lid, and two (2)  
94 wheels, that is approved by CITY and is labeled as specified by CITY.

95 **"Change in Law"** means the adoption, promulgation, or modification of any generally applicable and  
96 enforceable federal, state, local joint power authority (JPA), or foreign rule, law, regulation, ordinance,  
97 order, judgment, decree, permit or administrative agency guidelines (excluding orders, judgments, and  
98 decrees specific to a particular facility) (collectively, "Laws") duly adopted and promulgated officially in  
99 writing for uniform application occurring after the Effective Date. Change in Law does not include  
100 changes initiated by FRANCHISEE. Change in Law shall not include (i) Laws enacted or adopted prior to  
101 the Effective Date, or (ii) Laws particular to the solid waste, recycling, and C&D collection, hauling,  
102 processing and disposal industry that are enacted or finally adopted or approved prior to the Effective  
103 Date of this Agreement but initially become effective after such date.

104 **"CITY"** means the City of Oakland, California, a municipal corporation.

105 **"City C&D Contract Manager"** means the City representative specified in Section 7.5, who is the main  
106 point of contact for this Agreement.

107 **"Collect or Collection (or variation thereof)"** means the act, by FRANCHISEE, of picking up and  
108 transporting Construction and Demolition Debris from the place of generation in the Service Area.

109 **"Compactor"** means any Roll-Off Box or Bin which has a compaction mechanism, whether stationary or  
110 mobile, contingent upon confirmation of compatibility from FRANCHISEE.

111 **"Construction and Demolition Debris (C&D)"** Materials resulting from construction, remodeling, repair  
112 or demolition operations on any house, residential property, commercial building, pavement or other  
113 structure for which CITY requires a building or demolition permit, or from a non-permitted municipal  
114 project. Construction and Demolition Debris includes but is not limited to rocks, soils, tree remains and  
115 other plant debris which results from land clearing or land development operations in preparation for  
116 construction. Construction and Demolition Debris may include materials that have been Source  
117 Separated.

118 **"Container(s)"** means a Bin, Cart, Roll-Off Box, Compactor, or other item approved by CITY for use in  
119 containing materials set out for Collection under the terms of this Agreement.

120 **"County"** means the County of Alameda, California.

121 **"Customer"** means the Person or Persons initiating construction, remodeling, repair or demolition  
122 operations on any house, residential property, commercial building, pavement or other structure for  
123 which CITY requires a building or demolition permit, who generates Construction and Demolition Debris,  
124 and who has arranged for Collection services as provided under this Agreement. The definition of  
125 Customer also includes any agent, contractor, or other Persons working on Customer's behalf.

126 **"Disposal or Dispose (or variation thereof)"** means the disposition of Construction and Demolition  
127 Debris and Residuals received at a Disposal Site under the terms of this Agreement, or (a) the placement  
128 of any materials Collected pursuant to this Agreement in landfills, including as "beneficial reuse" as  
129 defined by California Code of Regulations Title 27, Chapter 3, Article 1, section 20686; or (b) disposition  
130 to "incinerators" as defined by Alameda County Waste Reduction and Recycling Initiative Charter  
131 Amendment (Measure D) Subsection 64.150 T.

132 **"Disposal Site(s)"** means the Disposal site(s) selected by the FRANCHISEE or its Subcontractor(s) for  
133 Disposal of material intended by Customer for Disposal consistent with its obligations under Chapter  
134 15.34 of the OMC, and for Disposal of Residuals from Processing of Construction and Demolition Debris.  
135 Any Disposal Site selected by FRANCHISEE shall be permitted and operated in full compliance with all  
136 Applicable Laws.

137 **"Divert or Diversion (or variation thereof)"** means the avoidance of Disposal at a Disposal Site or other  
138 landfill, or through "transformation" as defined by Public Resources Code section 40201, of any  
139 materials Collected pursuant to this Agreement, through Processing.

140 **"Effective Date"** means the date set forth in the introductory paragraph of this Agreement.

141 **"Fixed Body Vehicle"** means any wheeled motor vehicle that does not rely on a Roll-Off Box or other  
142 detachable Container to Collect, contain and Transport material.

143 **"FRANCHISEE"** means \_\_\_\_\_ (insert franchisee's name).

144 **"Hazardous Waste"** for purposes of this Agreement, Hazardous Waste shall include those wastes  
145 defined as Hazardous Waste in Oakland Municipal Code section 8.28.010 or as subsequently amended.  
146 Section 8.28.010 currently defines Hazardous Waste as any hazardous waste, material, substance or  
147 combination of materials which because of its quantity, concentration or physical, chemical or infectious  
148 characteristics may cause or significantly contribute to an increase in mortality or an increase in serious  
149 irreversible or incapacitating reversible illness or may pose a substantial present or potential risk to  
150 human health or the environment when improperly treated, stored, Transported, Disposed or otherwise  
151 managed and which requires special handling under any present or future federal, State or local law  
152 excluding de minimis quantities of waste of a type and amount normally found in residential garbage  
153 after implementation of programs for the safe Collection, Recycling, treatment and Disposal of  
154 Household Hazardous Waste in compliance with sections 41500 and 41802 of the California Public  
155 Resources Code. Hazardous Waste shall include but not be limited to: (a) substances that are toxic,  
156 corrosive, inflammable or ignitable; (b) petroleum products, crude oil (or any fraction thereof) and their  
157 derivatives; (c) explosives, asbestos, radioactive materials, toxic substances or related hazardous  
158 materials; and (d) substances defined, regulated or listed (directly or by reference) by applicable local,  
159 state or federal law as "hazardous substances," "hazardous materials," "hazardous wastes," "pollutant,"  
160 "reproductive toxins," "toxic waste" or "toxic substances" or similarly identified as hazardous to human  
161 health or the environment, including those so defined in or pursuant to any of the following statutes: (i)  
162 the Comprehensive Environmental Response, Compensation and Liability Act ("CERCLA") of 1980, 42  
163 USC section 9601 et seq. (CERCLA); (ii) the Hazardous Materials Transportation Act, 49 USC section 1802,  
164 et seq.; (iii) the Resource Conservation and Recovery Act, 42 USC section 6901 et seq.; (iv) the Clean  
165 Water Act, 33 USC section 1251 et seq.; (v) California Health and Safety Code section 25115-25117,  
166 25249.8, 25281 and 25316; (vi) the Clean Air Act, 42 USC section 7901 et seq.; and (vii) California Water  
167 Code section 13050. All rules and regulations adopted and promulgated pursuant to such statutes and  
168 future amendments to or recodifications of such statutes and any regulations adopted pursuant to these  
169 statutes after the date of this Agreement, as well as any subsequently enacted federal or California  
170 statute relating to the use, release or disposal of toxic or hazardous substances, or to the remediation of  
171 air, surface waters, groundwater, soil or other media contaminated with such substances any other  
172 hazardous or toxic substance, material, chemical, waste or pollutant identified as hazardous or toxic or  
173 regulated under any other applicable federal, state or local environmental laws currently existing or  
174 hereinafter enacted, including, without limitation, friable asbestos, polychlorinated biphenyl's ("PCBs"),  
175 petroleum, natural gas and synthetic fuel products and by-products. The parties intend that this  
176 definition not be limited to any particular statutory or regulatory regime and that it be construed as  
177 broadly as possible.

178 **"Liquidated Damages"** means the amounts due by FRANCHISEE to CITY for failure to meet specific  
179 quantifiable standards of performance as described in Section 11.4 and Exhibit A.

180 **"Oakland Municipal Code (OMC)"** means the City of Oakland Municipal Code, as the same may be  
181 amended, supplemented, or modified from time to time.

182 **"Party or Parties"** refers to CITY and FRANCHISEE, individually or together.

183 **"Person(s)"** means an individual, association, partnership, corporation, joint venture, school, the United  
184 States, the State of California, any municipality or other political subdivision thereof or any other entity  
185 whatsoever.

186 **"Process, Processed or Processing (or any variation thereof)"** means an operation or series of  
187 operations, whether involving equipment, manual labor, or mechanical or biological processes that  
188 sorts, enhances, upgrades, concentrates, decontaminates, packages or otherwise prepares Construction  
189 and Demolition Debris and returns marketable elements thereof to the economic mainstream in the  
190 form of raw material for new, reused or reconstituted products. Processing begins at the time the  
191 Construction and Demolition Debris is delivered to the Processing Site and ends when the finished  
192 Processed materials are sold or reused and the Residual material is properly Disposed.

193 **"Processing Site(s)"** means the facility(ies) selected by FRANCHISEE for Processing that meets the  
194 Diversion obligations of specific Customers as provided in Chapter 15.34 of the OMC. Any Processing  
195 Site selected by FRANCHISEE shall be permitted and operated in full compliance with all Applicable Laws.

196 **"Processor"** means the operator of a Processing Site.

197 **"Rates"** means the charges and fees FRANCHISEE bills and collects from each Customer receiving service  
198 pursuant to this Agreement.

199 **"Recycle or Recycling (or any variation thereof)"** means the process of Collecting, sorting, cleansing,  
200 treating, and reconstituting materials for the purpose of using the altered form in the manufacture of a  
201 new product. Recycling does not include burning, incinerating, or thermally destroying Construction and  
202 Demolition Debris. "Recycle" or "Recycling" are included within the definition of Processing.

203 **"Residual or Residue"** means materials remaining after the Processing of Construction and Demolition  
204 Debris that cannot reasonably be Diverted.

205 **"Roll-Off Box"** means a metal Container of between six (6) and fifty (50) cubic yards that is normally  
206 loaded onto a Roll-Off Collection Truck and transported to an appropriate facility. A Roll-Off Box may be  
207 open topped or covered at the discretion of CITY with or without a compaction unit. Roll-Off Boxes shall  
208 also include Trailers.

209 **"Roll-Off Collection Truck"** means a Collection vehicle with a mechanical device such as a winch that  
210 pulls or loads a Roll-Off Box onto the truck bed or attached trailer and separately transports each Roll-  
211 Off Box to a Processing Site.

212 **"Service Address"** means the physical location of the property receiving Collection services.

213 **"Service Area"** means that area within the corporate limits of the City of Oakland.

214 **"Source Separated"** means materials that have been segregated from Construction and Demolition  
215 Debris, for the purpose of Diversion, by or for the Customer at the Service Address at which the  
216 materials were generated.

217 **"State"** means the State of California.

218 **"Subcontractor"** means a party who has entered into a contract, express or implied, with the  
219 FRANCHISEE for the performance of an act that is necessary for the FRANCHISEE's fulfillment of its  
220 obligations under this Agreement.

221 **"Term"** means the Term of this Agreement, including extension periods if granted, as provided for in  
222 Article 3.

223 **"Tonnage"** means the total weight in tons Collected, Recycled, Diverted, or Disposed of, as the context  
224 requires, where a ton is equivalent to two thousand (2,000) standard pounds.

225 **"Trailer"** means any unpowered vehicle that is designed to be detached from another, powered, vehicle  
226 and is used for the purposes of holding and/or transporting Construction and Demolition Debris.

227 **"Transport or Transportation (or any variation thereof)"** means the act of moving materials from one  
228 place to another by means of a vehicle.

229 **"Unpermitted Materials"** means wastes or other materials that the Disposal Site(s) may not receive  
230 under their permits, including:

231 (1) All materials that the Disposal Site(s) are not permitted to accept, *excluding* white goods with  
232 chlorinated fluorocarbons and capacitors removed, and other materials that FRANCHISEE accepts  
233 and safely handles, Recycles, or Disposes;

234 (2) Asbestos, including friable materials that can be crumbled with pressure and are therefore likely  
235 to emit fibers, being a naturally occurring family of carcinogenic fibrous mineral substances, which  
236 may be Hazardous Materials if it contains more than one percent (1%) asbestos;

237 (3) Auto shredder "fluff" consisting of upholstery, paint, plastics, and other non-metallic substances,  
238 which remain after the shredding of automobiles;

239 (4) Hazardous Materials;

240 (5) Infectious wastes that have disease transmission potential and are classified as Hazardous Wastes  
241 by the State Department of Health Services, including pathological and surgical wastes, medical  
242 clinic wastes, wastes from biological laboratories, syringes, needles, blades, tubing, bottles, drugs,  
243 patient care items that as linen or personal or food service items from contaminated areas,  
244 chemicals, personal hygiene wastes, and carcasses used for medical purposes or with known  
245 infectious diseases, where "Infectious Waste" means biomedical waste generated at hospitals,  
246 public or private medical clinics, dental offices, research laboratories, pharmaceutical industries,  
247 blood banks, mortuaries, veterinary facilities and other similar establishments that are identified  
248 in the California Health and Safety Code Section 25117.5;

249 (6) Liquid wastes that are not spadeable, usually containing less than fifty percent (50%) solids,  
250 including cannery and food Processing wastes, landfill leachate and gas condensate, boiler  
251 blowdown water, grease trap pumpings, oil and geothermal field wastes, septic tank pumpings,  
252 rendering plant byproducts, sewage sludge not meeting certain quality criteria (i.e., unclassified  
253 sludge less than B), and those liquid wastes that may be Hazardous Wastes;

254 (7) Radioactive wastes under Chapter 7.6 (commencing with Section 25800) of Division 20 of the  
255 State Health and Safety Code, and any waste that contains a radioactive material, the storage or  
256 Disposal of which is subject to any other State or federal regulation;

257 (8) Non-hazardous waste that may pose special Disposal problems because of its potential to  
258 contaminate the environment and which may be Disposed of only in Class II disposal sites or Class  
259 III disposal sites pursuant to a variance issued by the California Department of Health Services, if  
260 not permitted at the Disposal Site under Applicable Law, including permits.

261 This definition will be promptly amended to reflect any applicable changes in permits or Applicable Law.

262 "Work Day" means any day, Monday through Saturday excluding New Year's Day, Thanksgiving Day, and  
263 Christmas Day.

264 **ARTICLE 2**  
265 **REPRESENTATIONS AND WARRANTIES OF THE FRANCHISEE**

---

266 **2.1 REPRESENTATIONS AND WARRANTIES**

267 The FRANCHISEE, by execution of this Agreement, represents and warrants the following to the CITY, for  
268 the purpose of inducing CITY to enter into this Agreement and to consummate the transactions  
269 contemplated hereby:

270 A. **Corporate Status.** FRANCHISEE is duly organized, validly existing and in good standing under the  
271 laws of the State. It is qualified to transact business in the Service Area and State and has the  
272 power to own its properties and to carry on its business as now owned and operated and as  
273 required by this Agreement.

274 B. **Authorization.** FRANCHISEE has the authority to enter into this Agreement and to perform its  
275 obligations under this Agreement. The Council of FRANCHISEE (or the shareholders, if necessary),  
276 sole proprietor, or partners have taken all actions required by law, its articles of incorporation, its  
277 bylaws, or otherwise, to authorize the execution of this Agreement. The Person signing this  
278 Agreement on behalf of FRANCHISEE represents and warrants that they have authority to do so  
279 and the Secretary's Certification in Exhibit B confirms this. This Agreement constitutes the legal,  
280 valid, and binding obligation of the FRANCHISEE.

281 C. **Agreement Will Not Cause Breach.** To the best of FRANCHISEE's knowledge after reasonable  
282 investigation, the execution or delivery of this Agreement or the performance by FRANCHISEE of  
283 its obligations hereunder does not conflict with, violate, or result in a breach: (i) of any law or  
284 governmental regulation applicable to FRANCHISEE; (ii) any term or condition of any judgment,  
285 order, or decree of any court, administrative agency or other governmental authority; or, (iii) any  
286 Agreement or instrument to which FRANCHISEE is a party or by which FRANCHISEE or any of its  
287 properties or assets are bound, or constitute a default thereunder.

288 D. **No Litigation.** To the best of FRANCHISEE's knowledge after reasonable investigation, there is no  
289 action, suit, proceeding or investigation, at law or in equity, before or by any court or  
290 governmental authority, commission, board, agency or instrumentality decided, pending or  
291 threatened against FRANCHISEE wherein an unfavorable decision, ruling or finding, in any single  
292 case or in the aggregate, would:

293 1. Materially adversely affect the performance by FRANCHISEE of its obligations hereunder;

- 294 2. Adversely affect the validity or enforceability of this Agreement; or,  
295 3. Have a material adverse effect on the financial condition of FRANCHISEE, or any surety or  
296 entity guaranteeing FRANCHISEE's performance under this Agreement.
- 297 E. **No Adverse Judicial Decisions.** To the best of FRANCHISEE's knowledge after reasonable  
298 investigation, there is no judicial decision that would prohibit this Agreement or subject this  
299 Agreement to legal challenge.
- 300 F. **No Legal Prohibition.** To the best of FRANCHISEE's knowledge after reasonable investigation,  
301 there is no Applicable Law in effect on the date FRANCHISEE signed this Agreement that would  
302 prohibit FRANCHISEE's performance of its obligations under this Agreement and the transactions  
303 contemplated hereby.
- 304 G. **FRANCHISEE's Statements.** FRANCHISEE's application and any other supplementary information  
305 submitted to the CITY, which CITY has relied on in entering this Agreement, do not: (i) contain any  
306 untrue statement of a material fact; or, (ii) omit to state a material fact that is necessary in order  
307 to make the statements made, in light of the circumstances in which they were made, not  
308 misleading.
- 309 H. **FRANCHISEE's Investigation.** FRANCHISEE has made an independent investigation (satisfactory to  
310 it) of the conditions and circumstances surrounding the Agreement and the work to be performed  
311 hereunder. FRANCHISEE has considered such matters in entering this Agreement to provide  
312 services in exchange for the compensation provided for under the terms of this Agreement.
- 313 I. **Ability to Perform.** FRANCHISEE possesses the business, professional, and technical expertise to  
314 Collect, Transport, Recycle, Process, and Dispose Construction and Demolition Debris generated in  
315 the Service Area. FRANCHISEE possesses the equipment, facility(ies), and employee resources  
316 required to perform its obligations under this Agreement.

317 **ARTICLE 3**  
318 **TERM OF AGREEMENT**

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319 **3.1 EFFECTIVE DATE**

320 FRANCHISEE may provide the Collection, Transportation, Processing, and Disposal services authorized by  
321 this Agreement commencing on the Effective Date of \_\_\_\_\_.

322 **3.2 CONDITIONS TO EFFECTIVENESS OF AGREEMENT**

323 The obligation of CITY to permit this Agreement to become effective and to perform its undertakings  
324 provided for in this Agreement is subject to the satisfaction of all the conditions below, each of which  
325 may be waived, in written form, in whole or in part by CITY.

326 A. **Accuracy of Representations.** The representations and warranties made in Article 2 of this  
327 Agreement are true and correct on and as of the Effective Date.

328 B. **Absence of Litigation.** There is no litigation pending on the Effective Date in any court challenging  
329 the award or execution of this Agreement or seeking to restrain or enjoin its performance.

330 C. **Furnishings of Insurance.** FRANCHISEE has furnished evidence of the insurance required by  
331 Article 10 that is satisfactory to CITY.

332 D. **Effectiveness of City Council Action.** The City Council's action approving the form of this  
333 Agreement shall have become effective and all Parties shall have signed the Agreement pursuant  
334 to Applicable Law prior to or on the Effective Date, provided that no restraining order of any kind  
335 has been issued.

### 336 **3.3 INITIAL TERM**

337 The initial Term of this Agreement shall commence on the Effective Date and continue in full force for  
338 eighteen (18) months, or until January 1, 2017, whichever occurs first. The Term may be extended  
339 pursuant to Section 3.4 or terminated early in accordance with Section 11.2.

### 340 **3.4 CITY OPTION TO EXTEND**

341 A. **General.** Subject to the approval of the City Council, CITY shall have the option to extend the  
342 Term of this Agreement in increments of twelve (12) months. CITY may, in its sole discretion,  
343 grant multiple extensions to the Term of this Agreement. FRANCHISEE must be in full compliance  
344 with the terms of the Agreement in order to be offered an extension. If CITY extends the  
345 Agreement, it shall give written notice to FRANCHISEE at least ninety (90) calendar days prior to  
346 expiration of the initial Term or of any subsequent extension. CITY's written notice shall specify  
347 the revised expiration date of the Agreement. Any such extension shall not become effective  
348 unless FRANCHISEE agrees to the extension, in writing, at least thirty (30) calendar days prior to  
349 expiration of the initial Term or of any subsequent extension. In entering into this Agreement,  
350 FRANCHISEE understands and agrees that FRANCHISEE is solely responsible for managing its direct  
351 business obligations and responsibilities to its Customers regardless of whether or not CITY offers  
352 an extension(s) of this Agreement as provided in this Section 3.4.

353 B. **CITY Reserved Rights.** In offering an extension, CITY reserves the right and FRANCHISEE expressly  
354 acknowledges CITY right, to include modified or additional requirements including, but not limited  
355 to:

- 356 1. Changes to the definition of Construction and Demolition Debris or to related definitions.
- 357 2. Changes to minimum requirements related to vehicles, Containers, signage, reporting,  
358 outreach and education, insurance, Liquidated Damages, etc.
- 359 3. Requiring that FRANCHISEE designate and CITY approve of Processing and/or Disposal Sites to  
360 be utilized for Construction and Demolition Debris. CITY may require that FRANCHISEE choose  
361 its designated facilities from a list of "certified" or otherwise pre-selected approved facilities.
- 362 4. Requiring Processing of all Construction and Demolition Debris Collected by FRANCHISEE,  
363 unless demonstrated by FRANCHISEE that Processing will not result in Diversion.
- 364 5. Placing requirements on the marketing of materials recovered through Processing.
- 365 6. Changes to the limitations defined in Section 4.2.
- 366 7. New or modified fees as provided in Section 8.5.

- 367 8. Establishment of maximum rates FRANCHISEE may bill Customers as provided in Section 9.2.
- 368 9. Any changes that are required or deemed necessary by CITY due to a Change in Law.
- 369 10. Modifying Customer permit requirements under Chapter 15.34 of the OMC, or other relevant  
370 portions of the OMC.
- 371 11. Any other changes for management of Construction and Demolition Debris in support of the  
372 Zero Waste Strategic Plan or other CITY policies or programs.

373 **ARTICLE 4**  
374 **SCOPE OF AGREEMENT**

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375 **4.1 SCOPE OF AGREEMENT**

376 This Agreement, granted to FRANCHISEE, authorizes FRANCHISEE to Collect, Transport, Process, and  
377 Dispose of Construction and Demolition Debris placed for Collection, provided that the Customer has  
378 voluntarily arranged for FRANCHISEE to provide Collection services. Customers may provide their own  
379 Containers as long as they are in substantial compliance with the Container requirements of Section 6.4.

380 FRANCHISEE shall be responsible for the following services:

- 381 A. Collecting Construction and Demolition Debris placed by Customers for temporary or ongoing  
382 Collection of Construction and Demolition Debris as requested by Customer, consistent with  
383 Customer's obligations under Chapter 15.34 of the OMC, as applicable.
- 384 B. Providing each Customer, upon delivery of requested Container(s), a printed list that specifies the  
385 Construction and Demolition Debris allowed in the Container and Unpermitted Materials that  
386 cannot be placed in the Container (i.e., Hazardous Wastes). Such list shall be reviewed and  
387 approved by the City C&D Contract Manager.
- 388 C. Transporting Collected Construction and Demolition Debris to the appropriate Processing or  
389 Disposal Site(s).
- 390 D. Furnishing all labor, supervision, vehicles, Containers (except as Customer may provide its own  
391 Containers), other equipment, materials, supplies, and all other items and services necessary to  
392 perform its obligations under this Agreement.
- 393 E. Paying or ensuring payment of all expenses related to provision of services required by this  
394 Agreement including, but not limited to, fees, taxes, regulatory fees, Collection costs,  
395 Transportation costs, Processing costs, Disposal costs, utilities, etc..
- 396 F. Providing all services required by this Agreement in a thorough and professional manner so that  
397 Customers are provided timely, reliable, courteous and high-quality service at all times.
- 398 G. Performing all services in substantial accordance with this Agreement and with the requirements  
399 of Chapter 15.34 of the OMC, as applicable, at all times using best industry practice for  
400 comparable operations.

401 H. Complying with Applicable Law.

402 I. Performing or providing all other services necessary to fulfill its obligations under this Agreement.

403 The enumeration and specification of particular aspects of service, labor, or equipment requirements  
404 shall not relieve FRANCHISEE of the duty of accomplishing all other aspects necessary to fulfill its  
405 obligations under this Agreement whether such requirements are enumerated elsewhere in the  
406 Agreement or not.

407 Nothing in this Agreement shall be interpreted to grant to FRANCHISEE an exclusive or non-exclusive  
408 right to Collect, Transport, Dispose and/or Process Construction and Demolition Debris from a Service  
409 Address that was not generated as a result of construction, remodeling, repair or demolition operations  
410 which are directly related to a construction or demolition project at the Service Address. By way of  
411 example and without any limitation, this Agreement does not authorize FRANCHISEE to Collect,  
412 Transport, Dispose and/or Process Construction and Demolition Debris generated by activities at a  
413 Service Address which are not directly related to a permitted construction or demolition project (by way  
414 of further example, Collection of materials generated by construction activities on the third floor of a  
415 building wherein only the third floor is under construction is included in the scope of this Agreement,  
416 however Collection of materials generated by other activities on the fourth floor is not).

#### 417 **4.2 LIMITATIONS TO SCOPE**

418 The scope of the Agreement shall be non-exclusive. Construction and Demolition Debris may be  
419 Collected and Transported by other Persons provided that such Persons do so in accordance with the  
420 Oakland Municipal Code, including but not limited to the following:

421 A. **Construction and Demolition Debris Collected by Other Approved Parties.** Construction and  
422 Demolition Debris Collected by any other party that has executed an Agreement with the CITY for  
423 Construction and Demolition Debris Collection Services.

424 B. **Donated Materials.** Construction and Demolition Debris generated in the Service Area that are  
425 donated by the Customer.

426 C. **Materials Hauled by Owner or its Contractor.** Construction and Demolition Debris that is  
427 removed from any Service Address and Transported to a Processing Site or Disposal Site by:

428 1. The owner of such Service Address;

429 2. The full-time employee of the owner that uses the owner's equipment to transport materials;  
430 or,

431 3. A construction contractor performing construction work at the Service Address, whose  
432 Collection and Transport of the Construction and Demolition Debris is incidental to the service  
433 being performed, provided that such contractor uses a Fixed-Body Vehicle for the Collection  
434 and Transportation of the Construction and Demolition Debris, and such contractor Collects  
435 and Transports the materials at no additional or separate fee using contractor's employees  
436 and contractor's equipment. For the purposes of this section, except as set forth below, the  
437 term "incidental to the service being performed" shall mean that the material requiring  
438 Collection and Transport is generated by the activity of the contractor performing the hauling.

439 i. For example, a construction contractor who remodels a kitchen can Collect and  
440 transport those materials related to such construction in a Fixed Body Vehicle for no  
441 additional fee without infringing on the scope of this Agreement.

442 ii. As an additional example, a contractor whose sole responsibility with relation to the  
443 project is to clean up a site and remove materials generated by other contractors or  
444 the owner/occupant is subject to the requirements of this Agreement, and the  
445 Collection and Transport of such materials from the site by such contractor is not  
446 considered as "incidental to the service being performed".

447 iii. Nothing in this Agreement shall authorize a demolition contractor performing  
448 demolition services in relation to a City-approved building or demolition permit to  
449 Collect and Transport Construction and Demolition Debris without an executed non-  
450 exclusive franchise agreement with CITY for Construction and Demolition Debris  
451 Collection Services.

452 In the event of a dispute between the FRANCHISEE and City C&D Contract Manager  
453 regarding the applicability of this section, the City Administrator, or its designee, shall  
454 make all final determinations.

455 D. **State Government Facilities.** Construction and Demolition Debris generated by public schools,  
456 cities, the County, or federal facilities (with the exception of facilities subject to 42 U.S.C. Section  
457 6961(a)).

458 E. **Projects on City Property.** Construction and Demolition Debris removed from a project site by  
459 CITY employees, provided that the Construction and Demolition Debris being removed is  
460 generated from a City-owned property.

461 Nothing in this Agreement shall prevent other Persons from Collecting, Transporting, Processing and/or  
462 marketing materials that have been Source Separated (as defined in Article 1) by material type.

### 463 **4.3 CITY'S RIGHT TO GRANT MULTIPLE AGREEMENTS**

464 CITY may grant to any number of additional Persons similar non-exclusive franchise agreements for  
465 Collection, Transportation, Processing, and Disposal of Construction and Demolition Debris.

### 466 **4.4 AGREEMENT CONSISTENT WITH APPLICABLE LAW**

467 This Agreement and scope of this franchise shall be interpreted to be consistent with Applicable Law,  
468 now and during the Term. If future judicial interpretations of current law or new laws, regulations, or  
469 judicial interpretations limit the ability of CITY to lawfully provide for the scope of services as specifically  
470 set forth herein, FRANCHISEE agrees that the scope of the Agreement will be limited to those services  
471 and materials which may be lawfully provided and that CITY shall not be responsible for any lost profits  
472 or losses claimed by FRANCHISEE to arise out of limitations of the scope of the Agreement set forth  
473 herein. In such an event, it shall be the responsibility of FRANCHISEE to minimize the financial impact of  
474 such future judicial interpretations or new laws.

475 **4.5 OWNERSHIP OF MATERIALS**

476 Once Construction and Demolition Debris is placed for Collection by FRANCHISEE, ownership and the  
477 right to possession of such materials shall transfer directly from the Customer to FRANCHISEE.

478 On a short-term basis not to exceed more than five (5) calendar days per year, CITY may obtain  
479 ownership or possession of Construction and Demolition Debris placed for Collection, for purposes of  
480 waste characterization studies, upon written notice to FRANCHISEE of its intent to do so. However,  
481 nothing in this Agreement shall be construed as giving rise to any inference that CITY has such  
482 ownership or possession unless such written notice has been given to FRANCHISEE.

483 **4.6 NOTIFICATION TO CITY OF NON-FRANCHISED HAULERS**

484 If FRANCHISEE can produce evidence that other Persons are Collecting Construction and Demolition  
485 Debris and do not have rights to do so as granted by an Agreement with CITY or otherwise, or in a  
486 manner that is not consistent with the Oakland Municipal Code, FRANCHISEE shall notify CITY in writing,  
487 within five (5) calendar days of FRANCHISEE witnessing such circumstances. FRANCHISEE's notice shall  
488 include the name and telephone number of the Person or company Collecting Construction and  
489 Demolition Debris (if known), the date FRANCHISEE witnessed the event, the location and/or service  
490 address of the Container along with FRANCHISEE's evidence of the violation of the rights granted by this  
491 Agreement. CITY may in its sole discretion take action in response to specific notification by  
492 FRANCHISEE. Nothing in this Agreement shall prohibit FRANCHISEE from enforcing its rights under this  
493 Agreement.

494 **ARTICLE 5**  
495 **COLLECTION, TRANSPORT, PROCESSING, AND DISPOSAL**  
496 **SERVICES**

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497 **5.1 COLLECTION AND TRANSPORT**

498 FRANCHISEE is hereby authorized to Collect and Transport Construction and Demolition Debris from  
499 Service Addresses in the Service Area. FRANCHISEE shall Collect Construction and Demolition Debris  
500 from Customers that voluntarily subscribe to or request Construction and Demolition Debris Collection  
501 services from FRANCHISEE. FRANCHISEE shall provide its Customers with one or more Containers for  
502 Construction and Demolition Debris Collection or shall allow its Customers to provide Containers.  
503 FRANCHISEE shall provide requested service to its Customers and shall charge Customers for service at  
504 Rates mutually agreed in writing by Customer and FRANCHISEE.

505 FRANCHISEE shall Transport Construction and Demolition Debris Collected pursuant to this Agreement  
506 to a Processing or Disposal Site that has been selected by FRANCHISEE or required by any Customer of  
507 FRANCHISEE.

508 **5.2 PROCESSING**

509 A. **Processing Services.** FRANCHISEE agrees to Transport and deliver all Construction and Demolition  
510 Debris that it: 1) Collects in the Service Area; and, 2) represents to a Customer will be Processed;  
511 to a Processing Site. Residue from the Construction and Demolition Debris Processing and  
512 Recycling activities shall be Disposed of by FRANCHISEE or its Processor at a Disposal Site in  
513 accordance with Section 5.3.

514 FRANCHISEE or its Processor(s) shall possess all permits and approvals necessary to maintain the  
515 Processing Site(s) in full regulatory compliance. FRANCHISEE shall, upon CITY request, provide or  
516 request from its Processor(s) copies of notices of violation or permits to CITY.

517 B. **Processing Costs.** FRANCHISEE shall pay or ensure payment of all expenses related to Processing  
518 and marketing and/or Disposal of Construction and Demolition Debris including, but not limited  
519 to, fees, taxes, regulatory fees, Collection costs, Transportation costs, Processing costs, Disposal  
520 costs, utilities, etc.

521 C. **Compliance with Regulations.** FRANCHISEE shall observe and comply with all regulations in effect  
522 regarding the Processing of materials including, but not limited to, the Alameda County Waste  
523 Management Authority Mandatory Recycling Ordinance 2012-01, the Alameda County Waste  
524 Management Authority Plant Debris Landfill Ban Ordinance 2008-01 and Chapter 15.34 of the  
525 OMC, as they apply to this Agreement. FRANCHISEE shall also observe and comply with all  
526 regulations in effect at any Processing Site(s) and cooperate with the operator thereof including  
527 directions to unload vehicles in designated areas, accommodating operations and maintenance  
528 activities, and complying with Hazardous Waste exclusion programs.

### 529 **5.3 DISPOSAL**

530 A. **Disposal Services.** FRANCHISEE shall, or shall require its Processor(s) to, Dispose of Construction  
531 and Demolition Debris and Residue Collected within the Service Area, that is not Diverted through  
532 Processing activities, by Transporting the Construction and Demolition Debris and Residue to a  
533 Disposal Site that is lawfully authorized to accept such material. FRANCHISEE, or its Processor,  
534 shall not Dispose of materials by depositing on any public or private land, in any river, stream, or  
535 other waterway, or in any sanitary sewer or storm drainage system or in any other manner which  
536 violates Applicable Laws.

537 B. **Permitted Site.** FRANCHISEE or its Processor shall only Dispose of materials at a Disposal Site(s)  
538 that is in full regulatory compliance. FRANCHISEE, or its Processor(s), shall keep or confirm all  
539 existing permits and approvals necessary for use of a Disposal Site(s) in full regulatory compliance.  
540 FRANCHISEE shall, upon request, provide copies of notices of violation or permits to CITY.

541 C. **Compliance with Regulations.** FRANCHISEE shall observe and comply with all regulations in effect  
542 regarding the Disposal of materials including, but not limited to, the Alameda County Waste  
543 Management Authority Mandatory Recycling Ordinance 2012-01, the Alameda County Waste  
544 Management Authority Plant Debris Landfill Ban Ordinance 2008-01 and Chapter 15.34 of the  
545 OMC, as they apply to this Agreement. FRANCHISEE shall also observe and comply with all  
546 regulations in effect at any Disposal Site(s) and cooperate with the operator thereof including  
547 directions to unload vehicles in designated areas, accommodating operations and maintenance  
548 activities, and complying with Hazardous Waste exclusion programs.

### 549 **5.4 BILLING**

550 FRANCHISEE shall bill all Customers and collect billings in accordance with FRANCHISEE-established  
551 Rates, which are set in a manner consistent with provisions of Section 9.3. FRANCHISEE shall prepare,  
552 mail, and collect bills (or shall issue written receipts for cash payments) for Collection services provided  
553 by FRANCHISEE. FRANCHISEE shall be responsible for collection of payment from Customers with past  
554 due accounts.

555 FRANCHISEE shall maintain copies of all billings and receipts, each in chronological order, for five (5)  
556 years after expiration or termination of this Agreement. FRANCHISEE shall retrieve and make available  
557 to CITY copies of the billings and receipts within five (5) Work Days of the City C&D Contract Manager's  
558 written request for the billings and receipts. FRANCHISEE may, at its option, maintain those records  
559 electronically, on microfiche, or in any other manner, provided that the records can be preserved and  
560 retrieved for inspection and verification in a timely manner.

561 **5.5 PUBLIC EDUCATION, OUTREACH AND TECHNICAL ASSISTANCE**

562 FRANCHISEE shall provide Customers with education, outreach and technical assistance to aid them in  
563 complying with their obligations under the Construction and Demolition Debris Waste Reduction and  
564 Recycling Requirements Ordinance of Chapter 15.34 of the Oakland Municipal Code, as provided by  
565 CITY. FRANCHISEE must notify Customers of the services it provides, the services provided through  
566 CITY's other franchises, through the Non-Exclusive Commercial Recycling Ordinance, and of services  
567 available for Unpermitted Materials Collection and Processing, which are not acceptable in Collection  
568 Containers under this Agreement. If FRANCHISEE fails to perform some or all of the requirements  
569 described in this Section 5.5, FRANCHISEE shall pay CITY Liquidated Damages as described in Section  
570 11.4 and Exhibit A.

571 **ARTICLE 6**  
572 **STANDARDS AND REQUIREMENTS FOR SERVICES, EQUIPMENT,**  
573 **AND PERSONNEL**

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574 **6.1 OPERATING DAYS, HOURS, AND SCHEDULES**

575 A. **Days and Hours of Collection.** FRANCHISEE shall conform days and hours of Collection and  
576 Container delivery to the schedule and limitations specified in the Customer's building or  
577 demolition permit issued by CITY, or as specified by the City C&D Contract Manager, and in  
578 accordance with the Oakland Municipal Code.

579 B **Failure to Comply.** If FRANCHISEE fails to comply with the Collection hours described in this  
580 Section, the FRANCHISEE shall pay CITY Liquidated Damages as described in Section 11.4 and  
581 Exhibit A.

582 **6.2 COLLECTION STANDARDS**

583 **6.2.1 Instructions to Customer**

584 FRANCHISEE shall instruct Customers as to any preparation of Construction and Demolition Debris  
585 necessary prior to placing for Collection. FRANCHISEE shall, in written form, inform all Customers as to  
586 the Construction and Demolition Debris that can be placed for Collection and any Unpermitted Materials  
587 not allowed for Collection.

588 **6.2.2 Care of Private Property**

589 FRANCHISEE shall not damage private property. CITY shall refer complaints about damage to private  
590 property to FRANCHISEE. FRANCHISEE shall repair all damage to private and public property caused by  
591 its employees to its previous condition.

592 **6.2.3 Litter Abatement**

593 A. **Minimization of Spills.** FRANCHISEE shall use due care to prevent vehicle oil, fuel, hydraulic fluid,  
594 and other substances that may leak from vehicles from being spilled or scattered during  
595 Collection and Transportation operations, in accordance with Applicable Law. If any Construction  
596 and Demolition Debris is spilled or scattered during Collection or Transportation operations,  
597 FRANCHISEE shall promptly clean up all spilled and scattered materials.

598 FRANCHISEE shall not transfer loads from one vehicle to another on any public street, unless it is  
599 necessary to do so because of mechanical failure, hot load (combustion of material in the truck),  
600 accidental damage to a vehicle, or unless approved by CITY.

601 If FRANCHISEE fails to perform some or all of the requirements described in this Section,  
602 FRANCHISEE shall pay CITY Liquidated Damages as described in Section 11.4 and Exhibit A.

603 B. **Clean-Up.** Each Collection vehicle shall carry protective gloves, a broom, and shovel at all times  
604 for cleaning up litter and absorbent material for cleaning up liquid spills. FRANCHISEE shall  
605 discuss instances of repeated spillage not caused by FRANCHISEE with the Customer of the Service  
606 Address where spillage occurs, and FRANCHISEE shall report such instances to CITY. If  
607 FRANCHISEE has attempted to have a Customer stop creating spillage but is unsuccessful, CITY  
608 may attempt, upon notice by FRANCHISEE, to rectify such situation with the Customer.

609 C. **Covering of Roll-Off Loads.** FRANCHISEE shall cover all Roll-Off Boxes at the pickup location  
610 before Transporting Construction and Demolition Debris and until it is unloaded to prevent  
611 Construction and Demolition Debris from escaping during Transportation.

612 **6.2.4 Noise**

613 All Collection operations shall be conducted as quietly as possible and must comply with U.S. EPA noise  
614 emission regulations currently codified at 40 CFR Part 205, California Vehicle Code Section 27207, and  
615 other applicable State, County, and CITY (OMC 8.18.010) noise control regulations. If FRANCHISEE fails  
616 to comply with the noise requirements of this Section, FRANCHISEE shall pay CITY Liquidated Damages in  
617 accordance with Section 11.4 and Exhibit A.

618 **6.3 VEHICLE REQUIREMENTS**

619 A. **General.** Vehicles used to provide services under this Agreement shall be kept in a safe, neat,  
620 clean, and operable condition, and shall at all times be maintained and utilized in accordance with  
621 Applicable Law. If FRANCHISEE fails to keep Collection vehicles in a safe and sanitary condition,  
622 FRANCHISEE shall pay CITY Liquidated Damages as described in Section 11.4 and Exhibit A.

623 B. **Specifications.** FRANCHISEE shall register all vehicles with the California Department of Motor  
624 Vehicles. All such vehicles shall comply with California Environmental Protection Agency (EPA)  
625 noise emission and air quality regulations and other applicable noise control regulations.

626 C. **Vehicle Identification.** FRANCHISEE's name, local telephone number, and a unique identification  
627 number for each vehicle used to provide services under this Agreement, shall be prominently  
628 displayed on all vehicles, in letters and numbers that are a minimum of three (3) inches high. All  
629 vehicles shall be uniformly painted. FRANCHISEE shall not place CITY's logo on its vehicles.

630 D. **Cleaning and Maintenance**

631 1. **Cleaning.** Collection vehicles shall be cleaned and painted as necessary to present a clean  
632 appearance of the exterior and interior compartment of the vehicle at all times.

633 2. **Maintenance.** FRANCHISEE shall inspect each vehicle daily to ensure that all equipment is  
634 operating properly. Vehicles that are not operating properly shall be taken out of service until  
635 they are repaired and operating properly. FRANCHISEE shall perform all scheduled  
636 maintenance functions in accordance with the manufacturer's specifications and schedule  
637 and as required by Applicable Law. FRANCHISEE shall keep accurate records of all vehicle  
638 maintenance, recorded according to date and mileage, and shall make such records available  
639 to CITY upon request to the extent necessary to perform the inspections described in Sections  
640 6.3.F and 6.7.B.

641 3. **Repairs.** FRANCHISEE shall repair, or arrange for the repair of, all of its vehicles and  
642 equipment for which repairs are needed because of accident, breakdown, or any other cause,  
643 so as to maintain all equipment in a safe and operable condition. FRANCHISEE shall maintain  
644 accurate records of repair, which shall include the date/mileage, nature of repair and the  
645 signature of a maintenance supervisor that the repair has been properly performed.

646 4. **Storage.** FRANCHISEE shall arrange to store all vehicles and other equipment in safe and  
647 secure location(s) in accordance with CITY's applicable zoning regulations.

648 E. **Operation.** Vehicles shall be operated in compliance with the California Vehicle Code, and all  
649 applicable safety and local ordinances. FRANCHISEE shall not load vehicles in excess of the  
650 manufacturer's recommendations or limitations imposed by State or local weight restrictions for  
651 vehicles and roads.

652 F. **Vehicle Inspection.** CITY may inspect vehicles at any time to determine compliance with the  
653 requirements of this Agreement. FRANCHISEE shall make vehicles available to CITY and/or  
654 Alameda County Health Department for inspection, at any frequency CITY reasonably requests.  
655 The FRANCHISEE may have such inspections conducted by the California Highway Patrol, or other  
656 designated agency, and shall provide the results of such inspection to the City C&D Contract  
657 Manager within ten (10) Work Days of receipt. FRANCHISEE shall maintain, at its local business  
658 offices, a record of daily vehicle inspection reports for all Collection-related vehicles and shall  
659 make such reports available to the City C&D Contract Manager for review at all times.

660 **6.4 CONTAINER REQUIREMENTS**

661 A. **General.** All Containers shall meet applicable federal, State, County and local regulations for  
662 safety, and shall at all times be maintained and utilized in accordance with Applicable Law.

663 B. **Prevent Leakage.** If the type of materials placed in the Container may result in leakage of liquids,  
664 FRANCHISEE shall take precautions to prevent the leakage of liquids.

665 C. **Container Identification.** All FRANCHISEE-provided Containers shall prominently display  
666 FRANCHISEE's name, local telephone number, a unique Container identification number, the  
667 volume of the container, and a list of acceptable materials.

668 D. **Cleaning, Painting, and Maintenance.** FRANCHISEE shall make reasonable business efforts to  
669 maintain all Containers in a safe, fully serviceable and functional condition, and shall steam clean  
670 and repaint Containers in a frequency adequate to present a clean, graffiti-free appearance.

671 E. **Container Inspections.** CITY may inspect Containers at any time to determine compliance with  
672 sanitation requirements. FRANCHISEE shall make Containers available to CITY at any frequency it  
673 requests. CITY shall have the right to prohibit the use of any Container that fails to comply with  
674 the provisions in this Section 6.4.

675 F. **Abandoned Containers.** FRANCHISEE shall not abandon any Container used to provide  
676 Construction and Demolition Debris Collection services under this Agreement. If FRANCHISEE  
677 abandons a FRANCHISEE-owned Container, CITY or its agent may remove the Container and  
678 Process and Dispose of the contents. If CITY or its agent removes a Container abandoned by  
679 FRANCHISEE, CITY may charge FRANCHISEE for CITY's costs incurred by removing such Container,  
680 Transporting, Processing, and Disposing of its contents, and/or the cost of storing such Container.  
681 FRANCHISEE shall reimburse CITY for such costs within fourteen (14) calendar days of the date of  
682 CITY's invoice to FRANCHISEE for such costs. If FRANCHISEE does not pay the invoice amount  
683 within fourteen (14) calendar days, CITY may assume ownership of the Container.

684 For the purposes of this Section 6.4.F, "abandon" means the following:

- 685 1. FRANCHISEE's failure to remove a FRANCHISEE-owned Container within five (5) calendar days  
686 of receiving a written request from a Customer or the CITY or within five (5) calendar days  
687 after the termination of the customer service agreement between FRANCHISEE and the  
688 Customer; or,
- 689 2. FRANCHISEE's failure to remove a FRANCHISEE-owned Container within ten (10) calendar  
690 days upon expiration or termination of this Agreement, except in the case where FRANCHISEE  
691 has been granted an extension of the Term of the Agreement or FRANCHISEE has been  
692 granted a subsequent agreement authorizing FRANCHISEE to Collect and transport the type or  
693 types of materials for which the Container was used pursuant to this Agreement.

## 694 **6.5 PERSONNEL**

695 A. **General.** FRANCHISEE shall furnish such qualified drivers, maintenance, supervisory, Customer  
696 service, clerical and other personnel as may be necessary to provide the services required by this  
697 Agreement in a safe and efficient manner.

698 B. **Driver Qualifications.** All drivers shall be trained and qualified in the operation of Collection  
699 vehicles, and must have in effect a valid license, of the appropriate class, and with appropriate  
700 endorsements, issued by the California Department of Motor Vehicles. All drivers must comply  
701 with all regulations and requirements set forth by the California Department of  
702 Motor Vehicles and the California Vehicle Code, as they apply to the services provided under this  
703 Agreement.

704 C. **Safety Training.** FRANCHISEE shall provide suitable operational and safety training for all of its  
705 employees who operate Collection vehicles or equipment or who are otherwise directly involved  
706 in such Collection, Disposal, or Processing. FRANCHISEE shall train its employees involved in  
707 Collection to identify, and not to collect, Hazardous Waste or Unpermitted Materials. Upon CITY's

708 request, FRANCHISEE shall provide a copy of its safety policy, using best industry practices, and  
709 safety training program, the name of its safety officer, and the frequency of its trainings.

710 D. **Employee Conduct and Courtesy.** FRANCHISEE shall use its best efforts to ensure that all  
711 employees present a neat appearance and conduct themselves in a courteous manner.  
712 FRANCHISEE shall regularly train its employees in Customer courtesy, shall prohibit the use of  
713 loud or profane language, and shall instruct Collection employees to perform the work as quietly  
714 as possible. If any employee is found not to be courteous or not to be performing services in the  
715 manner required by this Agreement, FRANCHISEE shall take all appropriate corrective measures  
716 and shall pay CITY Liquidated Damages as described in Section 11.4 and Exhibit A.

717 E. **Employee Identification.** While performing services under this Agreement, all of FRANCHISEE's  
718 employees performing field service shall be dressed in clean clothes and shall wear identification  
719 that include the employee's name and/or employee number, and FRANCHISEE's name, as  
720 approved by CITY.

721 F. **Non-Smoking.** While performing services under this Agreement, all of FRANCHISEE's employees  
722 performing field service shall refrain from smoking, in accordance with Chapter 8.30.055 of the  
723 OMC and in support of LEED IEQp2 standards.

## 724 **6.6 UNPERMITTED MATERIALS INSPECTION AND HANDLING**

725 A. **Response to Unpermitted Materials Identified during Collection.** If FRANCHISEE determines that  
726 material placed in any Container for Collection is a Hazardous Waste and/or Unpermitted  
727 Material that may not legally be Disposed of at a Disposal Site or handled at a Processing Site, or  
728 presents a hazard to FRANCHISEE's employees, FRANCHISEE shall refuse to accept such material.  
729 FRANCHISEE shall contact the Customer and request the Customer to arrange proper Disposal. If  
730 the Customer cannot be reached immediately, FRANCHISEE shall, before leaving the Service  
731 Address, leave a tag at least eight and one half inches by eleven inches (8.5" x 11") in size, which  
732 indicates the reason for refusing to Collect the material and lists a phone number for obtaining  
733 information on proper Disposal of the Hazardous Waste and/or Unpermitted Material. Under no  
734 circumstances shall FRANCHISEE's employees knowingly Collect Hazardous Waste and/or  
735 Unpermitted Material.

736 If Hazardous Wastes and/or Unpermitted Materials are found in a Container that could possibly  
737 result in imminent danger to people or property, FRANCHISEE shall immediately notify CITY's Fire  
738 Department using the 911 emergency number.

739 FRANCHISEE shall notify CITY of any Hazardous Waste and/or Unpermitted Materials identified in  
740 Containers or left at any Service Address within twenty-four (24) hours of identification of such  
741 material.

742 B. **Response to Hazardous Wastes Identified at Processing Site(s).** FRANCHISEE, or its Processor,  
743 shall provide load checkers and equipment operators at the Processing Site(s) to identify  
744 Hazardous Waste and/or Unpermitted Material for storage in approved, on-site, Hazardous  
745 Waste and/or Unpermitted Material storage container(s). FRANCHISEE shall make reasonable  
746 efforts to identify and notify the Customer. FRANCHISEE shall arrange for removal of the  
747 Hazardous Waste and/or Unpermitted Material by permitted haulers in accordance with  
748 Applicable Laws and regulatory requirements.

749 If the Hazardous Waste and/or Unpermitted Material is delivered to a Processing Site by  
750 FRANCHISEE before its presence is detected, and the Customer cannot be identified or fails to  
751 remove the material after being requested to do so, FRANCHISEE shall arrange for its proper  
752 Disposal. FRANCHISEE may make a good faith effort to recover the cost of Disposal from the  
753 Customer, and the cost of this effort, as well as the cost of Disposal shall be chargeable to the  
754 Customer.

755 C. **Regulations and Record Keeping.** FRANCHISEE shall comply with emergency notification  
756 procedures required by Applicable Laws and regulatory requirements. All records required by  
757 regulations shall be maintained at FRANCHISEE's facility. These records shall include: waste  
758 manifests, waste inventories, waste characterization records, inspection records, incident reports,  
759 and training records.

## 760 **6.7 COMMUNICATION AND COOPERATION WITH CITY**

761 A. **Communications.** When requested, FRANCHISEE shall meet with CITY or its agent to discuss  
762 service issues.

763 B. **Inspection by CITY.** CITY, or its designated representatives, shall have the right to observe and  
764 review FRANCHISEE operations and Processing Site(s) used by FRANCHISEE and enter  
765 FRANCHISEE's premises for the purposes of such observation and review during reasonable hours  
766 without advance notice.

767 C. **Cooperate with CITY-Initiated Studies.** FRANCHISEE shall cooperate with and assist CITY or its  
768 agent with the performance of CITY-initiated studies of Construction and Demolition Debris such  
769 as, but not limited to, waste characterization and composition studies.

## 770 **ARTICLE 7**

### 771 **RECORD KEEPING AND REPORTING**

---

#### 772 **7.1 GENERAL**

##### 773 **7.1.1 Maintenance of Records**

774 FRANCHISEE shall maintain full and complete financial and accounting records, pertaining to cash,  
775 billing, Processing and Disposal transactions for this Agreement, prepared in accordance with generally  
776 accepted accounting principles. Such records shall be made available by FRANCHISEE at a location within  
777 Alameda County. Such records shall be subject to audit and inspection, for the primary purpose of  
778 reviewing billing operations, accounts receivable, Disposal and Processing fee charges, Diversion  
779 reporting, and compliance with service classifications by CITY and its authorized officers, agents or  
780 employees, at any reasonable time at FRANCHISEE's principal office.

781 The gross receipts derived from the services provided for under this Agreement, whether such services  
782 are performed by FRANCHISEE or by a Subcontractor or Subcontractors, shall be recorded as revenues in  
783 the accounts of FRANCHISEE. FRANCHISEE shall maintain and preserve all cash, billing and disposal  
784 records for a period of not less than three (3) years following the expiration or termination of the  
785 Agreement. At the request of CITY, reports submitted by FRANCHISEE shall be audited and certified by  
786 an independent third party selected by CITY, at CITY's expense. This audit may include a Customer billing

787 audit in order to verify that each Customer is receiving the level of service for which they are being  
788 billed.

789 To the extent such requirements are set out in this and other Articles of this Agreement, they shall not  
790 be considered limiting or necessarily complete.

### 791 **7.1.2 Retention of Records**

792 Unless otherwise required in this Article, FRANCHISEE shall retain all records and data required to be  
793 maintained by this Agreement for the Term of this Agreement plus five (5) years after its expiration or  
794 earlier termination. Records and data shall be in chronological order and readily and easily interpreted.

### 795 **7.1.3 Inspection of Records**

796 All records required to be maintained by this Agreement (including, but not limited to cash receipts,  
797 billing and disposal records) shall be made available by FRANCHISEE at a location within Alameda County  
798 for inspection and audit by the City C&D Contract Manager or their designee during the Term of this  
799 Agreement and for a period of three (3) years following the expiration or termination of the Agreement.

### 800 **7.1.4 Record Security**

801 FRANCHISEE shall maintain adequate record security to preserve records from events that can be  
802 reasonably anticipated such as fire, theft, and earthquake. Electronically maintained data and records  
803 shall be protected and backed-up.

## 804 **7.2 RECORDS**

### 805 **7.2.1 Financial and Operational Records**

806 At a minimum, the following operational records shall be maintained by FRANCHISEE for CITY relating to  
807 provision of services to Customers as defined in this Agreement:

- 808 A. Customer account information by building or demolition permit, and billing records;
- 809 B. Tonnage of Construction and Demolition Debris Collected, listed by the Processing or Disposal Site  
810 where such materials were delivered.
- 811 C. Tonnage of Construction and Demolition Debris Diverted from Disposal by building or demolition  
812 permit, project, and generator and supporting documentation.
- 813 D. Weight tickets from each Processing and Disposal Site, documenting the Tonnages delivered from  
814 the CITY for Processing or Disposal by material type and disposition. All weight tickets shall be  
815 provided listing a unique vehicle number, date, and time.

816 FRANCHISEE shall make records available to CITY upon request.

### 817 **7.2.2 Customer Records**

818 FRANCHISEE shall maintain accurate and complete records containing the number and types of accounts  
819 served by FRANCHISEE. The records shall contain, at a minimum, the Customer's name, building or  
820 demolition permit number, project number, type of business or identification that the service is  
821 provided to a residential Service Address, phone number, address of Container delivery and Collection

822 location, date of delivery and Collection, itemized listing of services performed, type of Construction and  
823 Demolition Debris Collected, tonnage Collected, and the amount charged to provide services. The  
824 information shall be provided to CITY upon request.

### 825 **7.2.3 CERCLA Defense Records**

826 CITY views its ability to defend itself against Comprehensive Environmental Response, Compensation  
827 and Liability Act (CERCLA), and related litigation as a matter of great importance. For this reason, CITY  
828 regards its ability to prove where Construction and Demolition Debris Collected by FRANCHISEE are  
829 taken for Processing, Recycling, Transfer, or Disposal, as well as where they are not taken, to be matters  
830 of concern. FRANCHISEE shall maintain, retain and preserve records which can establish where  
831 Construction and Demolition Debris Collected were Processed, Recycled and Disposed (and therefore  
832 establish where they were not). This provision shall survive the expiration or earlier termination of this  
833 Agreement. FRANCHISEE shall maintain these records for a minimum of ten (10) years beyond  
834 expiration or earlier termination of the Agreement. FRANCHISEE shall provide these records to CITY  
835 (upon request or at the end of the record retention period) in an organized and indexed manner rather  
836 than destroying or disposing of them.

## 837 **7.3 GENERAL REPORTING REQUIREMENTS**

838 The format of each report shall be designated by CITY. FRANCHISEE may propose alternative report  
839 formats if FRANCHISEE can demonstrate to the satisfaction of the City C&D Contract Manager that the  
840 alternative report formats will achieve CITY's objectives and reduce the effort for both FRANCHISEE and  
841 CITY. FRANCHISEE agrees to submit all reports electronically, in a format prescribed by CITY, at no  
842 additional charge to CITY. FRANCHISEE will provide a certification statement, under penalty of perjury,  
843 by the responsible FRANCHISEE official, that the report being submitted is true and correct to the best  
844 knowledge of such official after their reasonable inquiry.

845 FRANCHISEE shall submit reports within thirty (30) calendar days of the end of each month. The City  
846 C&D Contract Manager may, at their sole discretion and noticed in writing, allow FRANCHISEE to reduce  
847 the frequency of reporting to once per calendar quarter at any time during the Term of this Agreement.  
848 If FRANCHISEE does not submit the reports by the dates required in this Article, FRANCHISEE shall pay  
849 the CITY Liquidated Damages as described in Section 11.4 and Exhibit A.

850 FRANCHISEE shall submit all reports to:

851 City C&D Contract Manager  
852 Email: [C&DRecycling@oaklandnet.com](mailto:C&DRecycling@oaklandnet.com)  
853 Environmental Services Division, OPW  
854 (510) 238 SAVE (7283)

## 855 **7.4 REPORTS**

856 The report shall be specific to provision of services to Customers as defined in this Agreement, and shall  
857 present the following information.

- 858 A. Tonnage as detailed in Exhibit A, Standard Reporting Template.
- 859 B. Disposal and Processing Locations as detailed in Exhibit A, Standard Reporting Template..

- 860 C. **Customer Billings and Revenues.** as detailed in Exhibit A, Standard Reporting Template.
- 861 D. **Insurance.** Updated insurance certificates, if changes occurred in reporting period . Refer to  
862 Section 10.2.6 for additional details on Insurance Requirements
- 863
- 864 E. **Account Information.** Using the form supplied by CITY, FRANCHISEE shall provide a monthly  
865 listing of each service provided to each CITY building or demolition permit number including the  
866 customer name, service address, number and sizes of Containers, tonnage of Construction and  
867 Demolition Debris Collected, Processing or Disposal Site where Construction and Demolition  
868 Debris were delivered, and other information required by CITY.
- 869 F. **FRANCHISEE Officers and Board Members.** Provide a list of FRANCHISEE's officers and members  
870 of its board of directors (only required with the December monthly report, or in the event of a  
871 change in the officers or board members).

872 CITY reserves the right to request additional reports from FRANCHISEE, and upon CITY's request,  
873 FRANCHISEE shall provide information required above for the time period requested by the CITY. It is  
874 the desire of CITY to track the above required information on an ongoing basis throughout the Term of  
875 this Agreement.

## 876 **7.5 CITY C&D CONTRACT MANAGER**

877 CITY has designated staff, the City C&D Contract Manager, to be responsible for the monitoring and  
878 administration of this Agreement.

879 City C&D Contract Manager  
880 Environmental Services Division, OPW  
881 City of Oakland  
882 250 Frank Ogawa Plaza, Suite 5301  
883 Oakland, CA 94612  
884 Email: [C&DRecycling@oaklandnet.com](mailto:C&DRecycling@oaklandnet.com)  
885 (510) 238-SAVE (7283)

886 FRANCHISEE shall meet and confer with the City C&D Contract Manager to resolve differences of  
887 interpretation and to implement and execute the requirements of this Agreement in an efficient and  
888 effective manner that is consistent with the stated objectives of this Agreement.

889 From time to time the City C&D Contract Manager may designate other agents of CITY to work with  
890 FRANCHISEE on specific matters. In such cases, those individuals should be considered designees of the  
891 City C&D Contract Manager for those matters to which they have been engaged. Such designees shall be  
892 afforded all of the rights and access granted thereto. In the event of a dispute between the City C&D  
893 Contract Manager's designee and FRANCHISEE, the City C&D Contract Manager's determination shall be  
894 conclusive.

895 In the event of dispute between the City C&D Contract Manager and FRANCHISEE regarding the  
896 interpretation of or the performance of Services under this Agreement, the City C&D Contract  
897 Manager's determination shall be conclusive except where such determination results in a material  
898 impact to FRANCHISEE's revenue and/or cost of operations. In the event of a dispute between the City

899 C&D Contract Manager and FRANCHISEE results in such material impact to FRANCHISEE, FRANCHISEE  
900 may appeal the determination of the City C&D Contract Manager to the City Administrator, or City  
901 Administrator's designee, whose determination shall be conclusive. For the purposes of this section,  
902 "material impact" is an amount equal to or greater than one-quarter (1/4) of one percent (1%) of  
903 FRANCHISEE's annual gross receipts under this Agreement.

904 City C&D Contract Manager or their designate shall have the right to observe and review FRANCHISEE  
905 operations and Processing Facilities and enter premises for the purposes of such observation and  
906 review, including review of FRANCHISEE's records, during reasonable hours with reasonable notice. In  
907 no event shall FRANCHISEE prevent access to such premises for a period of more than three (3) calendar  
908 days after receiving such a request.

909 **ARTICLE 8**  
910 **FRANCHISE FEES AND OTHER FEES**

---

911 **8.1 GENERAL**

912 FRANCHISEE shall collect the fees described in this Section from Customers through FRANCHISEE's  
913 regular billings and remit collected amounts to CITY on a monthly basis as described in Section 8.7.

914 **8.2 APPLICATION FEE**

915 FRANCHISEE shall submit to CITY, along with its application for this Agreement, an Application Fee of  
916 five hundred dollars (\$500). CITY shall use the Application Fee to offset its expenses in reviewing  
917 FRANCHISEE's application for this Agreement and all supporting documentation required therewith.

918 **8.3 FRANCHISE FEE**

919 **Effective January 1, 2016**, FRANCHISEE shall pay CITY a Franchise Fee equal to one percent (1%) of the  
920 sum of the total amount billed to Customers of FRANCHISEE and the gross revenues generated by the  
921 sale of Collected materials Diverted from Disposal, for all non-exclusive services provided for under this  
922 Agreement herein and for any other services performed under this Agreement. Franchise Fees shall be  
923 paid within thirty (30) days of the end of each month for the total amount billed and sold in that month.

924 **8.4 ADMINISTRATIVE FEE**

925 **Effective January 1, 2016**, FRANCHISEE shall pay an Administrative Fee to CITY each month. The amount  
926 of the Administrative Fee shall be ten dollars (\$10.00) per load of Construction and Demolition Debris  
927 Collected from Customer Service Addresses and shall be paid within thirty (30) days of the end of each  
928 month. CITY shall use the Administrative Fee to offset expenses including staffing costs related to  
929 contract management, compliance, and monitoring, and to enforce the Agreement with respect to any  
930 violations by third parties, including initiating and/or assisting in prosecuting enforcement actions. CITY  
931 shall retain the sole right to set priorities for its contract monitoring and enforcement among CITY  
932 personnel. This fee shall be a pass-through cost.

933 **8.5 OTHER FEES**

934 CITY may set other fees or adjust the fees established in this Section from time-to-time during the Term  
935 of this Agreement.

936 **8.6 ADJUSTMENT TO FEES**

937 CITY may adjust the fees established in this Article at any time during the Term of this Agreement.

938 **8.7 PAYMENT SCHEDULE AND LATE FEES**

939 On or before the thirtieth (30<sup>th</sup>) day following the end of the month of January 2016 and each month  
940 thereafter during the Term of this Agreement, FRANCHISEE shall remit to CITY all fees as described in  
941 this Article. If such remittance is not paid to CITY on or before the thirtieth (30<sup>th</sup>) day following each  
942 month, FRANCHISEE shall pay, in addition to the amount owed to CITY, two percent (2%) of the amount  
943 owing for that month; plus an additional two percent (2%) owing on any unpaid balance for each  
944 following thirty (30) calendar day period the fee remains unpaid.

945 Each monthly remittance to CITY shall be accompanied by a statement itemizing each fee paid; detailing  
946 calculation of all fees; stating actual gross revenues (e.g., cash receipts) for the monthly period collected  
947 from all operations conducted or permitted by this Agreement, and stating the number and size of  
948 Containers serviced by FRANCHISEE for the monthly period. Each remittance including all supporting  
949 documentation shall be provided to:

950 City C&D Contract Manager  
951 Environmental Services Division, OPW  
952 City of Oakland  
953 250 Frank Ogawa Plaza, Suite 5301  
954 Oakland, CA 94612  
955 Email: C&DRecycling@oaklandnet.com

956 **8.8 OVERPAYMENT OF FEES**

957 If FRANCHISEE believes it has paid fees described in this Article in excess of the fees due to CITY,  
958 FRANCHISEE may submit a request for refund to the CITY C&D Contract Manager. If proof of  
959 overpayment is satisfactory to the CITY C&D Contract Manager, the City C&D Contract Manager shall  
960 authorize CITY to refund the overpayment to FRANCHISEE. FRANCHISEE shall not apply any  
961 overpayment as a credit against any fee or other amounts payable to CITY, unless specifically authorized  
962 to do so by the City C&D Contract Manager in writing.

963 **ARTICLE 9**  
964 **FRANCHISEE'S COMPENSATION AND RATES**

---

965 **9.1 FRANCHISEE'S COMPENSATION**

966 FRANCHISEE's compensation for performance of all its obligations under this Agreement shall be: (i)  
967 cash receipts paid by Customers that obtained FRANCHISEE's Collection services less fees dues to CITY in  
968 accordance with Article 8; and, (ii) revenues generated by the sale of Collected materials Diverted from  
969 Disposal.

970 FRANCHISEE's compensation provided for in this Article shall be the full, entire, and complete  
971 compensation due to FRANCHISEE pursuant to this Agreement for all labor, equipment, materials and  
972 supplies, Processing, Recycling and Disposal fees, regulatory fees, CITY fees, taxes, insurance, bonds,

973 overhead, operations, profit and all other things necessary to perform all the services in the manner  
974 required by this Agreement.

975 If FRANCHISEE's costs are more than FRANCHISEE's compensation, FRANCHISEE shall not be  
976 compensated for the difference in costs and revenues. If FRANCHISEE's costs are less than  
977 FRANCHISEE's compensation, FRANCHISEE shall retain the difference.

## 978 **9.2 CITY'S RIGHT TO SET MAXIMUM RATES**

979 CITY reserves the right to establish maximum Rates for Construction and Demolition Debris Collection  
980 services provided under this Agreement in the event that: (a) there are four (4) or fewer companies  
981 holding non-exclusive franchise agreements for Collection of Construction and Demolition Debris, or (b)  
982 the Rates charged by the companies holding non-exclusive franchise agreements for Collection of  
983 Construction and Demolition Debris are no longer comparable to those of other jurisdictions, as  
984 reasonably determined by CITY. If CITY chooses to exercise its right to set maximum Rates, CITY shall  
985 notify FRANCHISEE at least one hundred eighty (180) calendar days prior to the date that maximum  
986 Rates become effective. In such case, CITY will set maximum Rates with consideration of reasonable and  
987 necessary costs for Collection, Processing, and Disposal and with the intention of setting maximum  
988 Rates that will enable parties, including FRANCHISEE, that have executed non-exclusive franchise  
989 agreements with the CITY for Collection of Construction and Demolition Debris the ability to recover  
990 reasonable and necessary costs and a reasonable profit.

## 991 **9.3 FRANCHISEE'S RATES**

992 FRANCHISEE shall set the Rates it charges its Customers for Collection services by mutual written  
993 agreement between the FRANCHISEE and Customer. FRANCHISEE's Rates shall not exceed CITY-  
994 established maximum Rates, if CITY exercises its rights under Section 9.2.

# 995 **ARTICLE 10**

## 996 **INDEMNITY AND INSURANCE**

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### 997 **10.1 INDEMNIFICATION**

998 FRANCHISEE shall indemnify, defend with counsel acceptable to CITY, protect and hold harmless CITY  
999 and each of its officers, officials, employees, volunteers, and agents (collectively, indemnitees) from and  
1000 against all claims, damages (including but not limited to special, consequential, natural resources and  
1001 punitive damages), injuries, costs, (including without limit any and all response, remediation and  
1002 removal costs), losses, demands, debts, liens, liabilities, causes of action, suits, legal or administrative  
1003 proceedings, interest, fines, charges, penalties, and expenses (including without limit attorneys' expert  
1004 witness fees and costs incurred in connection with defending against any of the foregoing or in  
1005 enforcing this indemnity), (collectively, "Damages") of any kind whatsoever paid, incurred or suffered  
1006 by, or asserted against, indemnitees arising from or attributable to the acts or omissions of FRANCHISEE  
1007 whether or not negligent or otherwise culpable, in connection with or related to the performance of this  
1008 Agreement, except such loss or damage which was caused by the sole negligence or willful misconduct  
1009 of CITY.

1010 FRANCHISEE's duty to defend and indemnify herein shall include Damages arising from or attributable to  
1011 any operations, repairs, clean-up or detoxification, or other plan (regardless of whether undertaken due  
1012 to governmental action) concerning any Hazardous Waste Collected in the Service Area. FRANCHISEE

1013 shall be required to indemnify CITY for the costs for any claims arising from the Processing, Recycling, or  
1014 Disposal of Construction and Demolition Debris, including, but not limited to, claims arising under the  
1015 Comprehensive Environmental Response, Compensation and Liability Act (CERCLA). The foregoing is  
1016 intended to operate as an agreement to defend and indemnify and hold harmless indemnitees to the  
1017 full extent permitted for liability pursuant to Section 107(e) of CERCLA, 42 U.S.C. Section 9607(e) and  
1018 California Health and Safety Code Section 25364.

1019 In addition, FRANCHISEE's duty to defend and indemnify herein includes all fines and/or penalties  
1020 imposed by the California Department of Resources Recycling and Recovery, subject to the restrictions  
1021 set forth in Public Resources Code Section 40059.1, if the requirements of the AB 939 or AB 341 are not  
1022 met by the FRANCHISEE with respect to the Construction and Demolition Debris Collected under this  
1023 Agreement, and such failure is due to FRANCHISEE delays in providing information that prevents  
1024 FRANCHISEE or CITY from submitting reports required by the AB 939 or AB 341 in a timely manner.

1025 This provision will survive the expiration or earlier termination of this Agreement and shall not be  
1026 construed as a waiver of rights by CITY to contribution or indemnity from third parties.

## 1027 **10.2 INSURANCE**

### 1028 **10.2.1 Minimum Scope of Insurance**

1029 Coverage shall be at least as broad as:

1030 A. Insurance Services Office Commercial General Liability coverage.

1031 1. Personal injury

1032 2. Contractual liability

1033 B. Insurance Services Office covering Automobile Liability, code I "any auto".

1034 C. Worker's Compensation insurance as required by the Labor Code of the State of California and  
1035 Employers Liability insurance.

1036 D. Such other insurance coverages and limits as may be required by CITY.

### 1037 **10.2.2 Minimum Limits of Insurance**

1038 FRANCHISEE shall maintain limits no less than:

1039 A. General Liability: \$1,000,000 each occurrence for bodily injury and property damage; \$1,000,000  
1040 for personal and advertising injury; \$2,000,000 products and completed operations aggregate,  
1041 and \$2,000,000 general aggregate. If Commercial General Liability insurance or other form with a  
1042 general aggregate liability is used, either the general aggregate limit shall apply separately to this  
1043 project/location or the general aggregate limit shall be twice the required occurrence limit.

1044 B. Automobile Liability: \$1,000,000 per accident for bodily injury and property damage.

1045 C. Workers' Compensation: Workers' compensation limits as required by the Labor Code of the  
1046 State of California.

- 1047 D. Employer's Liability: \$1,000,000 each accident for bodily injury.  
1048 \$1,000,000 disease each employee.  
1049 \$1,000,000 disease policy limit.
- 1050 E. Pollution Legal Liability: \$1,000,000 per claim/occurrence and \$2,000,000 aggregate for bodily  
1051 injury, property damage, and remediation of contaminated site. **This Section 10.2.2.E shall only**  
1052 **apply in the event that FRANCHISEE is the owner of a Processing or Disposal Site which will be**  
1053 **used for the Processing or Disposal of any Construction and Demolition Debris Collected under**  
1054 **this Agreement.**

### 1055 **10.2.3 Deductibles and Self-Insured Retentions**

1056 Any deductibles or self-insured retention shall be for the account of FRANCHISEE and shall be paid  
1057 entirely by FRANCHISEE without any contribution from CITY.

### 1058 **10.2.4 Other Insurance Provisions**

1059 The policies are to contain, or be endorsed to contain, the following provisions:

#### 1060 A. General Liability and Automobile Liability Coverages

1061 1. CITY, its officers, officials, employees, agents and volunteers are to be covered as additional  
1062 insureds as respects: liability arising out of activities performed by or on behalf of  
1063 FRANCHISEE; products and completed operations of FRANCHISEE; premises owned, leased or  
1064 used by FRANCHISEE; or automobiles owned, leased, hired or borrowed by FRANCHISEE. The  
1065 coverage shall contain no special limitations on the scope of protection afforded to CITY, its  
1066 officials, employees, or volunteers. The automobile liability is endorsed to contain MCA-90  
1067 coverage.

1068 2. FRANCHISEE's insurance coverage shall be primary insurance as respects CITY, its officials,  
1069 employees, and volunteers. Any insurance or self-insurance maintained by CITY, its officials,  
1070 employees, or volunteers shall be excess of FRANCHISEE's insurance and shall not contribute  
1071 with it.

1072 3. Any failure to comply with reporting provisions of the policies shall not affect coverage  
1073 provided to CITY, its officials, employees, or volunteers.

1074 4. Coverage shall state that FRANCHISEE's insurance shall apply separately to each insured  
1075 against whom claim is made or suit is brought, except with respect to the limits of the  
1076 insurer's liability.

1077 B. Workers' Compensation and Employers Liability Coverage. The insurer shall agree to waive all  
1078 rights of subrogation against CITY, its officers, employees, and volunteers for losses arising from  
1079 work performed by FRANCHISEE for CITY.

1080 C. All Coverages. Each insurance policy required by this Article shall be occurrence-based except  
1081 Pollution Legal Liability (Section 10.2.E) which may be written on a claims made basis, and each  
1082 insurance policy, except Workers' Compensation (Section 10.2.C), shall be endorsed to state that

1083 coverage shall not be cancelled by either party, except after sixty (60) days' prior written notice  
1084 has been given to CITY. FRANCHISEE shall provide at least sixty (60) days' written notice to CITY,  
1085 by certified mail, return receipt requested, of any insurance policy required hereunder being  
1086 suspended, voided, or reduced in coverage or limits. Any failure to comply with reporting  
1087 provisions of the policies shall not affect FRANCHISEE'S obligations to CITY, its officers, officials,  
1088 employees, agents or volunteers.

1089 **10.2.5 Acceptability of Insurers**

1090 The insurance policies required by this Section shall be issued by an insurance company or companies  
1091 authorized to do business in the State of California and with a rating in the most recent edition of Best's  
1092 Insurance Reports of size category VII or larger and a rating classification of A or better.

1093 **10.2.6 Verification of Coverage**

1094 FRANCHISEE shall furnish FRANCHISEE's insurance agent a copy of these specifications, and direct the  
1095 agent to provide CITY with certificates of insurance and with original endorsements affecting coverage  
1096 required by this clause. Issuance of documentation indicates FRANCHISEE's insurance complies with  
1097 these provisions. The certificates and endorsements for each insurance policy are to be signed by a  
1098 Person authorized by that insurer to bind coverage on its behalf. The certificates and endorsements are  
1099 to be received and approved by CITY before work commences. CITY may require complete, certified  
1100 copies of all required insurance policies, at any time. Franchisee shall provide CITY thirty calendar days'  
1101 prior written notice in the event of cancellation, changes in coverage, or non-renewal of any required  
1102 policy.

1103 **10.2.7 Required Endorsements**

1104 A. The Workers' Compensation policy shall contain an endorsement in substantially the following  
1105 form:

1106 "Thirty calendar days' prior written notice shall be given to the City of Oakland in the event of  
1107 cancellation, changes in coverage, or non-renewal of this policy."

1108 City C&D Contract Manager  
1109 Environmental Services Division, OPW  
1110 City of Oakland  
1111 250 Frank Ogawa Plaza, Suite 5301  
1112 Oakland, CA 94612  
1113

1114 B. The Commercial General Liability, Business and Automobile Liability, and Pollution Legal Liability  
1115 policies shall contain endorsements in substantially the following form:

1116 1. "Thirty calendar days' prior written notice shall be given to the City of Oakland in the event of  
1117 cancellation, reduction in coverage, or non-renewal of this policy."

1118 City C&D Contract Manager  
1119 Environmental Services Division, OPW  
1120 City of Oakland  
1121 250 Frank Ogawa Plaza, Suite 5301  
1122 Oakland, CA 94612

- 1123  
1124 2. "The City of Oakland, its officers, employees, and agents are additional insureds on this  
1125 policy."
- 1126 3. "This policy shall be considered primary insurance as respects any other valid and collectible  
1127 insurance maintained by the City of Oakland, including any self-insured retention or program  
1128 of self-insurance, and any other such insurance shall be considered excess insurance only."
- 1129 4. "Inclusion of the City of Oakland as an insured shall not affect the CITY's rights as respects any  
1130 claim, demand, suit or judgment brought or recovered against the FRANCHISEE. This policy  
1131 shall protect FRANCHISEE and the CITY in the same manner as though a separate policy had  
1132 been issued to each, but this shall not operate to increase the FRANCHISEE's liability as set  
1133 forth in the policy beyond the amount shown or to which the FRANCHISEE would have been  
1134 liable if only one party had been named as an insured."

1135 **10.2.8 Delivery of Proof of Coverage**

1136 Simultaneously with the execution of this Agreement, FRANCHISEE shall furnish CITY certificates of each  
1137 policy of insurance required hereunder, in form and substance satisfactory to CITY. Such certificates  
1138 shall show the type and amount of coverage, effective dates and dates of expiration of policies and shall  
1139 have all required endorsements. If CITY requests, copies of each policy, together with all endorsements,  
1140 shall also be promptly delivered to CITY.

1141 Renewal certificates will be furnished annually to CITY to demonstrate maintenance of the required  
1142 coverages throughout the Term.

1143 **10.2.9 Other Insurance Requirements**

1144 A. If any services are delegated to a Subcontractor, FRANCHISEE shall require such Subcontractor to  
1145 provide statutory Workers' Compensation insurance and employer's liability insurance for all of  
1146 the Subcontractor's employees engaged in the work in accordance with Sections 10.2.2.C and  
1147 10.2.2.D and 10.2.4.B. The liability insurance required by Section 10.2.2.A shall cover all  
1148 Subcontractors or the Subcontractor must furnish evidence of insurance provided by it meeting all  
1149 of the requirements of this Section 10.2.

1150 B. If at any time during the life of the Agreement or any extension, FRANCHISEE or any of its  
1151 Subcontractors fail to maintain any required insurance in full force and effect, FRANCHISEE shall  
1152 be in breach of the Agreement until notice is received by CITY that the required insurance has  
1153 been restored to full force and effect and that the premiums therefore have been paid for a  
1154 period satisfactory to CITY. Any failure to maintain the required insurance shall be sufficient  
1155 cause for CITY to terminate this Agreement. No action taken by CITY pursuant to this Section shall  
1156 in any way relieve FRANCHISEE of its responsibilities under this Agreement.

1157 C. FRANCHISEE shall comply with all requirements of the insurers issuing policies. The carrying of  
1158 insurance shall not relieve FRANCHISEE from any obligation under this Agreement. If any claim  
1159 exceeding the amount of any deductibles or self-insured reserves is made by any third person  
1160 against FRANCHISEE or any Subcontractor because of any occurrence related to this Agreement,  
1161 FRANCHISEE shall promptly report the facts in writing to the insurance carrier and to CITY.

- 1162 D. The Commercial General Liability, Automobile Liability, and Pollution Legal Liability insurance  
1163 policies shall be written on an "occurrence," rather than a "claims made" basis. If FRANCHISEE is  
1164 unable to purchase Pollution Legal Liability insurance on an occurrence form and must purchase  
1165 such insurance on a claims-made form:
- 1166 1. The "Retro Date" must be shown, and must be before the effective date of the Agreement or  
1167 the commencement of work by FRANCHISEE.
- 1168 2. The policy shall be endorsed to provide not less than a five (5) year discovery period. This  
1169 requirement shall survive expiration or termination of the Agreement.
- 1170 3. If coverage is canceled or non-renewed, and not replaced with another claims-made policy  
1171 form with a "Retro Date" prior to the effective date of the Agreement, FRANCHISEE must  
1172 purchase "extended reporting" coverage for a minimum of five (5) years following the  
1173 expiration or termination of the Agreement.
- 1174 4. A copy of the claims reporting requirements must be submitted to CITY for review.
- 1175 5. These requirements shall survive expiration or termination of this Agreement.

1176 **ARTICLE 11**  
1177 **DEFAULT AND REMEDIES**

---

1178 **11.1 EVENTS OF DEFAULT**

1179 Each of the following shall constitute an event of default ("Event of Default") hereunder:

- 1180 A. FRANCHISEE fails to perform its obligations under this Agreement, or future amendment to this  
1181 Agreement, including, but not limited to, FRANCHISEE's failure to pay CITY fees in accordance  
1182 with Article 8 of this Agreement, and/or use fully permitted Processing and Disposal Sites, and the  
1183 breach continues for or repeats after more than ten (10) Work Days after written notice from the  
1184 CITY for the correction thereof;
- 1185 B. Any representation, warranty, or disclosure made to CITY by FRANCHISEE in connection with or as  
1186 an inducement to entering into this Agreement or any future amendment to this Agreement,  
1187 which proves to be false or misleading in any material respect as of the time such representation  
1188 or disclosure is made, whether or not any such representation, warranty, or disclosure appears as  
1189 part of this Agreement;
- 1190 C. There is a seizure or attachment (other than a pre-judgment attachment) of, or levy affecting  
1191 possession on, the operating equipment of FRANCHISEE, including without limit its vehicles,  
1192 maintenance or office facilities, or any part thereof of such proportion as to substantially impair  
1193 FRANCHISEE's ability to perform under this Agreement and which cannot be released, bonded, or  
1194 otherwise lifted within two (2) Work Days;
- 1195 D. FRANCHISEE files a voluntary petition for debt relief under any applicable bankruptcy, insolvency,  
1196 debtor relief, or other similar law now or hereafter in effect, or shall consent to the appointment  
1197 of or taking of possession by a receiver, liquidator, assignee (other than as a part of a transfer of

1198 equipment no longer useful to FRANCHISEE or necessary for this Agreement), trustee (other than  
1199 as security for an obligation under a deed of trust), custodian, sequestrator (or similar official) of  
1200 FRANCHISEE for any part of FRANCHISEE's operating assets or any substantial part of  
1201 FRANCHISEE's property, or shall make any general assignment for the benefit of FRANCHISEE's  
1202 creditors, or shall fail generally to pay FRANCHISEE's debts as they become due or shall take any  
1203 action in furtherance of any of the foregoing;

1204 E. A court having jurisdiction shall enter a decree or order for relief in respect of FRANCHISEE, in any  
1205 involuntary case brought under any bankruptcy, insolvency, debtor relief, or similar law now or  
1206 hereafter in effect, or FRANCHISEE shall consent to or shall fail to oppose any such proceeding, or  
1207 any such court shall enter a decree or order appointing a receiver, liquidator, assignee, custodian,  
1208 trustee, sequestrator (or similar official) of FRANCHISEE or for any part of FRANCHISEE's operating  
1209 equipment or assets, or orders the winding up or liquidation of the affairs of FRANCHISEE;

1210 F. FRANCHISEE performance under the Agreement evidences a repeated pattern of inadequate or  
1211 untimely compliance and/or noncompliance with the terms of the Agreement, including but not  
1212 limited to repeated CITY assessment, or consideration of assessment of Liquidated Damages as  
1213 provided in Section 11.4.

## 1214 **11.2 RIGHT TO TERMINATE UPON DEFAULT**

1215 Upon a default by FRANCHISEE, CITY may terminate this Agreement within ten (10) calendar days of the  
1216 default but no later than one hundred eighty (180) calendar days after the default. Such termination  
1217 shall be effective ten (10) calendar days following CITY's written notice to FRANCHISEE, and such  
1218 termination shall be effective without the need for any hearing, suit, or legal action.

## 1219 **11.3 CITY'S REMEDIES CUMULATIVE; SPECIFIC PERFORMANCE**

1220 CITY's right to terminate the Agreement under Section 11.2 is not exclusive, and CITY's termination of  
1221 the Agreement and/or the imposition of Liquidated Damages shall not constitute an election of  
1222 remedies. Instead, these rights shall be in addition to any and all other legal and equitable rights and  
1223 remedies which CITY may have.

1224 By virtue of the nature of this Agreement, the urgency of timely, continuous and high quality service, the  
1225 lead time required to effect alternative service, and the rights granted by CITY to FRANCHISEE, the  
1226 remedy of damages for a breach hereof by FRANCHISEE is inadequate and CITY shall be entitled to  
1227 injunctive relief.

## 1228 **11.4 LIQUIDATED DAMAGES**

1229 A. **General.** The Parties find that as of the time of the execution of this Agreement, it is impractical,  
1230 if not impossible, to reasonably ascertain the extent of damages which shall be incurred by CITY  
1231 as a result of a breach by FRANCHISEE of its obligations under this Agreement. The factors  
1232 relating to the impracticability of ascertaining damages include, but are not limited to, the fact  
1233 that: (i) substantial damage results to members of the public who are denied services or denied  
1234 quality or reliable service; (ii) such breaches cause inconvenience, anxiety, frustration, and  
1235 deprivation of the benefits of the Agreement to individual members of the general public for  
1236 whose benefit this Agreement exists, in subjective ways and in varying degrees of intensity which  
1237 are incapable of measurement in precise monetary terms; (iii) that services might be available at  
1238 substantially lower costs than alternative services and the monetary loss resulting from denial of

1239 services or denial of quality or reliable services is impossible to calculate in precise monetary  
1240 terms; and (iv) the termination of this Agreement for such breaches, and other remedies are, at  
1241 best, a means of future correction and not remedies which make the public whole for past  
1242 breaches.

1243 B. **Service Performance Standards; Liquidated Damages for Failure to Meet Standards.** The Parties  
1244 further acknowledge that consistent, reliable Collection, Processing, and Disposal service is of  
1245 utmost importance to CITY and that CITY has considered and relied on FRANCHISEE's  
1246 representations as to its quality of service commitment in executing this Agreement. The Parties  
1247 recognize that some quantified standards of performance are necessary and appropriate to  
1248 ensure consistent and reliable service and performance. The Parties further recognize that if  
1249 FRANCHISEE fails to achieve the performance standards, or fails to submit required documents in  
1250 a timely manner, CITY and its residents and businesses will suffer damages, and that it is, and will  
1251 be, impractical and extremely difficult to ascertain and determine the exact amount of damages  
1252 that CITY will suffer. Therefore, without prejudice to CITY's right to treat such non-performance  
1253 as an event of default under this Article, the Parties agree that the Liquidated Damages amounts  
1254 established in Exhibit A of this Agreement and the following Liquidated Damage amounts  
1255 represent a reasonable estimate of the amount of such damages considering all of the  
1256 circumstances existing on the Effective Date of this Agreement, including the relationship of the  
1257 sums to the range of harm to CITY that reasonably could be anticipated and the anticipation that  
1258 proof of actual damages would be costly or impractical.

1259 FRANCHISEE agrees to pay (as Liquidated Damages and not as a penalty) the amounts set forth in  
1260 the Schedule of Liquidated Damages, Exhibit A.

1261 CITY may determine the occurrence of events giving rise to Liquidated Damages through the  
1262 observation of its own employees or representative or investigation of complaints by Customers  
1263 or occupants.

1264 Before assessing Liquidated Damages, CITY shall give FRANCHISEE notice of its intention to do so.  
1265 The notice will include a brief description of the incident(s) and/or non-performance. CITY may  
1266 review (and make copies at its own expense) all information in the possession of FRANCHISEE  
1267 relating to incident(s) and non-performance. CITY may, within ten (10) calendar days after issuing  
1268 the notice, request a meeting with FRANCHISEE. CITY may present evidence of non-performance  
1269 in writing and through testimony of its employees and others relevant to the incident(s) and non-  
1270 performance. CITY will provide FRANCHISEE with a written explanation of its determination on  
1271 each incident(s) and non-performance prior to authorizing the assessment of Liquidated Damages  
1272 under this Section 11.4. The decision of CITY shall be final and CITY shall not be subject to, or  
1273 required to exhaust, any further administrative remedies.

1274 C. **Amount.** CITY may assess Liquidated Damages for each calendar day or event, as appropriate,  
1275 that FRANCHISEE is determined to be liable in accordance with this Agreement in the amounts  
1276 specified in Exhibit A.

1277 D. **Timing of Payment.** FRANCHISEE shall pay any Liquidated Damages assessed by CITY within ten  
1278 (10) calendar days of the date the Liquidated Damages are assessed. If they are not paid within  
1279 the ten (10) day period, CITY may order the termination of the rights or "franchise" granted by  
1280 this Agreement.

1281

1282 **11.5 CONDITIONS UPON TERMINATION**

1283 In the event this Agreement is terminated under the provisions of this Article, the following conditions  
1284 shall be effective:

1285 A. **Prohibit Collection Services.** FRANCHISEE shall have no right or authority to engage in  
1286 Construction and Demolition Debris Collection services in the Service Area for a period of five (5)  
1287 years from the date of termination, unless otherwise waived by CITY. After five (5) years, should  
1288 FRANCHISEE provide proof that the event causing FRANCHISEE to default under this Agreement  
1289 has been corrected, FRANCHISEE may reapply for a non-exclusive Construction and Demolition  
1290 Debris Collection service franchise, and CITY, at the sole and complete discretion of CITY, may  
1291 reinstate FRANCHISEE based on review of its reapplication.

1292 B. **Continuing Liabilities.** FRANCHISEE shall remain liable to CITY for:

- 1293 1. Fees due in accordance with Article 8 that would otherwise be payable by FRANCHISEE.  
1294 2. Liquidated Damages assessed pursuant to Section 11.4.  
1295 3. Reports required by Article 7 for Collection activities performed by FRANCHISEE up to and  
1296 including the date of termination.  
1297 4. Indemnity obligations under Section 10.1.  
1298 5. Record keeping and retention obligations under Sections 7.1 and 7.2.

1299 C. **Release Customers from Obligations.** FRANCHISEE shall allow Construction and Demolition  
1300 Debris Customers served by FRANCHISEE to arrange for Construction and Demolition Debris  
1301 Collection services with a hauler authorized to perform such services, without penalty or liability  
1302 for breach of any contract between FRANCHISEE and its Customers.

1303 D. **Remove Containers.** FRANCHISEE shall remove all of FRANCHISEE's Containers from all of  
1304 FRANCHISEE's Collection locations and shall properly Recycle, Process, or Dispose of Construction  
1305 and Demolition Debris in such Containers.

1306

1307

**ARTICLE 12**  
**OTHER AGREEMENTS OF THE PARTIES**

---

1308 **12.1 RELATIONSHIP OF PARTIES**

1309 The Parties intend that FRANCHISEE shall perform the services required by this Agreement as an  
1310 independent FRANCHISEE engaged by CITY and neither as an officer nor employee of CITY, nor as a  
1311 partner of, or joint venture with, CITY. No employee or agent of FRANCHISEE shall be, or shall be  
1312 deemed to be, an employee or agent of CITY. Except as expressly provided herein, FRANCHISEE shall  
1313 have control over the manner and means of conducting the Collection, Transportation, Processing,  
1314 Recycling, and Disposal services performed under this Agreement. FRANCHISEE shall be solely responsible  
1315 for the acts and omissions of its officers, employees, Subcontractors, and agents. Neither FRANCHISEE

1316 nor its officers, employees, Subcontractors and agents shall obtain any rights to retirement benefits,  
1317 workers' compensation benefits, or any other benefits which accrue to CITY employees by virtue of their  
1318 employment with CITY.

1319 **12.2 PERMITS AND LICENSES**

1320 FRANCHISEE shall obtain and maintain, at FRANCHISEE's sole cost and expense, all permits and licenses  
1321 applicable to FRANCHISEE's operations under this Agreement which are required by any governmental  
1322 agency.

1323 **12.3 COMPLIANCE WITH LAW**

1324 In the performance of this Agreement, FRANCHISEE shall comply with all Applicable Law, regulations,  
1325 ordinances and codes of the federal, state and local governments, including without limitation the  
1326 Municipal Code of the City of Oakland. CITY shall provide written notice to FRANCHISEE of any planned  
1327 amendment of CITY ordinances that would affect the performance of FRANCHISEE's services or  
1328 obligations pursuant to this Agreement, Such notice shall be provided at least thirty (30) calendar days  
1329 prior to the Council's consideration of such an amendment.

1330 **12.4 GOVERNING LAW**

1331 This Agreement shall be governed by, and construed and enforced in accordance with, the laws of the  
1332 State of California.

1333 **12.5 JURISDICTION**

1334 Any lawsuits between the Parties arising out of this Agreement shall be brought and concluded in the  
1335 courts of Alameda County in the State of California, which shall have exclusive jurisdiction over such  
1336 lawsuits.

1337 With respect to venue, the Parties agree that this Agreement is made in and will be performed in  
1338 Alameda County.

1339 **12.6 BINDING ON SUCCESSORS**

1340 The provisions of this Agreement shall inure to the benefit to, and be binding on, the successors and  
1341 permitted assigns of the Parties.

1342 **12.7 ASSIGNMENT**

1343 Neither Party shall assign its rights nor delegate or otherwise transfer its obligations under this  
1344 Agreement to any other Person without the prior written consent of the other Party. Any such  
1345 assignment made without the consent of the other Party shall be void and the attempted assignment  
1346 shall constitute a material breach of this Agreement. Under no circumstances shall any assignment be  
1347 considered by CITY if FRANCHISEE is in default at any time during the period of consideration.

1348 **12.8 PARTIES IN INTEREST**

1349 Nothing in this Agreement, whether express or implied, is intended to confer any rights on any Persons  
1350 other than the Parties to it and their representatives, successors and permitted assigns.

1351 **12.9 WAIVER**

1352 The waiver by either Party of any breach or violation of any provisions of this Agreement shall not be  
1353 deemed to be a waiver of any breach or violation of any other provision nor of any subsequent breach  
1354 of violation of the same or any other provision. The subsequent acceptance by either Party of any  
1355 monies which become due hereunder, shall not be deemed to be a waiver of any pre-existing or  
1356 concurrent breach or violation by the other Party of any provision of this Agreement.

1357 **12.10 NOTICE PROCEDURES**

1358 All notices, demands, requests, proposals, approvals, consents, and other communications which this  
1359 Agreement requires, authorizes or contemplates, shall be in writing and shall either be personally  
1360 delivered to a representative of the Parties at the address below or deposited in the United States  
1361 mail, first class postage prepaid, addressed as follows:

1362 A. If to CITY:

1363 City C&D Contract Manager  
1364 Environmental Services Division, OPW  
1365 City of Oakland  
1366 250 Frank Ogawa Plaza, Suite 5301  
1367 Oakland, CA 94612

1368 B. If to FRANCHISEE:

1369 \_\_\_\_\_  
1370 \_\_\_\_\_  
1371 \_\_\_\_\_  
1372 \_\_\_\_\_  
1373 \_\_\_\_\_

1374  
1375 The address to which communications may be delivered may be changed from time to time by a notice  
1376 given in accordance with this Section.

1377 Notice shall be deemed given on the day it is personally delivered or, if mailed, three (3) calendar days  
1378 from the date it is deposited in the mail.

1379 **12.11 REPRESENTATIVES OF THE PARTIES**

1380 References in this Agreement to "CITY" shall mean the City Council and all actions to be taken by CITY  
1381 shall be taken by the City Council except as provided below. The City Council may delegate, in writing,  
1382 authority to the City C&D Contract Manager and/or to other CITY officials and may permit such officials,  
1383 in turn, to delegate in writing some or all of such authority to subordinate officers. FRANCHISEE may  
1384 rely upon actions taken by such delegates if they are within the scope of the authority properly  
1385 delegated to them.

1386 FRANCHISEE shall, by the Effective Date, designate in writing a responsible officer who shall serve as the  
1387 representative of FRANCHISEE in all matters related to the Agreement and shall inform CITY in writing of  
1388 such designation and of any limitations upon his or her authority to bind FRANCHISEE. CITY may rely

1389 upon action taken by such designated representative as actions of FRANCHISEE unless they are outside  
1390 the scope of the authority delegated to him/her by FRANCHISEE as communicated to CITY.

1391 **12.12 PUBLIC RESOURCES CODE SECTION 49523 NOTICE**

1392 Nothing in this Agreement shall be construed as providing FRANCHISEE with the five (5) year noticing  
1393 rights required under PRC 49520. The parties agree that the provisions of PRC 49523 apply instead.

1394 **ARTICLE 13**  
1395 **MISCELLANEOUS AGREEMENTS**

---

1396 **13.1 ENTIRE AGREEMENT**

1397 This Agreement, including the exhibits, represents the full and entire Agreement between the Parties  
1398 with respect to the matters covered herein.

1399 **13.2 SECTION HEADINGS**

1400 The article headings and section headings in this Agreement are for convenience of reference only and  
1401 are not intended to be used in the construction of this Agreement nor to alter or affect any of its  
1402 provisions.

1403 **13.3 REFERENCES TO LAWS**

1404 All references in this Agreement to laws shall be understood to include such laws as they may be  
1405 subsequently amended or recodified, unless otherwise specifically provided.

1406 **13.4 INTERPRETATION**

1407 This Agreement shall be interpreted and construed reasonably and neither for nor against either Party,  
1408 regardless of the degree to which either Party participated in its drafting.

1409 **13.5 PRONOUNS AND PLURALS; TENSE**

1410 When not inconsistent with the context, words and phrases used in the present tense include the  
1411 future, and words and phrases used in the singular number include the plural number. Whenever the  
1412 context may require, any pronoun used in this Agreement shall include the corresponding masculine,  
1413 feminine and neuter forms, and the singular form of nouns, pronouns and verbs shall include the plural  
1414 and vice versa.

1415 **13.6 TEXT TO CONTROL**

1416 The captions of the Articles or Sections in this Agreement are for convenience only and in no way define,  
1417 limit, extend or describe the scope or intent of any of the provisions hereof, shall not be deemed part of  
1418 this Agreement and shall not be used in construing or interpreting this Agreement.

1419 **13.7 AMENDMENT**

1420 This Agreement may not be modified or amended in any respect except in writing signed by the Parties.

1421 **13.8 SEVERABILITY**

1422 If any non-material provision of this Agreement is for any reason deemed to be invalid and  
1423 unenforceable, the invalidity or unenforceability of such provision shall not affect any of the remaining  
1424 provisions of this Agreement, which shall be enforced as if such invalid or unenforceable provision had  
1425 not been contained herein.

1426 **13.9 COUNTERPARTS**

1427 This Agreement may be executed in counterparts, each of which shall be considered an original.

1428 **13.10 EXHIBITS**

1429 Each of the exhibits identified as Exhibit "A" through "E" is attached hereto and incorporated herein and  
1430 made a part hereof by this reference.

1431 **IN WITNESS WHEREOF**, the Parties have caused the Agreement to be executed on the day and year first  
1432 above written.

1433  
1434 **CITY OF OAKLAND**

**FRANCHISEE**

1435 \_\_\_\_\_  
1436 City C&D Contract Manager

\_\_\_\_\_  
Name

1437  
1438 APPROVED AS TO FORM:

\_\_\_\_\_  
Title

1439  
1440  
1441 \_\_\_\_\_  
1442 City Attorney

\_\_\_\_\_  
Address

1443  
1444  
1445 \_\_\_\_\_  
1446 Risk Manager

\_\_\_\_\_  
City

1447  
1448  
1449 ATTEST:

\_\_\_\_\_  
Business License

1450 \_\_\_\_\_  
1451 City Clerk

**EXHIBIT A (FIX)**  
**SCHEDULE FOR LIQUIDATED DAMAGES**

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1452 **TITLE- EXHIBIT A STANDARD REPORTING TEMPLATE**

1.		
2.		
3.		
4.		
5.		
6.		
7.		
8.		
9.		
10.		
11.		

1453

## EXHIBIT A (FIX)

### SCHEDULE FOR LIQUIDATED DAMAGES

1454 FRANCHISEE may be assessed Liquidated Damages if FRANCHISEE fails to fulfill its obligations with  
 1455 regards to the events listed in this Exhibit in accordance with the terms and conditions of the Agreement  
 1456 with regards to the time frame for accomplishing each event and nature of the responsibility associated  
 1457 with the event unless otherwise stated in this Exhibit.

1.	<b>Leaks, Litter, or Spills.</b> For each occurrence over five during a calendar year of leaks, litter, or spills of Construction and Demolition Debris within ten feet of the public right of way and failure to pick up or clean up such material prior to FRANCHISEE's vehicle leaving such location.	\$300/ event
2.	<b>Unauthorized Collection Hours.</b> For each occurrence over five during a calendar year of Collecting Construction and Demolition Debris during unauthorized hours.	\$300/ event
3.	<b>Excessive Noise.</b> For each occurrence over 10 during a calendar year of excessive noise, as defined by the requirements of Chapter 8.18.010 of the OMC.	\$300/ event
4.	<b>Cleaning Collection Vehicles.</b> For each occurrence over five during a calendar year for failure to keep Collection vehicles in a safe and sanitary condition (in accordance with Section 6.3.E).	\$150/ event
5.	<b>Labeling of Containers.</b> For each occurrence of FRANCHISEE's failure to correctly label FRANCHISEE-owned Containers (in accordance with Section 6.4.C).	\$500/ event
6.	<b>Reports.</b> Failure to submit reports in the timeframe specified in this Agreement.	\$300/ day*
7.	<b>Report Unpermitted Material.</b> For each failure to notify the appropriate authorities of reportable quantities of Unpermitted Material.	\$500/ event
8.	<b>Failure to Divert Material.</b> For each failure to deliver Construction and Demolition Debris for Processing and Diversion consistent with the FRANCHISEE's agreement with a Customer.	\$500/ event
9.	<b>Failure to Perform Public Education and Outreach.</b> For each failure to perform public education and outreach activities as required by Section 5.5 of this Agreement.	\$300/ event
10.	<b>Failure of Other Obligations.</b> Failure to perform any of the obligations set forth in this Agreement not specifically stated above and not corrected or proceeding in good faith to correct within 24 hours upon notification by CITY.	\$150/ for each obligation per day until obligation is performed
11.	<b>Repeated Failure.</b> Repeated failure to perform any required activity, obligation, or service described herein.	\$50/ occurrence, in addition to any other applicable Liquidated Damage amounts

1458  
 1459 \* Monthly reports shall be considered late until such time as a correct and complete  
 1460 monthly report is received by CITY. For each calendar day a report is late, the daily  
 1461 Liquidated Damage shall be as indicated in the monthly reports section above.

**EXHIBIT A (FIX)**  
**SCHEDULE FOR LIQUIDATED DAMAGES**

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1462  
1463 In placing Designee's initials at the places provided, each Party specifically confirms the accuracy of the  
1464 statements made above and the fact that each Party has had ample opportunity to consult with legal  
1465 counsel and obtain an explanation of Liquidated Damage provisions of the time that the Agreement was  
1466 made.

1467 FRANCHISEE CITY  
1468 Initial Here: \_\_\_\_\_ Initial Here: \_\_\_\_\_  
1469



**EXHIBIT D**  
**STATEMENT OF APPLICANT'S UNDERSTANDING**  
**AND REPRESENTATIONS**

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1489 The undersigned (who is duly authorized to bind the company submitting this application) has reviewed  
1490 the requirements of the non-exclusive franchise agreement for Collection services for Construction and  
1491 Demolition Debris, its exhibits, and reference documents. In addition, the undersigned attests that this  
1492 application and any other supplementary information submitted with this application do not: (i) contain  
1493 any untrue statement of a material fact, (ii) contain inaccurate or misleading information, or (iii) omit to  
1494 state a material fact that is necessary to make the statements made, in light of the circumstances in  
1495 which they were made, not misleading.

1496 \_\_\_\_\_  
1497 Print Name \_\_\_\_\_  
Date

1498 \_\_\_\_\_  
1499 Title

1500 \_\_\_\_\_  
1501 Company Name

1502 \_\_\_\_\_  
1503 Signature  
1504

**EXHIBIT D**  
**STATEMENT OF APPLICANT'S UNDERSTANDING**  
**AND REPRESENTATIONS**

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1505 **TITLE- EXHIBIT E Insurance Documents**

1506 Insert something here

FILED  
OFFICE OF THE CITY CLERK  
OAKLAND

APPROVED AS TO FORM AND LEGALITY

2015 MAY 21 PM 3:26

  
City Attorney

## OAKLAND CITY COUNCIL

ORDINANCE No. \_\_\_\_\_ C.M.S.

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**AN ORDINANCE AMENDING OAKLAND MUNICIPAL CODE CHAPTER 15.34 (“CONSTRUCTION AND DEMOLITION DEBRIS WASTE REDUCTION AND RECYCLING REQUIREMENTS”) TO ESTABLISH A NON-EXCLUSIVE FRANCHISE AGREEMENT SYSTEM FOR THE HAULING OF SUCH DEBRIS TO BECOME EFFECTIVE JULY 1, 2015; AND AUTHORIZING THE CITY ADMINISTRATOR TO EXECUTE SUCH NON-EXCLUSIVE FRANCHISE AGREEMENTS**

**WHEREAS**, the Legislature of the State of California, by enactment of the California Integrated Waste Management Act of 1989 (“Act”) and subsequent additions and amendments (codified at California Public Resources Code Section 40000 et seq.), has declared that it is in the public interest to authorize and require local agencies to make adequate provisions for Solid Waste Collection within their jurisdiction; and,

**WHEREAS**, in 1990 the Alameda County Waste Reduction and Recycling Initiative Charter Amendment established a county-wide solid waste diversion goal of seventy five (75) percent by 2010; and

**WHEREAS**, in 2000 the City Council of the city of Oakland approved Ordinance No. 12253 C.M.S., adopting the Construction and Demolition Debris Waste Reduction and Recycling Requirements; and

**WHEREAS**, in 2002 the City Council of the City of Oakland approved Resolution No. 77500 C.M.S., adopting a goal of seventy five (75) percent reduction of waste going to landfills by 2010 in support of the Measure D goal, and the implementation date established by the Alameda County Source Reduction and Recycling Board; and

**WHEREAS**, in 2006 the City Council of the City of Oakland approved Resolution No. 79774 C.M.S. adopting a Zero Waste Goal by 2020; and

**WHEREAS**, in 2006 the City Council of the City of Oakland approved Resolution No. 80286 C.M.S., adopting a Zero Waste Strategic Plan; and

**WHEREAS**, in 2012 the City Council of the City of Oakland approved Resolution No. 83689 C.M.S., establishing Zero Waste System Design; and

**WHEREAS**, pursuant to California Public Resources Code Section 40059(a), the Oakland City Charter Article X, and Oakland Municipal Code Chapter 8.28, the City determined that the public health, safety, and well-being require that an exclusive right be awarded to qualified contractors to provide for the collection of Mixed Materials and Organics and for the collection of Residential Recycling and that commercial recyclers and collection of construction and demolition debris shall be provided pursuant to a non-exclusive system, all in order to meet the requirements of the Act and the City's Zero Waste Goal by 2020, and to implement the Zero Waste Strategic Plan and the Zero Waste System Design; and

**WHEREAS**, the new Zero Waste System makes various changes to the manner in which solid waste is regulated handled and solid waste collection services are made available to the public, which therefore requires that Chapter 15.34 of the Oakland Municipal Code, entitled "Construction And Demolition Debris Waste Reduction And Recycling Requirements," be amended to implement the new system; and

**WHEREAS**, the City has independently reviewed, considered and confirmed the environmental analysis conducted for these services; and

**WHEREAS**, this environmental analysis concludes that there would not be the potential for significant environmental impacts, and therefore no further environmental review is required; and

**WHEREAS**, the City Council finds and determines that the requirements of the California Environmental Quality Act ("CEQA") have been satisfied, and this action on the part of the City Council is exempt from CEQA pursuant, CEQA Guidelines section 15301, CEQA Guidelines section 15307, CEQA Guidelines section 15308, CEQA Guidelines section 15273, CEQA Guidelines section 15183, and/or CEQA Guidelines section 15061 (b) (3); and

**WHEREAS**, each of the foregoing provides a separate and independent basis for an exemption and when viewed collectively provides an overall basis for an exemption, as further described and explained in the accompanying environmental analysis dated July 8, 2014 attached to the September 19, 2014 City Administrator report to the City Council (Attachment D), incorporated herein by the reference as if fully set forth herein; and

**NOW, THEREFORE, THE COUNCIL OF THE CITY OF OAKLAND DOES ORDAIN AS FOLLOWS:**

**Section 1.** Chapter 15.34 ("Construction and Demolition Debris Waste Reduction and Recycling Requirements") of the Oakland Municipal Code is herein provided, with additions underscored and deletions ~~stricken through~~.

**Chapter 15.34 - CONSTRUCTION AND DEMOLITION DEBRIS  
COLLECTION, TRANSPORTATION, WASTE REDUCTION AND  
RECYCLING REQUIREMENTS**

### **15.34.010 - Title.**

The provisions of ~~Section 15.34.010 through Section 15.34.090~~ inclusive, shall be known as the City of Oakland "Construction and demolition debris collection, transportation, waste reduction and recycling requirements."

~~(Ord. 12253 § 1 (part), 2000)~~

### **15.34.020 - Purpose and intent.**

The purpose of these provisions is to prescribe requirements designed to meet and further the goals of the California Integrated Waste Management Act of 1989 Assembly Bill 939 and the Alameda County Waste Reduction and Recycling Act of 1990 (Measure D). ~~These requirements shall apply to affected projects as specified in this chapter.~~

The intent of these provisions is to divert at a minimum fifty (50) percent of C&D debris from landfills; process and return the materials into the economic mainstream thereby conserving natural resources; and stimulate markets for recycled and salvaged materials.

The City ~~Manager~~Administrator or his/her designee is authorized to develop guidelines to implement the requirements of this chapter, which may be amended from time to time.

~~(Ord. 12253 § 1 (part), 2000)~~

### **15.34.030 - Definitions.**

For the purpose of this ~~Chapter~~ 15.34, the following definitions shall apply:

"Addition" means an extension or increase in floor area or height of a building or structure (as adopted in Section 15.04.005).

"Affected project" means a project that requires a waste reduction and recycling plan ("WRRP") because it meets one or more of the following criteria:

1. It is new construction;
2. It is nonresidential or apartment house demolition;
3. It is a nonresidential or apartment house addition or alteration, that has a permit construction valuation greater than or equal to fifty thousand dollars (\$50,000.00) ~~in year 2000 dollars (subject to inflation adjustments.)~~.

Affected projects exclude projects required to divert C&D debris under the 1997 requirements of the Modifications to the Standard Specifications for Public Works Construction (Ordinance No. 12049 C.M.S.).

"Alteration" means any change, addition or modification in construction or occupancy (as adopted in Section 15.04.005).

"Apartment house" means any building or portion thereof that contains three or more dwelling units and, for the purpose of this chapter, includes residential condominiums (as adopted in Section 15.04.005).

"Appeal" means the process outlined in Section 15.34.090.

"Applicant" means any individual, firm, limited liability company, association, partnership, political subdivision, government agency, municipality, industry, public or private corporation, or any other entity that undertakes any construction, demolition, addition, or alteration project within the city.

"Building official" means the officer or other designated authority charged with the administration and enforcement of the California Building Code ("CBC") and the city's amendments to the CBC (as adopted in Section 15.04.005).

"Construction" means the manner or method of building (as adopted in Section 15.04.005).

"Construction and demolition debris," "C&D debris," or "construction debris" means ~~waste building materials resulting from construction, addition, remodeling, repair, alteration or demolition operations (as adopted on any house, residential property, commercial building, pavement or other structure for which the city requires a building or demolition permit, or from a non-permitted municipal project. Construction and demolition debris includes but is not limited to rocks, soils, tree remains and other plant debris which results from land clearing or land development operations in Section 8.28.010 and Section 15.04.005).~~ preparation for construction. C&D debris may include materials that have been source separated.

"Demolition" means the deconstructing, destroying, razing, tearing down, or wrecking of any facility including its foundation, covered by this chapter. As used herein, the word "demolition" shall include any partial demolition and any interior demolition affecting more than ten percent of the replacement value of the structure as determined by the Building Official. Demolition work includes: (1) ~~proper disposal of recyclables, solid waste, and hazardous~~ handling of materials pursuant to applicable regulations and approved plans, if any, (2) termination of utilities serving the premises including permits and final inspections and approvals, (3) removal of driveways and repair of public sidewalks, as required, and (4) site cleanup and restoration including grading, landscaping, and fencing as required.

"Divert," "diverted," or "diversion" means to use C&D debris for any purpose other than disposal in a landfill, incineration facility, or alternative daily cover. Methods to divert materials from landfills include reuse, salvage, and recycling.

"Diversion attainment" means at least fifty (50) percent by weight of the total C&D debris is diverted on an affected project.

"Fixed body vehicle" means any wheeled motor vehicle that does not rely on a roll-off box or

other detachable container to collect, contain and transport material.

"Hearing Officer" means the city staff designated by the City ~~Manager~~Administrator to whom appeals can be made under this chapter.

~~"Nonaffected~~Non-affected projects" means projects that do not require a WRRP. Applicants for ~~nonaffected~~Non-affected projects shall be encouraged to divert at least fifty (50) percent of all project-related C&D debris.

~~"Recyclables" or "recycle" or "recycling" means residential, commercial, or industrial materials or by-products which are set aside, handled, packaged, or offered for collection in a manner different than solid waste for the purpose of being reused or processed and then returned to the economic mainstream in the form of commodities (as adopted in Section 8.28.010).~~

"Non-permitted municipal projects" means construction and/or demolition projects on or of city-owned property, managed by city employees, which are not required to obtain a building or demolition permit.

"Recycle" or "Recycling" means the process of collecting, sorting, cleansing, treating, and reconstituting materials for the purpose of using the altered form in the manufacture of a new product. Recycling does not include burning, incinerating, or thermally destroying C&D debris.

"Reuse" means recovering material for repeated use in the same form. This includes materials that are reused at the same location as they are generated.

"Salvage" means the recovering of C&D debris from a building or demolition site for the purpose of recycling, reuse, or proper storage for future recycling or reuse.

"Source separated" means ~~recyclable materials~~ materials that have been segregated from ~~solid waste~~C&D debris, for the purpose of diversion, by or for the generator thereof ~~on~~ at the ~~premises~~service address at which ~~they~~the materials were generated for handling ~~different from that of solid waste. This does not require that different types of recyclable commodities be separated from each other, except from organic recyclable material (as adopted in Section 8.28.010).~~

"Summary report" means the report to be submitted to the Building Official at the conclusion of the affected project and prior to the final inspection, issuance of a temporary certificate of occupancy, or certificate of occupancy.

"Targeted materials" means the C&D debris listed on the WRRP form that could potentially be reused, recycled, or salvaged.

"Unpermitted materials" means wastes or other materials that class III disposal sites may not receive under their permits.

"WRRP" means waste reduction and recycling plan.

"WRRP form" means a form, provided by the city for the purpose of compliance with this chapter that must be submitted by the applicant for any affected project.

"WRR Review Official" means the Waste Reduction and Recycling Review Official who is the city staff designated and authorized by the City ~~Manager~~ Administrator and is responsible for implementing this chapter.

(Ord. 12253 § 1 (part), 2000)

#### **15.34.040 — Collection and Transportation of C&D debris.**

~~A. It is unlawful for any person other than the city's licensed franchised collector or those persons employed by the franchise collector to collect or haul any construction and demolition debris within the city except:~~

~~1. Source separated construction and demolition debris, including but not limited to those collected by a person under contract to the city and those collected through private arrangements between the generator and the collector. Loads, which consist of mixed paper and which contain more than ten percent by weight of residual, shall not be considered source separated recyclables. Loads which consist of recyclables other than mixed paper and which contain more than five percent by weight of residual shall not be considered source separated recyclables; or,~~

~~2. Construction and demolition debris: (1) removed from a premises by a licensed contractor as an incidental part of a total construction, remodeling or demolition service offered by that contractor, rather than as a separately contracted or subcontracted hauling service using debris boxes or similar apparatus, or (2) directly loaded onto a fixed body vehicle and hauled directly to a transfer station, or disposal facility.~~

A. Pursuant to the authority provided by Article X, Section 1000 of the Oakland City Charter, any franchise for the collection and transportation of C&D debris within the city may be granted by the City Administrator on such terms and conditions as are determined by the City Council to be in the best interests of the city. Such terms and conditions shall be evidenced by a written non-exclusive franchise agreement, approved in form by the City Council, and executed by the City Administrator and franchisee(s).

B. It is unlawful to collect, transport or dispose of construction and demolition debris anywhere in the city except as provided for in this chapter. It is unlawful for any person other than persons in possession of a non-exclusive franchise agreement granted by the city, or those persons employed by such franchised collector(s) to collect or transport any construction and demolition debris within the city except:

1. Donated Materials. Construction and demolition debris generated in the city that are

donated by the generator.

2. Materials Hauled by Owner or its Contractor. Construction and demolition debris that are removed from any service address and are transported to a processing or disposal site by:

- (a) The owner of such service address;
- (b) The full-time employee of the owner that uses the owner's equipment to transport materials; or,
- (c) A construction contractor performing construction work at the service address, whose collection and transport of the C&D debris is incidental to the service being performed, provided that such contractor uses a fixed-body vehicle for the collection and transportation of the C&D debris, and such contractor collects and transports the materials at no additional or separate fee using contractor's employees and contractor's equipment. For purposes of this section, except as set forth below, the term "incidental to the service being performed" shall mean that the material requiring collection and transportation is generated by the activity of the contractor performing the hauling.
  - I. For example, a construction contractor who remodels a kitchen can collect and transport those materials related to such construction in a fixed body vehicle for no additional fee without obtaining a non-exclusive C&D debris franchise agreement granted by the city.
  - II. As an additional example, a contractor whose responsibility with relation to the project is to clean up a site and transport C&D debris generated by other contractors or the owner/occupant must obtain a non-exclusive C&D debris franchise agreement from the city, and the collection and transport of such C&D debris from the site by such contractor is not considered as "incidental to the service being performed".
  - III. Nothing in this section shall authorize a demolition contractor performing demolition services in relation to a city-approved building or demolition permit to collect and transport C&D debris without obtaining an executed non-exclusive C&D debris franchise agreement granted by the city.
- (d) Projects on City Property. C&D debris removed from a project site by city employees, provided that the C&D debris being removed is generated from a city-owned property.

3. Effective until December 31, 2015, those persons who have submitted a complete application for a non-exclusive C&D debris franchise agreement to the city may collect or transport construction and demolition debris within the city provided they do so in accordance with the terms and conditions of the city's non-exclusive construction and demolition debris franchise agreement. Such compliance shall include the fulfillment of the obligations of the non-exclusive franchise agreements and this Chapter.

C. Construction and demolition debris collected under this chapter shall only be transported to

and processed and/or disposed of at facilities permitted to accept, process and/or dispose of construction and demolition debris under applicable law.

D. The following materials shall not be collected under this chapter unless a collector is otherwise authorized to do so by applicable law: hazardous waste (as defined by Section 8.28.10), medical waste (as defined by Section 8.28.10), unacceptable waste (as defined by Section 8.28.10), batteries, human waste and other potentially infectious material, and liquid wastes.

E. Nothing in this Section 15.34.040 shall prevent other persons from collecting, transporting, processing and/or marketing materials that have been source separated by material type.

#### 15.34.045 City fees.

The city may collect from non-exclusive C&D debris franchisees monies to recover the cost of administering the C&D debris program and in consideration of award of a franchise agreement.

*(Ord. 12253 § 1 (part), 2000)*

#### **15.34.050 - Submission of a waste reduction and recycling plan (WRRP).**

A. WRRP Forms. For affected projects, prior to issuance of a building or demolition permit, the applicant shall complete and submit a WRRP form to the city's Building Official. The completed WRRP form shall delineate all of the following:

1. The estimated volume or weight of the affected project C&D debris to be generated, listed by each type of material; and
2. Volume or weight of the C&D debris to be reused, salvaged or recycled listed by each type of material; and
3. The estimated volume or weight of C&D debris that will be landfilled listed by each type of material.

*(Ord. 12253 § 1 (part), 2000)*

#### **15.34.060 - Review of WRRP.**

A. Notwithstanding any other provision of this chapter, no building or demolition permit shall be issued by the city for any affected project prior to approval of the WRRP by the WRR Review Official. Approval shall not be required if an emergency demolition is required to protect public health or safety pursuant to Section 15.36.080

B. Using the established guidelines, the WRR Review Official shall approve a WRRP only if:

1. The WRRP provides all the information set forth in Section 15.34.050 of this chapter; and
2. The WRRP indicates that at least fifty (50) percent by weight of all C&D debris generated by the project will be diverted; or
3. The Applicant~~applicant~~ demonstrates good cause as to why at least fifty (50) percent by

weight of all C&D debris generated by the project will not be diverted.

If the WRR Review Official fails to approve the WRRP, he/she shall explain in writing the basis for denial.

*(Ord. 12253 § 1 (part), 2000)*

### **15.34.070 - Submission of a completed summary report.**

- A. Documentation. At the conclusion of each affected project and prior to the final inspection, issuance of temporary certificate of occupancy, or certificate of occupancy by the city, the applicant shall submit to the Building Official a summary report which contains the following documentation:
  - 1. The actual volume or weight of C&D debris that was diverted by type of material, diversion method, and the actual volume or weight of C&D debris that was not diverted;
  - 2. Any additional information the applicant believes is relevant to determining its efforts to comply in good faith with this Chapter 15.34
  - 3. Any barriers encountered that prohibited diversion of C&D debris; and
  - 4. Any recommended actions that would further the efforts to recycle C&D debris.
- B. Determination of Diversion. The WRR Review Official shall review the information submitted under Section 15.34.050(A) to determine whether the applicant has diverted fifty (50) percent by weight of the C&D debris based on established guidelines, as follows:
  - 1. Diversion Attainment. The applicant shall be found to have achieved a diversion attainment if at least fifty (50) percent by weight of the C&D debris generated by the affected project is diverted, and appropriate documentation as outlined in Section 15.34.070 is provided.
  - 2. Good Faith Effort. When the WRR Review Official determines that the affected project has not achieved diversion attainment, he/she shall determine whether the applicant has made a good faith effort to comply with this Chapter 15.34. In making this determination, the WRR Review Official may consider information submitted by the applicant, the availability of markets for the C&D debris that was not diverted, the size and type of project, the documented efforts of the applicant to divert C&D debris, and barriers encountered.
  - 3. Nonattainment. The WRR Review Official shall determine the affected project to have a nonattainment status if he/she determines that the applicant has not made a good faith effort to achieve diversion attainment or if the applicant fails to submit the documentation required by Section 15.34.070. All nonattainment information including applicant name, type and size of project, and any reason for nonattainment shall be documented by the WRR Review Official.

*(Ord. 12253 § 1 (part), 2000)*

### **15.34.080 - City's rights to monitor and inspect.**

- A. Audit. City's WRR Review Official may inspect and monitor all affected projects to determine levels of actual diversion activities and validate the information provided in the WRRP and summary report.
- B. Supporting Documentation. Applicant shall retain the receipts or weight tickets for the quantities of materials reused, salvaged, recycled and landfilled as indicated in the summary report form for one year after the final inspection, and issuance of temporary certificate of occupancy or certificate of occupancy.
- C. Materials Targeted for Diversion. The City ~~Manager~~Administrator or his/her designee may change the C&D debris materials targeted for diversion from time to time, based on local markets and conditions to further the intent of this chapter.

*(Ord. 12253 § 1 (part), 2000)*

### **15.34.090 - Appeals.**

An appeal of the WRR Official's decision not to approve the WRRP shall be made to the Hearing Officer according to the following appeal procedures:

- A. Within ten calendar days after the date of a written decision by the WRR Review Official to deny the WRRP, an appeal in writing from said decision must be filed with the WRR Review Official by the applicant or any other interested party on a form prescribed by the WRR Review Official. The appeal shall state specifically the error, abuse of discretion, or claim where the decision of the WRR Review Official was not supported by substantial evidence in the record.
- B. Upon receipt of the appeal, the Hearing Officer shall set the date for consideration thereof and, not less than ten days prior thereto, give a written notice to the applicant and or appellant.
- C. In deciding the appeal, the Hearing Officer shall consider the purpose and intent, as well as the letter, of the pertinent provisions of this Chapter 15.34, and shall affirm, modify, or reverse the written decision of the WRR Review Official.
- D. The written decision of the Hearing Officer shall be final.
- E. Appeal fees shall be in accordance with the city's master fee schedule.

### **15.34.100 – Violations, enforcement and remedies**

- A. Penalty for Violation. Any person convicted of an infraction under the provision of this chapter shall be punished upon a first conviction by a fine of not more than one hundred dollars (\$100.00) and, for a second conviction within a period of one year, by a fine of not more than two hundred dollars (\$200.00) and, for a third or any subsequent conviction within a one-year period, by a fine of not more than five hundred dollars (\$500.00). Any violation beyond the third conviction within a one-year period may be charged by the City Attorney or the District Attorney as a misdemeanor and the penalty for conviction of the same shall be

punishable by a fine of not more than one thousand dollars (\$1,000.00) or by imprisonment in the county jail for a period of not more than six months or by both.

- B. Continuing Violation. Unless otherwise provided, a person shall be deemed guilty of a separate offense for each and every day during any portion of which a violation of this chapter is committed, continued or permitted by the person and shall be punishable accordingly as herein provided.
- C. Violations Deemed a Public Nuisance. In addition to the penalties herein provided, any condition caused or permitted to exist in violation of any of the provisions of this chapter is a threat to the public health, safety and welfare, and is declared and deemed a nuisance.
- D. Civil Actions. In addition to any other remedies provided in this chapter, any violation of this chapter may be enforced by civil action brought by the city. In any such action, the city may seek, and the court shall grant, as appropriate, any or all of the following remedies:
1. A temporary and/or permanent injunction;
  2. Assessment of the violator for the costs of any investigation, inspection, or monitoring survey which led to the establishment of the violation, and for the reasonable costs of preparing and bringing legal action under this subsection (including attorneys' fees);
  3. Costs incurred in removing, correcting, or terminating the adverse effects resulting from the violation (including attorneys' fees).
- E. Authority to Issue Citations. Authorized enforcement officials or employees may issue a citation and notice to appear in the manner prescribed by Chapter 5c of Title 3, Part 2 of the Penal Code, including Section 853.6 (or as the same may hereafter be amended). It is the intent of the City Council that the immunities prescribed in Section 836.5 of the Penal Code be applicable to public officers or employees or employees acting in the course and scope of employment pursuant to this chapter.
- F. Administrative Enforcement Option. Chapters 1.08, 1.12 and 1.16 of this code, which provide for alternative code enforcement mechanisms, including but not limited to a civil penalty program and an administrative citation program, are incorporated by reference as if fully set forth herein.
- G. Remedies Not Exclusive. Remedies under this chapter are in addition to and do not supersede or limit any and all other remedies, civil or criminal. The remedies provided for herein shall be cumulative and not exclusive.

*(Ord. 11819 § 1 (part), 1995: prior code § 6-4.18)*

**Section 2.** This Ordinance shall become effective immediately on final adoption if it receives six or more affirmative votes; otherwise it shall become effective upon the seventh day after final adoption.

**Section 3.** The City Council has independently reviewed, considered, and confirmed this environmental determination and finds and determines that the action complies with the CEQA for the reasons stated in the June 2, 2015 Council Agenda Report, hereby incorporated by reference, and directs the City's Environmental Review Officer to file a Notice of Exemption.

**Section 4.** The City Council does hereby find and declare that the above recitals are true and correct and hereby makes them a part of this Ordinance.

**Section 5.** The City Administrator is authorized to execute all documents that may be necessary and consistent with the basic intent and purpose of implementing Non-Exclusive Franchise Agreements for the Hauling of Construction and Demolition Debris (*Exhibit A*), including but not limited to Franchise Agreement amendments, modifications, notices, and related actions.

**Section 6.** The City Administrator is authorized to accept and appropriate C&D Administrative Fees and Application Fees into Fund 1710 (Recycling Program), and C&D Franchise Fees into Fund 1010 (General Purpose Fund).

**Section 7.** If any section, subsection, sentence, clause, or phrase of this Ordinance is for any reason held to be invalid or unconstitutional by decision of any court of competent jurisdiction, such decision shall not affect the validity of the remaining portions of this Ordinance. The City Council hereby declares that it would have passed this Ordinance and each section, subsection, clause or phrase thereof irrespective of the fact that one or more other sections, subsections, clauses, or phrases may be declared invalid or unconstitutional.

**Section 8.** Nothing in this Ordinance shall be interpreted or applied so as to create any requirement, power, or duty in conflict with any federal or state law.

**Section 9.** This Ordinance is enacted pursuant to the City of Oakland's general police powers, specified in Section 106 of the Charter of the City of Oakland and Article XI of the California Constitution.

IN COUNCIL, OAKLAND, CALIFORNIA, \_\_\_\_\_

**PASSED BY THE FOLLOWING VOTE:**

AYES- BROOKS, CAMPBELL WASHINGTON, GALLO, GUILLEN, KALB, KAPLAN, REID, and PRESIDENT GIBSON MCELHANEY

NOES-

ABSENT-

ABSTENTION-

ATTEST: \_\_\_\_\_  
LaTonda Simmons  
City Clerk and Clerk of the Council  
of the City of Oakland, California

DATE OF ATTESTATION: \_\_\_\_\_